Enumeration of Parabolic Double Cosets in Symmetric Groups and Beyond

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Based on joint work with: Matjaž Konvalinka, T. Kyle Petersen, William Slofstra and Bridget Tenner FPSAC 2016 abstract

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Quote by Arnold Ross

# "Think deeply of simple things"

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### Outline

Background on Symmetric Groups

Parabolic Double Cosets

Main Theorem on Enumeration

The Marine Model

Extension to Coxeter Groups

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**Open Problems** 

### Notation.

- ► *S<sub>n</sub>* is the group of permutations.
- $t_{i,j} = (i \leftrightarrow j) = \text{transposition for } i < j$ ,
- ▶  $s_i = (i \leftrightarrow i + 1) = \text{simple transposition for } 1 \le i < n.$

**Example.**  $w = [3, 4, 1, 2, 5] \in S_5$ ,

 $ws_4 = [3, 4, 1, 5, 2]$  and  $s_4w = [3, 5, 1, 2, 4]$ .

#### Presentation.

 $S_n$  is generated by  $s_1, s_2, \ldots, s_{n-1}$  with relations

$$egin{aligned} s_i s_i &= 1 \ (s_i s_j)^2 &= 1 \ ext{if } |i-j| > 1 \ (s_i s_{i+1})^3 &= 1 \end{aligned}$$

This presentation of  $S_n$  by generators and relations is encoded an edge labeled chain, called a Coxeter graph.

$$S_7 \approx \bullet_1 \frac{3}{\bullet_2} \bullet_2 \frac{3}{\bullet_3} \bullet_3 \frac{3}{\bullet_4} \bullet_4 \frac{3}{\bullet_5} \bullet_5 \frac{3}{\bullet_6} \bullet_6$$

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**Notation.** Given any  $w \in S_n$  write

$$w = s_{i_1}s_{i_2}\cdots s_{i_k}$$

in a minimal number of generators. Then

- k is the length of w denoted  $\ell(w)$ .
- ▶  $\ell(w) = \#\{(i < j) | w(i) > w(j)\}$  (inversions).
- $s_{i_1}s_{i_2}\cdots s_{i_k}$  is a reduced expression for w.

**Example.**  $w = [2, 1, 4, 3, 7, 6, 5] \in S_7$  has 5 inversions,  $\ell(w) = 5$ .

$$w = [2, 1, 4, 3, 7, 6, 5] = s_1 s_3 s_6 s_5 s_6 = s_3 s_1 s_6 s_5 s_6 = s_3 s_1 s_5 s_6 s_5 = \dots$$

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**Poincaré polynomials.** Interesting *q*-analog of *n*!:

$$\sum_{w \in S_n} q^{\ell(w)} = (1+q)(1+q+q^2) \cdots (1+q+q^2+\ldots+q^{n-1}) = [n]_q!.$$

#### Examples.

$$\begin{split} & [2]_q! = 1 + q \\ & [3]_q! = 1 + 2q + 2q^2 + q^3 \\ & [4]_q! = 1 + 3q + 5q^2 + 6q^3 + 5q^4 + 3q^5 + q^6 \end{split}$$

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**Open.** Find a simple formula for the coefficient of  $q^k$  in  $[n]_q!$ 

**Eulerian polynomials.** Another interesting *q*-analog of *n*!:

$$A_n(q) = \sum_{k=0}^{n-1} A_{n,k} q^k = \sum_{w \in S_n} q^{\operatorname{asc}(w)}$$

where  $Ascents(w) = \{i \mid w(i) > w(i+1)\}$  and asc(w) = #Ascents(w). See Petersen's book "Eulerian Numbers."

Examples.  $A_2(q) = 1 + q$  $A_3(q) = 1 + 4q + q^2$  $A_4(q) = 1 + 11q + 11q^2 + q^3$ 

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**Theorem.** (Holte 1997, Diaconis-Fulman 2009) When adding together *n* large randomly chosen numbers in any base, the probability of carrying a *k* for  $0 \le k < n$  is approximately  $A_{n,k}/n!$ .

# Parabolic Subgroups and Cosets

**Defn.** For any subset  $I \in \{1, 2, ..., n-1\} = [n-1]$ , let  $W_I$  be the parabolic subgroup of  $S_n$  generated by  $\langle s_i | i \in I \rangle$ .

**Defn.** Sets of permutations of the form  $wW_I$  (or  $W_Iw$ ) are left (or right) parabolic cosets for  $W_I$  for any  $w \in S_n$ .

**Example.** Take  $I = \{1, 3, 4\}$  and w = [3, 4, 1, 2, 5]. Then the left coset  $wW_I$  includes the 12 permutations

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#### Facts.

- Every parabolic coset has a unique minimal and a unique maximal length element.
- Every parabolic coset for  $W_l$  has size  $|W_l|$ .
- ►  $S_n$  is the disjoint union of the  $n!/|W_l|$  left parabolic cosets  $S_n/W_l$ .

**Defn.** Let  $I, J \in [n-1]$  and  $w \in S_n$ , then the sets of permutations the form  $W_I \cdot w \cdot W_J$  are parabolic double cosets.

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**Example.**  $W_{I}$  [4, 5, 1, 2, 3]  $W_{J}$  has 12 elements.

#### Facts.

- Parabolic double coset for  $W_I, W_J$  can have different sizes.
- ► *S<sub>n</sub>* is the disjoint union of the parabolic double cosets

$$W_I \setminus S_n / W_J = \{ W_I w W_J \mid w \in S_n \}.$$

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**Thm.**(Kobayashi 2011) Every parabolic double coset is an interval in Bruhat order. The follow polynomials are palindromic

$$\mathsf{P}_{I,w,J}(q) = \sum_{v \in W_I w W_J} q^{\ell(v)}.$$

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**Thm.** The Richardson variety in  $GL_n(\mathbb{C})/B$  indexed by u < v is smooth if and only if the following polynomial is palindromic

 $\sum_{u\leq v\leq w}q^{\ell(v)}.$ 

References on smooth Richardson varieties: See book by Billey-Lakshmibai, and papers by Carrell, Billey-Coskun, Lam-Knutson-Speyer, Kreiman-Lakshmibai, Knutson-Woo-Yong, Lenagan-Yakimov and many more.

**Question 1.** For a fixed *I*, *J*, how many distinct parabolic double cosets are there in  $W_I \setminus S_n / W_J$ ?

**Question 2.** Is there a formula for  $f(n) = \sum_{I,J} |W_I \setminus S_n / W_J|$ ?

**Question 3.** How many distinct parabolic double cosets are there in  $S_n$  in total?

# Counting Double Cosets

- ▶ G= finite group
- H, K = subgroups of G
- $H \setminus G/K = double \ cosets$  of G with respect to H, K= { $HgK : g \in G$ }

**Generlization of Question 1.** What is the size of  $H \setminus G/K$ ?

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# Counting Double Cosets

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**Generlization of Question 1.** What is the size of  $H \setminus G/K$ ?

#### One Answer..

The size of  $H \setminus G/K$  is given by the inner product of the characters of the two trivial representations on H and K respectively induced up to G.

Reference: Stanley's "Enumerative Combinatorics" Ex 7.77a.

**Question 2.** Is there a formula for  $f(n) = \sum_{I,J} |W_I \setminus S_n / W_J|$ ?

**Data.** 1, 1, 5, 33, 281, 2961, 37277, 546193, 9132865, 171634161 (A120733 in OEIS)

This counts the number of "two-way contingency tables" (see Diaconis-Gangoli 1994), the dimensions of the graded components of the Hopf algebra MQSym (see Duchamp-Hivert-Thibon 2002), and the number of cells in a two-sided analogue of the Coxeter complex (Petersen).

**Question 3.** How many distinct parabolic double cosets are there in  $S_n$  in total?

**Data.**: 
$$p(n) = |\{W_I v W_J \mid v \in S_n, I, J \subset [n-1]\}|,$$

1, 3, 19, 167, 1791, 22715, 334031, 5597524, 105351108, 2200768698

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Not formerly in the OEIS! Now, see A260700.

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**Defn.** For  $w \in S_n$ , let  $c_w$  be the number of distinct parabolic double cosets with w minimal.

**One Answer.** 
$$p(n) = \sum_{w \in S_n} c_w$$
.

**Lemma.** w is minimal in  $W_I w W_J$  if and only if  $\ell(s_i w) > \ell(w)$  for all  $i \in I$  and  $\ell(ws_j) > \ell(w)$  for all  $j \in J$ . So

$$c_w = \#\{W_I w W_J \mid I \subset Ascent(w^{-1}), J \subset Ascent(w)\}.$$

**Observation.** Sometimes  $W_I w W_J = W_{I'} w W_{J'}$  even if  $I, I' \subset Ascent(w^{-1})$  and  $J, J' \subset Ascent(w)$ .

**Dilemma.** Which representation is best for enumeration?

**Example.**  $w = [3, 4, 1, 2, 5] = w^{-1}$ , Ascent(w) =

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**Defn.** A small ascent for w is an ascent j such that  $ws_j = s_i w$ . Every other ascent is large.

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**Defn.** A small ascent for w is an ascent j such that  $ws_j = s_i w$ . Every other ascent is large.

**Enumeration Principle.** To count distinct parabolic double cosets  $W_I w W_J$  with w minimal, J can contain any subset of large ascents for w, I can contain any subset of large ascents for  $w^{-1}$ , count the small ascents very carefully!

**Theorem.** (Billey-Konvalinka-Petersen-Slofstra-Tenner)

1. There is a finite family of 81 integer sequences  $\{b_m^{\mathcal{I}} \mid m \ge 0\}$ , such that for any permutation w, the total number of parabolic double cosets with minimal element w is equal to

$$c_w = 2^{|\operatorname{Floats}(w)|} \sum_{T \subseteq \operatorname{Tethers}(w)} \left( \prod_{R \in \operatorname{Rafts}(w)} b_{|R|}^{\mathcal{I}(R,T)} \right).$$

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ight).$$

2. The sequences  $b_m^{\mathcal{I}}$  satisfy a linear recurrence, and thus can be easily computed in time linear in m.

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ight).$$

- 2. The sequences  $b_m^{\mathcal{I}}$  satisfy a linear recurrence, and thus can be easily computed in time linear in m.
- 3. The expected number of tethers for any given permutation is approximately 1/n.

### The Marine Model

Main Formula. For  $w \in S_n$ ,

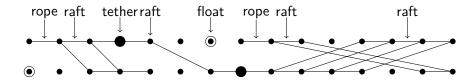
$$c_w = 2^{|\operatorname{Floats}(w)|} \sum_{T \subseteq \operatorname{Tethers}(w)} \left( \prod_{R \in \operatorname{Rafts}(w)} b_{|R|}^{\mathcal{I}(R,T)} \right)$$

#### The *w*-Ocean.

- 1. Take 2 parallel copies of the Coxeter graph G of  $S_n$
- Connect vertex i ∈ Ascent(w<sup>-1</sup>) and vertex j ∈ Ascent(w) by a new edge called planks whenever ws<sub>j</sub> = s<sub>i</sub>w.
- 3. Remove all edges not incident to a small ascent.

### The Marine Model

**Example.** Rafts, tethers, floats and ropes of the *w* ocean w = (1, 3, 4, 5, 7, 8, 2, 6, 14, 15, 16, 9, 10, 11, 12, 13).

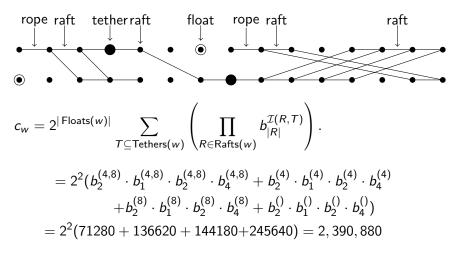


#### The Marine Model Terminology.

- 1. Raft a maximal connected component of adjacent planks.
- 2. Float a large ascent not adjacent to any rafts.
- 3. Rope a large ascent adjacent to exactly one raft.
- 4. Tether a large ascent connected to two rafts.

#### The Marine Model

**Example.** w = (1, 3, 4, 5, 7, 8, 2, 6, 14, 15, 16, 9, 10, 11, 12, 13).

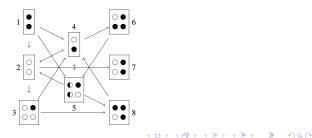


# **Proof Sketch**

**Defn.** (I, J) is lex minimal over all pairs (I', J') such that  $D = W'_I w W'_J$  provided |I| < |I'| or |I| = |I'| and |J| < |J'|.

**Lemma.** The lex minimal pair for a parabolic double coset is unique.

**Lemma.** Lex minimal pairs along any one raft correspond with words in the finite automaton below (loops are omitted), hence then are enumerated by a rational generating function  $P^{\mathcal{I}}(x)/Q(x)$  by the Transfer Matrix Method.



#### Coxeter Groups

G = Coxeter graph with vertices {1,2,...,n}, edges labeled by Z≥3 ∪∞.

$$\bullet_1 \xrightarrow{4} \bullet_2 \xrightarrow{3} \bullet_3 \xrightarrow{3} \bullet_4 \quad \approx \quad \bullet_1 \xrightarrow{4} \bullet_2 \longrightarrow \bullet_3 \longrightarrow \bullet_4$$

• W = Coxeter group generated by  $S = \{s_1, s_2, \dots, s_n\}$  with relations

1. 
$$s_i^2 = 1$$
.  
2.  $s_i s_j = s_j s_i$  if  $i, j$  not adjacent in  $G$ .  
3.  $\underbrace{s_i s_j s_i \cdots}_{m(i,j) \text{ gens}} = \underbrace{s_j s_i s_j \cdots}_{m(i,j) \text{ gens}}$  if  $i, j$  connected by edge labeled  $m(i, j)$ .

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#### Examples

Dihedral groups: Dih<sub>10</sub>  $\bullet_1 \xrightarrow{5} \bullet_2$ Symmetric groups:  $S_5$  $\bullet_1 - \bullet_2 - \bullet_3 - \bullet_4$ Hyperoctahedral groups:  $B_4$  $\bullet_1 \xrightarrow{4} \bullet_2 \longrightarrow \bullet_3 \longrightarrow \bullet_4$ E<sub>8</sub>:  $\bullet_1 - \bullet_2 - \bullet_3 - \bullet_4 - \bullet_5 - \bullet_6 - \bullet_7$ •8

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Generalizing the notation from Symmetric Groups

- ► W = Coxeter group generated by S = {s<sub>1</sub>, s<sub>2</sub>,..., s<sub>n</sub>} with special relations.
- ▶ l(w) = length of w = length of a reduced expression for w.
- $W_I = \langle s_i \mid i \in I \rangle$  is a parabolic subgroup of W.
- W<sub>I</sub>wW<sub>J</sub> is a parabolic double coset of W for any I, J ⊂ [n], w ∈ W.
- ► c<sub>w</sub> = number of distinct parabolic double cosets in W with minimal element w.

## Generalizing Main Theorem to Coxeter Groups

**Theorem.** (Billey-Konvalinka-Petersen-Slofstra-Tenner)

1. For every finite Coxeter group W and  $w \in W$ , we have

$$c_w = 2^{|\operatorname{Floats}(w)|} \sum_{\substack{T \subseteq \operatorname{Tethers}(w) \\ W \subseteq \operatorname{Wharfs}(w)}} \left( \prod_{\substack{R \in \operatorname{Rafts}(w) \\ R \in \operatorname{Marfs}(w)}} b_{|R|}^{\mathcal{I}(R,T,W)} \right).$$

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- 2. The sequences  $b_m^{\mathcal{I}(R,T,W)}$  satisfy a linear recurrence.
- We generalize the formula for c<sub>w</sub> to infinite families of Coxeter groups given by subdividing a fixed Coxeter graph G.

#### Mozes Numbers Game

**Algorithm.** Generates canonical representative for each element in a Coxeter group using its graph. (See Mozes 1990, Eriksson-Eriksson 1998, Björner-Brenti Book)

Input: Coxeter graph G and expression  $s_{i_1}s_{i_2}\ldots s_{i_p} = w$ .

Start: Each vertex of graph *G* assigned value 1. Replace each edge (i, j) of *G* by two opposing directed edges labeled  $f_{ij} > 0$  and  $f_{ji} > 0$  so that  $f_{ij}f_{ji} = 4\cos^2\left(\frac{\pi}{m(i,j)}\right)$  or  $f_{ij}f_{ji} = 4$  if  $m(i, j) = \infty$ .

Good choices:

m(i,j)	f <sub>ij</sub>	f <sub>ji</sub>
3	1	1
4	2	1
6	3	1

**Loop.** For each  $s_{i_k}$  in  $s_{i_1}s_{i_2}\ldots s_{i_p}$  fire node  $i_k$ .

To fire node *i*, add to the value of each neighbor *j* the current value at node *i* multiplied by  $f_{ij}$ . Negate the value on node *i*.

**Output.**: G(w) = the final values on the nodes of G.

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Properties:

- 1. Output only depends on the product  $s_{i_1}s_{i_2} \dots s_{i_p}$  and not on the particular choice of expression.
- 2. Node *i* is negative in G(w) iff  $\ell(ws_i) < \ell(w)$ .
- 3. Node *i* never has value 0.
- 4. If *I* ⊂ *S*, modify the game to get representatives for *W*/*W*<sub>*I*</sub> by starting with initial value 0 on nodes in *I*. Then *ws<sub>i</sub>* = *w* iff node *i* has value 0. Useful for studying parabolic cosets.

# **Open Problems**

- 1. Follow up to Question 3: Is there a simpler or more efficient formula for the total number of distinct parabolic double cosets are there in  $S_n$  than the one given here?
- 2. Follow up to Question 2: Is there a simpler or more efficient formula for  $f(n) = \sum_{I,J} |W_I \setminus S_n / W_J|$ ?

3. What other families of double cosets have interesting enumeration formulas?