

The Subspace Flatness Conjecture and Faster Integer Programming

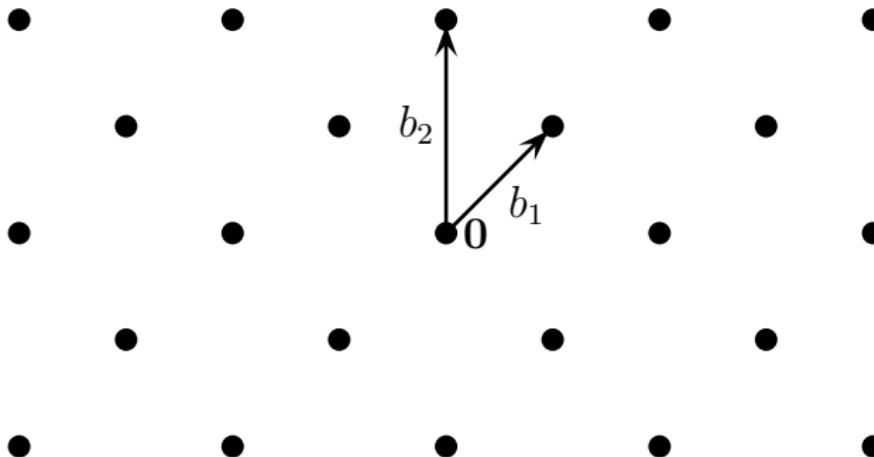
Thomas Rothvoss

Joint work with Victor Reis



Covering radius

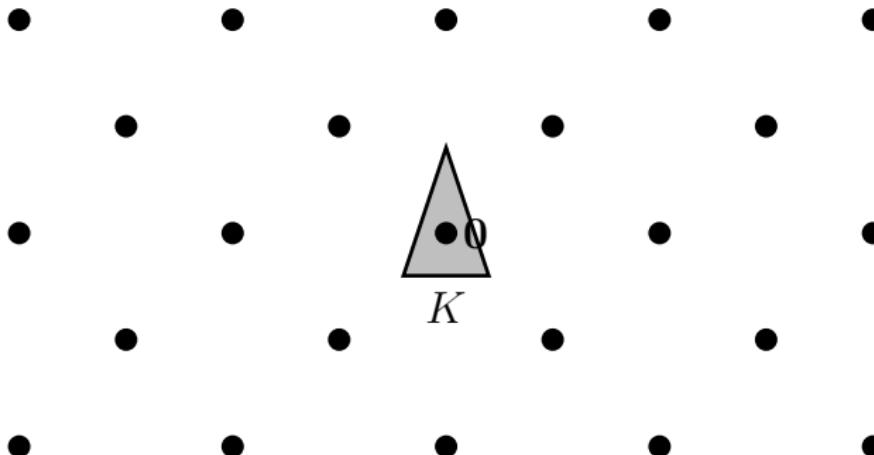
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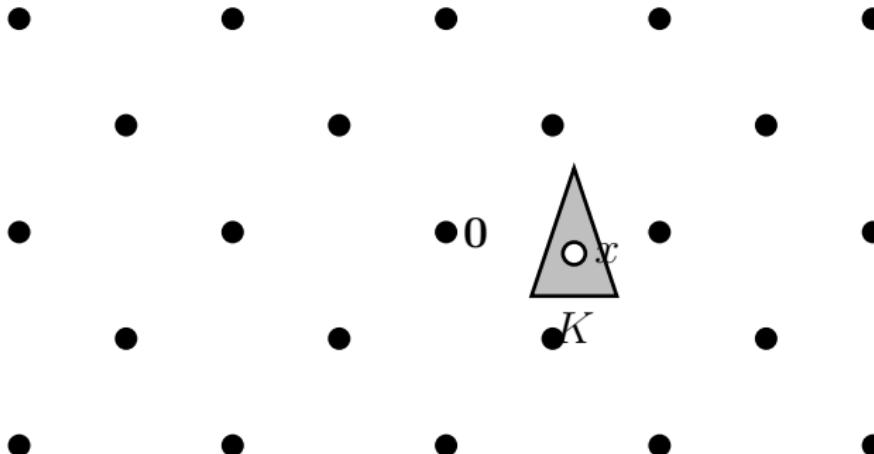
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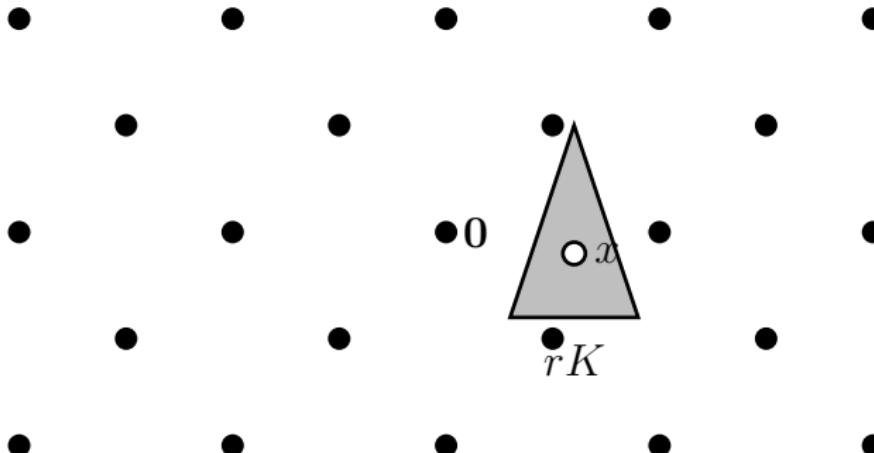
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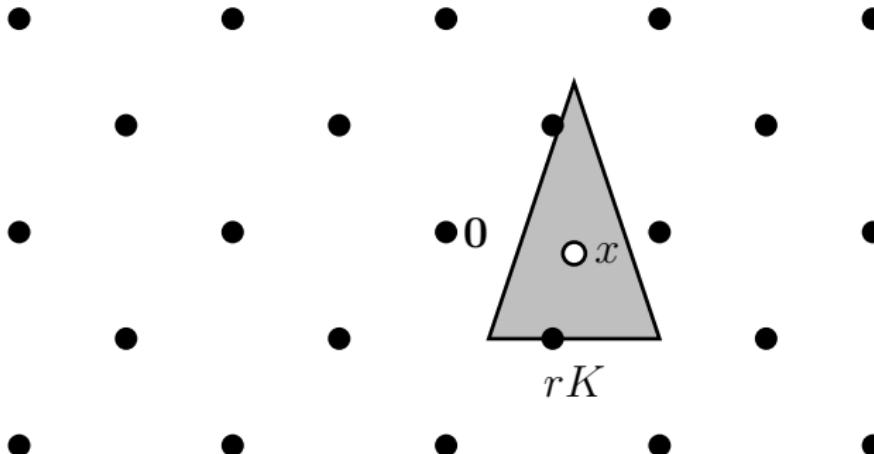
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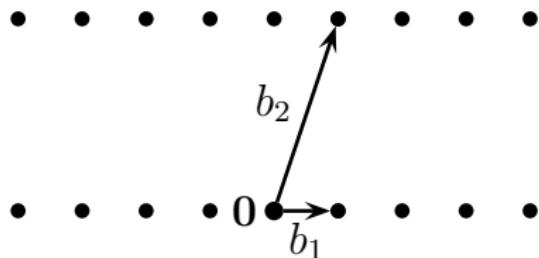
Lower bounds on the covering radius

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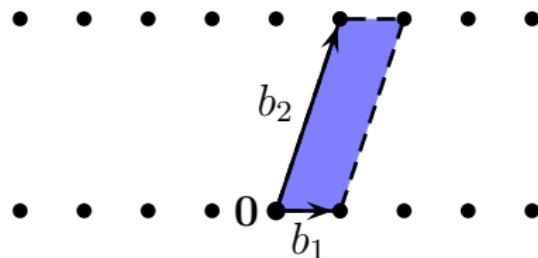
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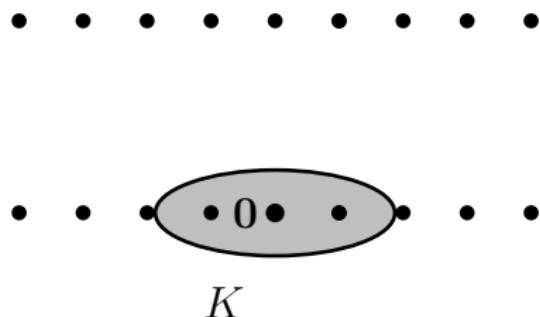
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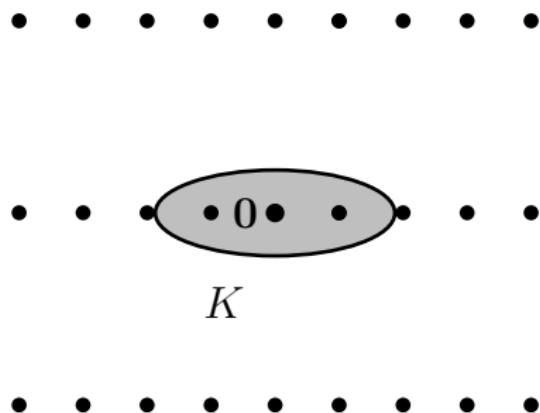
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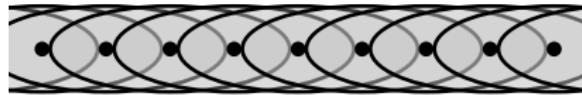
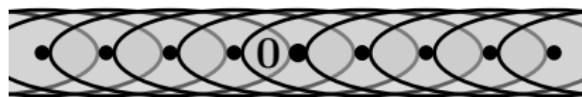
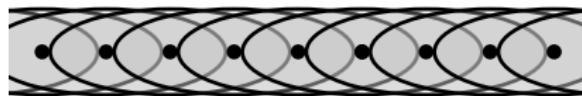
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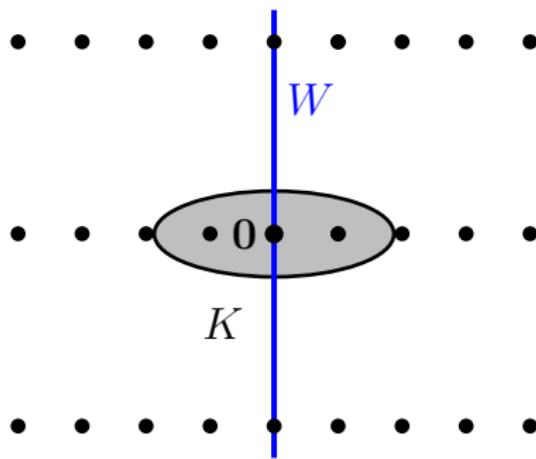
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- ▶ Simple lower bound: $\mu(\Lambda, K) \geq (\frac{\det(\Lambda)}{\text{Vol}_n(K)})^{1/n}$
- ▶ For any subspace $\mu(\Lambda, K) \geq \mu(\Pi_W(\Lambda), \Pi_W(K))$

Kannan, Lovász (1988)

- ▶ Consider the best volume-based lower bound

$$\mu_{KL}(\Lambda, K) = \max_{\substack{W \subseteq \text{span}(\Lambda) \text{ subspace} \\ d := \dim(W)}} \left(\frac{\det(\Pi_W(\Lambda))}{\text{Vol}_d(\Pi_W(K))} \right)^{1/d}$$

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Theorem (Kannan, Lovász (1988))

For any full rank lattice Λ , convex body $K \subseteq \mathbb{R}^n$

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Subspace Flatness Conjecture (Dadush 2012)

For full rank lattice $\Lambda \subseteq \mathbb{R}^n$ and convex body $K \subseteq \mathbb{R}^n$ one has

$$\mu(\Lambda, K) \leq O(\log(n)) \cdot \mu_{KL}(\Lambda, K)$$

- ▶ Dadush shows consequences for solving IPs.

Main results

Theorem (Reis, R.'23)

For full rank lattice $\Lambda \subseteq \mathbb{R}^n$ and convex body $K \subseteq \mathbb{R}^n$ one has

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Theorem (Reis, R.'23)

For convex body $K \subseteq \mathbb{R}^n$ one can find a point in $K \cap \mathbb{Z}^n$ in time $(\log n)^{O(n)}$.

Previously best known:

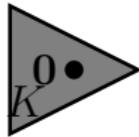
- ▶ $2^{O(n^2)}$ [Lenstra '83]
- ▶ $n^{O(n)}$ [Kannan '87]
- ▶ $2^{O(n)}n^n$ [Dadush '12], [Dadush, Eisenbrand, R. '22]

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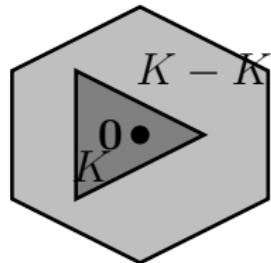


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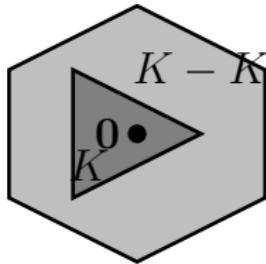


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Theorem (Reis, R.'23)

Flatness constant in dimension n is at most $O(n \log^8(n))$.

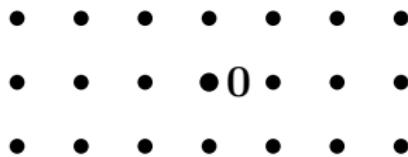
- ▶ Previously best known: $O(n^{4/3} \log^{O(1)} n)$
[Rudelson '98+Banaszczyk, Litvak, Pajor, Szarek '99]

The Reverse Minkowski Theorem

Reverse Minkowski Theorem (Regev, Stephens-Da.)

Let $\Lambda \subseteq \mathbb{R}^n$ be a lattice that satisfies $\det(\Lambda') \geq 1$ for all sublattices $\Lambda' \subseteq \Lambda$. Then for $s = \Theta(\log n)$,

$$\rho_{1/s}(\Lambda) = \sum_{x \in \Lambda} \exp(-\pi s^2 \|x\|_2^2) \leq \frac{3}{2}$$

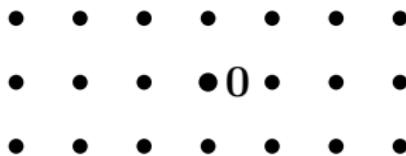


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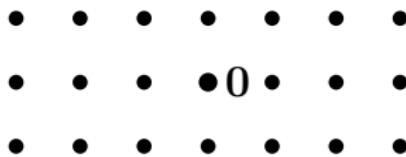
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Definition

A lattice Λ is called **stable** if $\det(\Lambda) = 1$ and $\det(\Lambda') \geq 1$ for all sublattices $\Lambda' \subseteq \Lambda$.

ℓ -position

- ▶ For a symmetric convex body $K \subseteq \mathbb{R}^n$,

$$\ell_K = \mathbb{E}_{x \sim N(0, I_n)} [\|x\|_K^2]^{1/2}$$

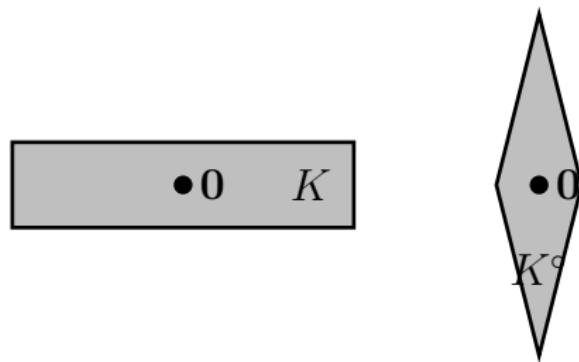
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- Polar** is $K^\circ = \{x \in \mathbb{R}^n \mid \langle x, y \rangle \leq 1 \ \forall y \in K\}$
- Possible that ℓ_K and ℓ_{K° arbitrarily large



ℓ -position

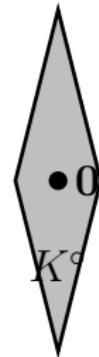
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Theorem (Figiel, Tomczak-Jaegerman, Pisier)

For any symmetric convex body $K \subseteq \mathbb{R}^n$, there is an invertible linear map $T : \mathbb{R}^n \rightarrow \mathbb{R}^n$ so that $\ell_{T(K)} \cdot \ell_{(T(K))^\circ} \leq O(n \log n)$.



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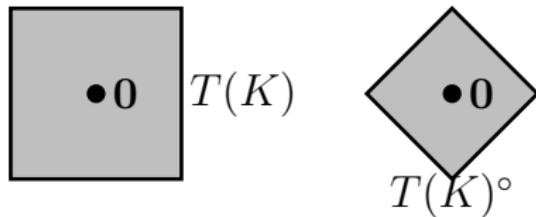
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Covering radius of stable lattice

Lemma

For symmetric convex K and stable lattice Λ one has
 $\mu(\Lambda, K) \leq O(\log n) \cdot \ell_K.$

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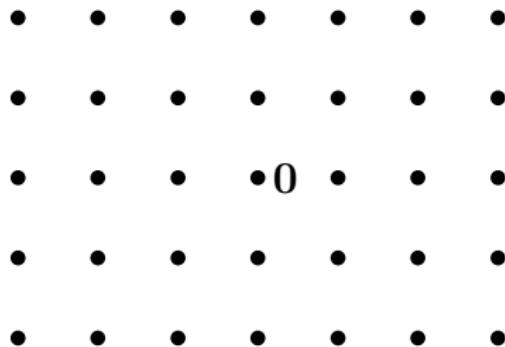
Proof uses:

- ▶ Machinery of [Banaszczyk 96]
- ▶ $\rho_{1/s}(\Lambda^* \setminus \{\mathbf{0}\}) \leq \frac{1}{2}$ by **Reverse Minkowski Theorem** for $s = \Theta(\log n)$.

Quotient lattices

Definition

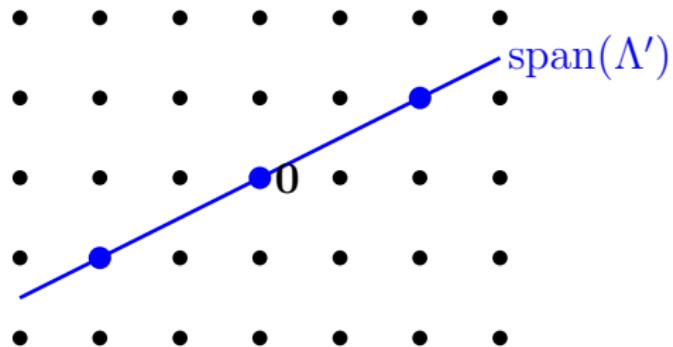
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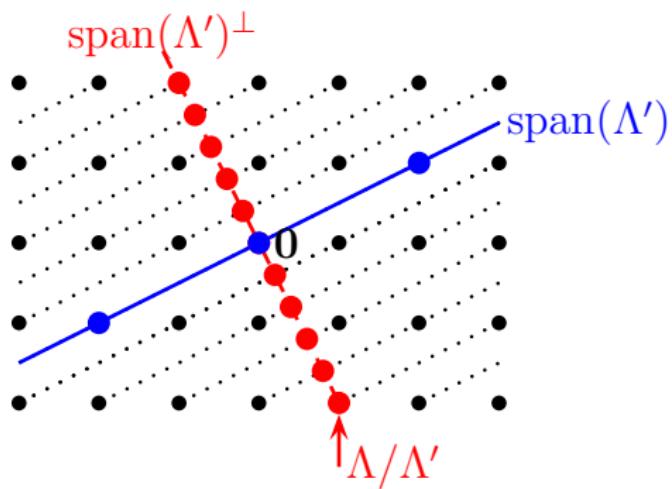
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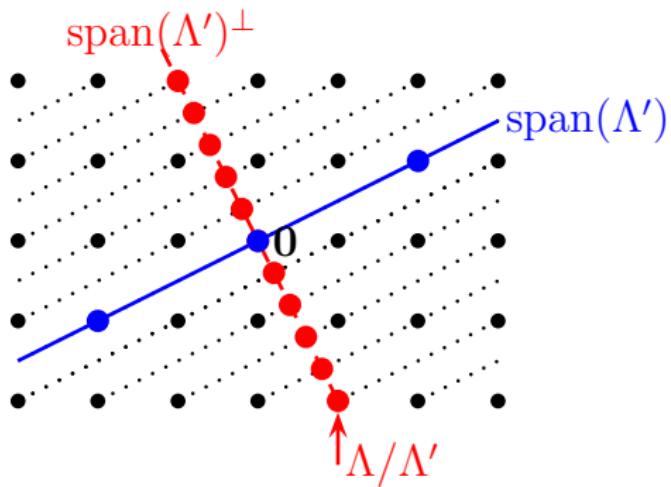
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- ▶ **Intuition:** We can factor Λ into Λ' and Λ/Λ'
- ▶ For example $\det(\Lambda) = \det(\Lambda') \cdot \det(\Lambda/\Lambda')$.

The canonical filtration

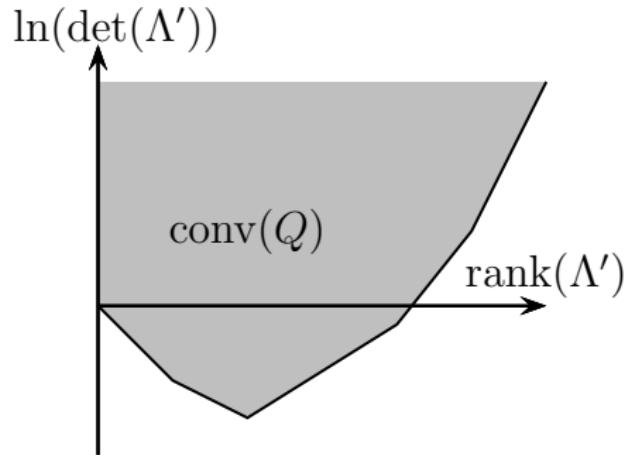
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$$Q := \{ (\text{rank}(\Lambda'), \ln(\det(\Lambda'))) \mid \text{sublattice } \Lambda' \subseteq \Lambda \}$$

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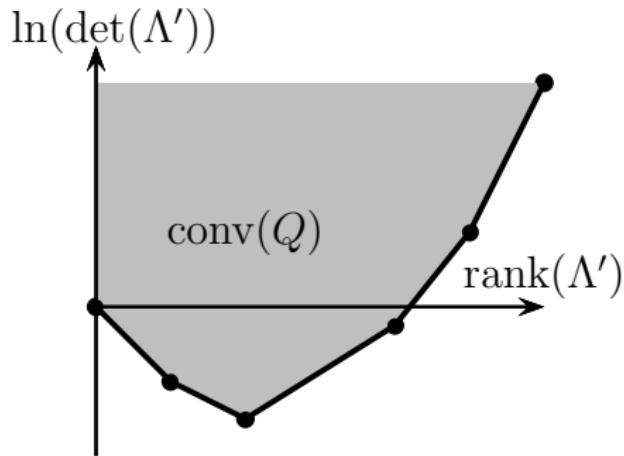


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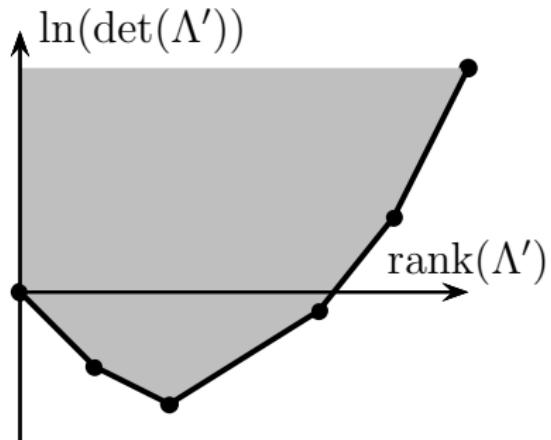
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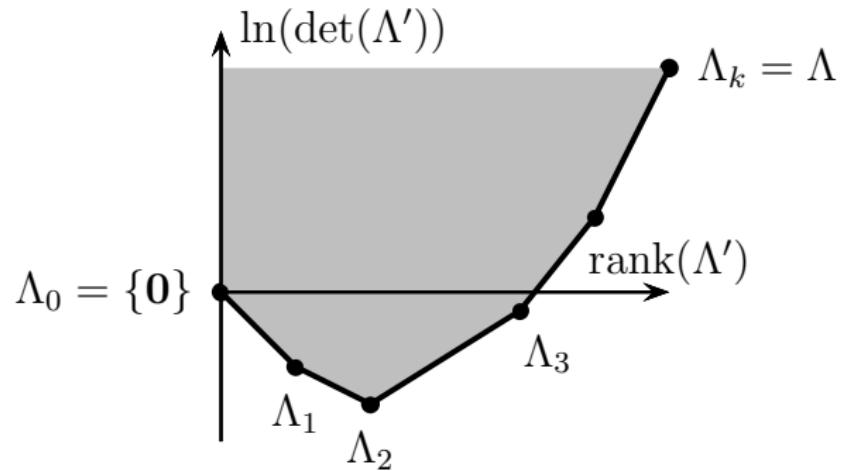
- Lower envelope of $\text{conv}(Q)$ is called **canonical polygon**



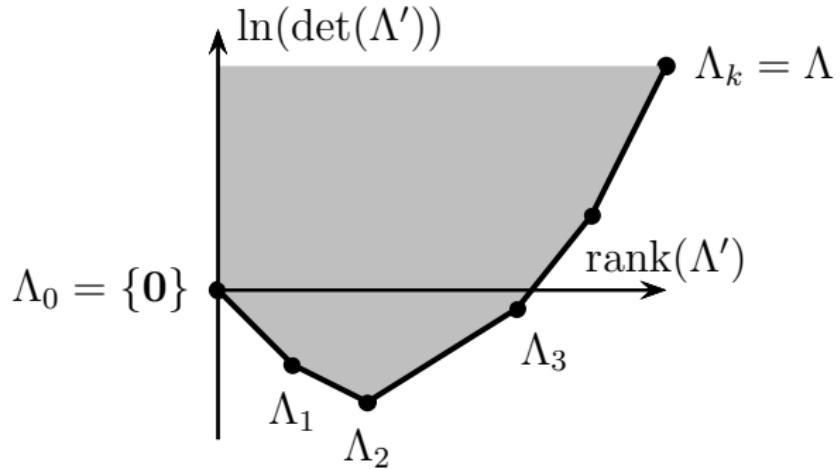
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Theorem (Canonical filtration)

- (a) *The vertices of the canonical plot form a chain*

$$\{\mathbf{0}\} = \Lambda_0 \subset \Lambda_1 \subset \dots \subset \Lambda_k = \Lambda.$$

- (b) $r_i := \det(\Lambda_i / \Lambda_{i-1})^{1/rank(\Lambda_i / \Lambda_{i-1})}$ satisfy $r_1 < \dots < r_k$
- (c) $\frac{1}{r_i}(\Lambda_i / \Lambda_{i-1})$ is stable.

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- ▶ Consider canonical filtration $\{\mathbf{0}\} = \Lambda_0 \subset \dots \subset \Lambda_k = \Lambda$.
- ▶ Define

$$d_i := \text{rank}(\Lambda_i / \Lambda_{i-1}) \quad \text{and} \quad r_i := \det(\Lambda_i / \Lambda_{i-1})^{1/d_i}$$

- ▶ **Goal:**
 - ▶ Bound $\mu(\Lambda, K)$ in terms of r_i, d_i .
 - ▶ Bound r_i, d_i in terms of $\mu_{KL}(\Lambda, K)$.

Main proof (2)

We bound

$$\mu(\Lambda, K)$$

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$$\mu(\Lambda, K) \stackrel{\text{triangle ineq.}}{\leq} \sum_{i=1}^k \mu\left(\Lambda_i/\Lambda_{i-1}, \Pi_{\text{span}(\Lambda_{i-1})^\perp}(K \cap \text{span}(\Lambda_i))\right)$$

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- ▶ Recall: $\frac{1}{r_i}(\Lambda_i/\Lambda_{i-1})$ stable

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$$\begin{aligned} \mu(\Lambda, K) &\stackrel{\text{triangle ineq.}}{\leq} \sum_{i=1}^k \mu\left(\underbrace{\Lambda_i/\Lambda_{i-1}}_{r_i\text{-stable lattice}}, \Pi_{\text{span}(\Lambda_{i-1})^\perp}(K \cap \text{span}(\Lambda_i))\right) \\ &\lesssim \sum_{i=1}^k \log(n) \cdot r_i \cdot \ell_K \end{aligned}$$

- ▶ Recall: $\frac{1}{r_i}(\Lambda_i/\Lambda_{i-1})$ stable
- ▶ Use monotonicity of ℓ -value: $\ell_{K \cap W} \leq \ell_K$ for subspace W (potentially huge loss!!)

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- ▶ Recall: $\frac{1}{r_i}(\Lambda_i/\Lambda_{i-1})$ stable
- ▶ Use monotonicity of ℓ -value: $\ell_{K \cap W} \leq \ell_K$ for subspace W (potentially huge loss!!)
- ▶ Group indices of similar density together: $r_i \leq \frac{1}{2}r_{i+2}$

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$$\sqrt{d_i} \cdot r_i \quad \leq \quad \sqrt{d} \cdot \det(\Pi_W(\Lambda))^{1/d}$$

- ▶ Use $r_1 < \dots < r_k$ and $d_i \leq d$

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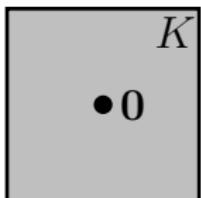
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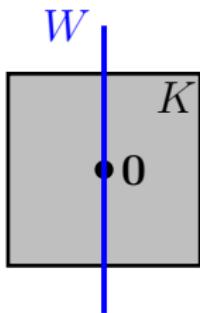
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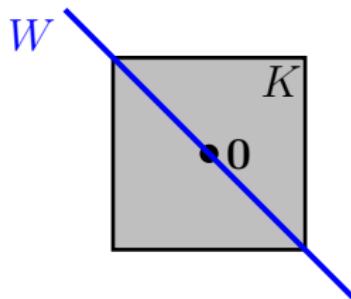
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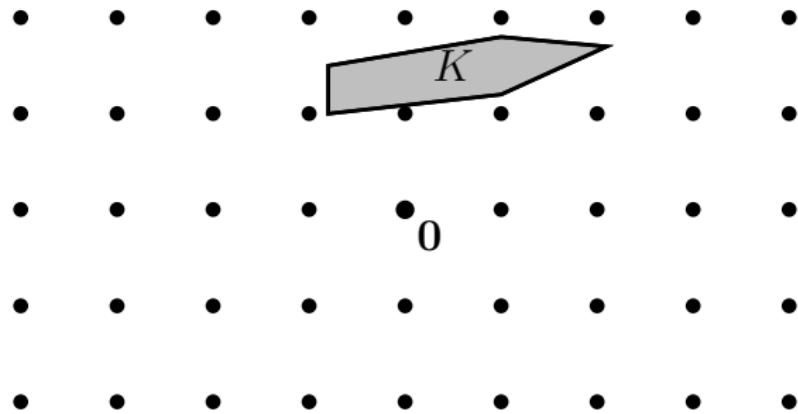
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- ▶ **Case 2.** All $i \geq i^*$ have $d_i \leq o\left(\frac{n}{\log(n)}\right)$. Then
 $k - i^* \geq \omega(\log(n))$ and $r_k \geq n^{100} r_{i^*}$. **Done!**

□

Dadush's algorithm

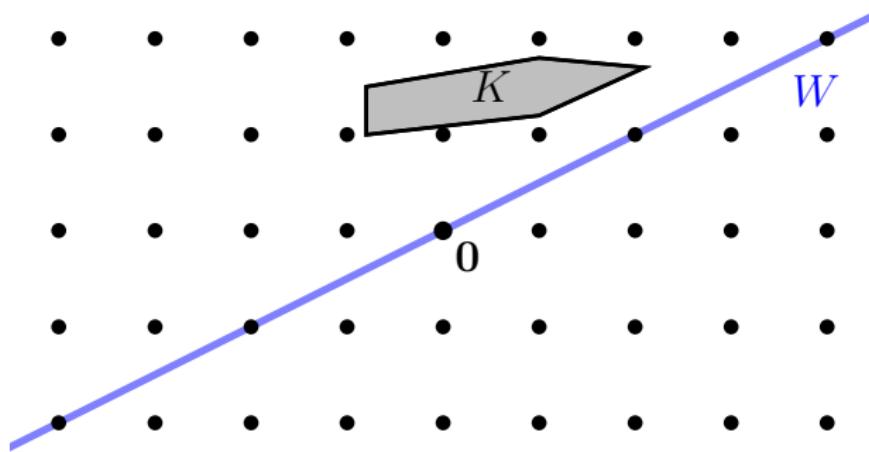


Input: $K \subseteq \mathbb{R}^n$, lattice Λ

Output: Point in $K \cap \Lambda$

- (1) Shrink K so that $\mu(\Lambda, K) \geq 1$
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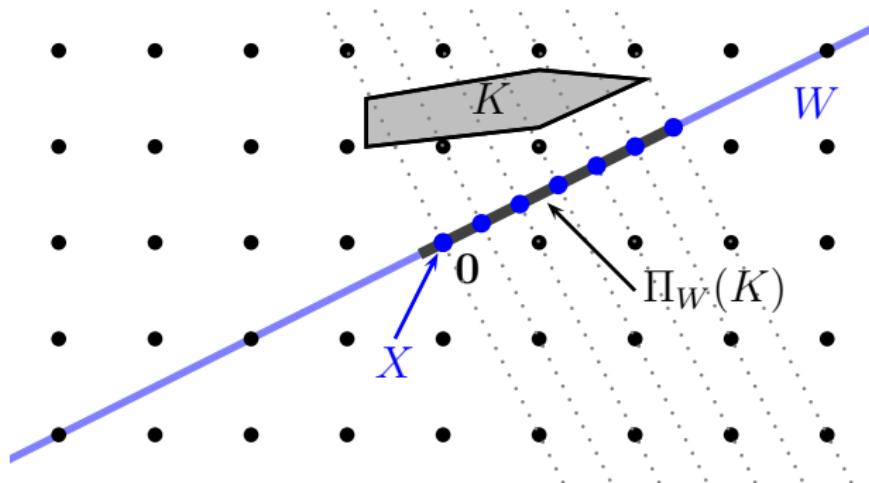


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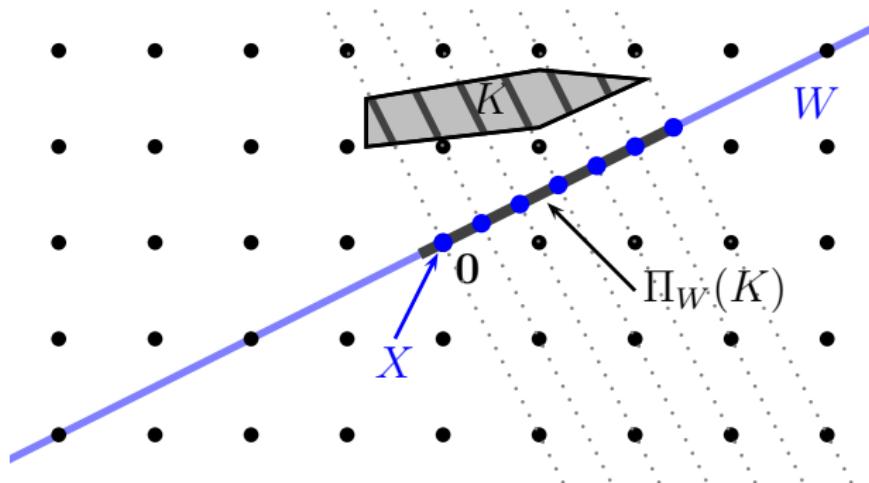


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For full rank lattice $\Lambda \subseteq \mathbb{R}^n$ and convex body $P \subseteq \mathbb{R}^n$ one has

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Can compute points in same time.

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Thanks for your attention!

Generalization to non-symmetric K

- ▶ Translate K so that **Santaló point** is at $\mathbf{0}$ (i.e. barycenter of K° is at $\mathbf{0}$).
- ▶ Run proof with $K_{\text{sym}} := K \cap (-K)$ (inner symmetrizer)
- ▶ We need:

$$\text{Vol}_d(\Pi_W(K))^{1/d} \lesssim \left(\frac{n}{d}\right)^3 \cdot \text{Vol}_d(\Pi_W(K_{\text{sym}}))^{1/d}$$

More involved! This is a polar version of Rudelson's result on sections of the difference body:

$$\text{Vol}_d((K - K) \cap W)^{1/d} \lesssim \frac{n}{d} \cdot \max_{x \in \mathbb{R}^n} \left\{ \text{Vol}_d(K \cap (x + W))^{1/d} \right\}$$