

Families of varieties of general type: the Shafarevich conjecture and related problems

Sándor Kovács

University of Washington

*"To me,
algebraic geometry
is algebra with a kick"*

–Solomon Lefschetz

- 1 Curves
- 2 Families
- 3 Shafarevich's Conjecture
- 4 The Proof of Shafarevich's Conjecture
- 5 Generalizations

Curves

Riemann Surfaces

Genus

Topology, Arithmetic and
Differential Geometry

Families

Shafarevich's
Conjecture

The Proof

Generalizations

1 Curves

- Riemann Surfaces
- Genus
- Topology, Arithmetic and Differential Geometry

Smooth Complex Projective Curve

=

Compact Riemann
Surface

Smooth Complex
Projective Curve
 $=$
Compact Riemann
Surface

1 Curves

- Riemann Surfaces
- **Genus**
- Topology, Arithmetic and Differential Geometry

Genus

Shafarevich
Conjecture

Sándor Kovács

Curves

Riemann Surfaces

Genus

Topology, Arithmetic and
Differential Geometry

Families

Shafarevich's
Conjecture

The Proof

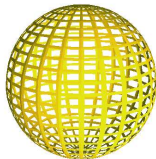
Generalizations

genus 0

genus 1

genus 2

genus 0

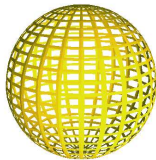


genus 1

genus 2

Genus

genus 0



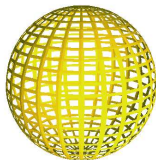
genus 1



genus 2

Genus

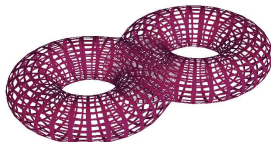
genus 0



genus 1



genus 2, ...



Curves

Riemann Surfaces

Genus

Topology, Arithmetic and
Differential Geometry

Families

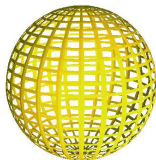
Shafarevich's
Conjecture

The Proof

Generalizations

Genus

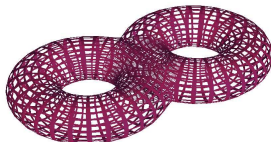
genus 0



genus 1



genus 2, ...



1 Curves

- Riemann Surfaces
- Genus
- Topology, Arithmetic and Differential Geometry

Smooth Projective Curves

Shafarevich
Conjecture

Sándor Kovács

Curves

Riemann Surfaces

Genus

Topology, Arithmetic and
Differential Geometry

Families

Shafarevich's
Conjecture

The Proof

Generalizations

- $C \simeq \mathbb{P}^1$
- C is a plane cubic
- C has genus ≥ 2

Smooth Projective Curves

- Smooth curves C come in three types:

- $C \simeq \mathbb{P}^1$
- C is a plane cubic
- C has genus ≥ 2

Smooth Projective Curves

- Smooth curves C come in three types:
- $C \simeq \mathbb{P}^1$ (rational)
- C is a plane cubic (elliptic)
- C has genus ≥ 2 (general type)

Smooth Projective Curves

- Smooth curves C come in three types:
 - $C \simeq \mathbb{P}^1$ (rational)
 - C is a plane cubic (elliptic)
 - C has genus ≥ 2 (general type)

Smooth Projective Curves

- Smooth curves C come in three types:
 - $C \simeq \mathbb{P}^1$ (rational)
 - C is a plane cubic (elliptic)
 - C has genus ≥ 2 (general type)

Topology of Curves

- Smooth curves C come in three types, and...
- $C \simeq \mathbb{P}^1$ (rational)
- C is a plane cubic (elliptic)
- C has genus ≥ 2 (general type)

Topology of Curves

- ...their fundamental groups come in three types:
 - $C \simeq \mathbb{P}^1$
 - C is a plane cubic
 - C has genus ≥ 2

Topology of Curves

● ...their fundamental groups come in three types:

● $C \simeq \mathbb{P}^1$

π_1 is trivial

● C is a plane cubic

● C has genus ≥ 2

Topology of Curves

● ...their fundamental groups come in three types:

● $C \simeq \mathbb{P}^1$

π_1 is trivial

● C is a plane cubic

π_1 is abelian

● C has genus ≥ 2

Topology of Curves

● ...their fundamental groups come in three types:

● $C \simeq \mathbb{P}^1$

π_1 is trivial

● C is a plane cubic

π_1 is abelian

● C has genus ≥ 2

π_1 is non-commutative

- Smooth curves C come in three types, and...
- $C \simeq \mathbb{P}^1$
- C is a plane cubic
- C has genus ≥ 2

- ...rational points on them come in three types:
- $C \simeq \mathbb{P}^1$
- C is a plane cubic
- C has genus ≥ 2

● ...rational points on them come in three types:

● $C \simeq \mathbb{P}^1$

lots

● C is a plane cubic

● C has genus ≥ 2

● ...rational points on them come in three types:

- $C \simeq \mathbb{P}^1$ non-finitely generated
- C is a plane cubic
- C has genus ≥ 2

● ...rational points on them come in three types:

- $C \simeq \mathbb{P}^1$ non-finitely generated
- C is a plane cubic finitely generated
- C has genus ≥ 2

● ...rational points on them come in three types:

- $C \simeq \mathbb{P}^1$ non-finitely generated
- C is a plane cubic finitely generated
- C has genus ≥ 2 finite

Differential Geometry of Curves

Shafarevich
Conjecture

Sándor Kovács

Curves

Riemann Surfaces

Genus

Topology, Arithmetic and
Differential Geometry

Families

Shafarevich's
Conjecture

The Proof

Generalizations

- Smooth curves C come in three types, and...
- $C \simeq \mathbb{P}^1$
- C is a plane cubic
- C has genus ≥ 2

Differential Geometry of Curves

Shafarevich
Conjecture

Sándor Kovács

Curves

Riemann Surfaces

Genus

Topology, Arithmetic and
Differential Geometry

Families

Shafarevich's
Conjecture

The Proof

Generalizations

- ...their curvatures come in three types:
 - $C \simeq \mathbb{P}^1$
 - C is a plane cubic
 - C has genus ≥ 2

Differential Geometry of Curves

Shafarevich
Conjecture

Sándor Kovács

Curves

Riemann Surfaces

Genus

Topology, Arithmetic and
Differential Geometry

Families

Shafarevich's
Conjecture

The Proof

Generalizations

● ...their curvatures come in three types:

- $C \simeq \mathbb{P}^1$ positively curved
- C is a plane cubic
- C has genus ≥ 2

Differential Geometry of Curves

Shafarevich
Conjecture

Sándor Kovács

Curves

Riemann Surfaces

Genus

Topology, Arithmetic and
Differential Geometry

Families

Shafarevich's
Conjecture

The Proof

Generalizations

● ...their curvatures come in three types:

● $C \simeq \mathbb{P}^1$ positively curved

● C is a plane cubic flat

● C has genus ≥ 2

Differential Geometry of Curves

● ...their curvatures come in three types:

- $C \simeq \mathbb{P}^1$ positively curved
- C is a plane cubic flat
- C has genus ≥ 2 negatively curved

Differential Geometry of Curves

Shafarevich
Conjecture

Sándor Kovács

Curves

Riemann Surfaces

Genus

Topology, Arithmetic and
Differential Geometry

Families

Shafarevich's
Conjecture

The Proof

Generalizations

● ...their curvatures come in three types:

● $C \simeq \mathbb{P}^1$

parabolic

● C is a plane cubic

● C has genus ≥ 2

Differential Geometry of Curves

Shafarevich
Conjecture

Sándor Kovács

Curves

Riemann Surfaces

Genus

Topology, Arithmetic and
Differential Geometry

Families

Shafarevich's
Conjecture

The Proof

Generalizations

● ...their curvatures come in three types:

● $C \simeq \mathbb{P}^1$

parabolic

● C is a plane cubic

elliptic

● C has genus ≥ 2

Differential Geometry of Curves

Shafarevich
Conjecture

Sándor Kovács

Curves

Riemann Surfaces

Genus

Topology, Arithmetic and
Differential Geometry

Families

Shafarevich's
Conjecture

The Proof

Generalizations

● ...their curvatures come in three types:

● $C \simeq \mathbb{P}^1$ parabolic

● C is a plane cubic elliptic

● C has genus ≥ 2 hyperbolic

2

Families

- Examples and Definitions
- Isotrivial and Non-isotrivial Families

A family of curves of general type

Shafarevich
Conjecture

Sándor Kovács

Curves

Families

Examples and Definitions

Isotrivial and Non-isotrivial

Shafarevich's
Conjecture

The Proof

Generalizations

$y^2 = x^5 - 5\lambda x + 4\lambda$ defines a smooth family of curves parametrized by $\lambda \neq 0, 1$.

$$X = (y^2 = x^5 - 5\lambda x + 4\lambda) \subset \mathbb{A}^2_{x,y}$$

A family of curves of general type

$y^2 = x^5 - 5\lambda x + 4\lambda$ defines a smooth family of curves parametrized by $\lambda \neq 0, 1$.

$$X = (y^2 = x^5 - 5\lambda x + 4\lambda) \subseteq \mathbb{A}_{x,y,\lambda}^3$$
$$\downarrow \pi$$
$$\mathbb{A}_\lambda^1$$

A family of curves of general type

$y^2 = x^5 - 5\lambda x + 4\lambda$ defines a smooth family of curves parametrized by $\lambda \neq 0, 1$.

$$X = (y^2 = x^5 - 5\lambda x + 4\lambda) \subseteq \mathbb{A}_{x,y,\lambda}^3$$

$$\begin{array}{c} \downarrow \pi \\ \mathbb{A}_{\lambda}^1 \end{array}$$

A family of curves of general type

$y^2 = x^5 - 5\lambda x + 4\lambda$ defines a smooth family of curves parametrized by $\lambda \neq 0, 1$.

$$X = (y^2 = x^5 - 5\lambda x + 4\lambda) \subseteq \mathbb{A}_{x,y,\lambda}^3$$

$$\begin{array}{c} \downarrow \pi \\ \mathbb{A}_{\lambda}^1 \end{array}$$

A family of curves of general type

$y^2 = x^5 - 5\lambda x + 4\lambda$ defines a smooth family of curves parametrized by $\lambda \neq 0, 1$.

$$X = (y^2 = x^5 - 5\lambda x + 4\lambda) \subseteq \mathbb{A}_{x,y,\lambda}^3$$
$$\downarrow \pi$$
$$\mathbb{A}_\lambda^1$$

A family of curves of general type

$y^2 = x^5 - 5\lambda x + 4\lambda$ defines a smooth family of curves parametrized by $\lambda \neq 0, 1$.

$$X = (y^2 = x^5 - 5\lambda x + 4\lambda) \subseteq \mathbb{A}_{x,y,\lambda}^3$$

$$\downarrow \pi$$

$$\mathbb{A}_\lambda^1$$

$$X$$

$$\downarrow \pi$$

$$\mathbb{A}_\lambda^1$$

A family of curves of general type

$y^2 = x^5 - 5\lambda x + 4\lambda$ defines a smooth family of curves parametrized by $\lambda \neq 0, 1$.

$$X = (y^2 = x^5 - 5\lambda x + 4\lambda) \subseteq \mathbb{A}_{x,y,\lambda}^3$$

$$\downarrow \pi$$

$$\mathbb{A}_\lambda^1$$

$$\mathbb{A}_{x,y}^2 \supseteq X_\lambda = \pi^{-1}(\lambda) \subseteq X$$

$$\downarrow \pi$$

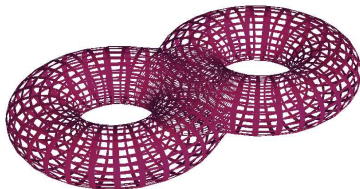
$$\lambda \in \mathbb{A}_\lambda^1$$

- B – smooth projective curve of genus g ,
 $\Delta \subseteq B$ – finite set of points.

- A family over $B \setminus \Delta$, $f : X \rightarrow B \setminus \Delta$, is a surjective (flat) map with equidimensional, connected fibers.
- A family is smooth if $X_b = f^{-1}(b)$ is smooth for $\forall b \in B$.

Notation

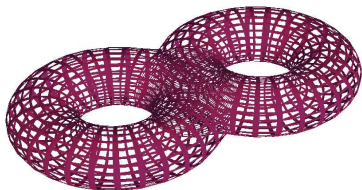
- B – smooth projective curve of genus g ,
 $\Delta \subseteq B$ – finite set of points.



- A family over $B \setminus \Delta$, $f : X \rightarrow B \setminus \Delta$, is a surjective (flat) map with equidimensional, connected fibers.
- A family is smooth if $X_b = f^{-1}(b)$ is smooth for $\forall b \in B$.

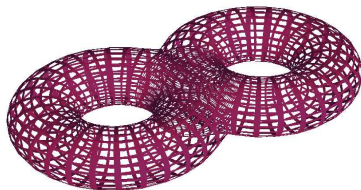
Notation

- B – smooth projective curve of genus g ,
 $\Delta \subseteq B$ – finite set of points.



- A **family** over $B \setminus \Delta$, $f : X \rightarrow B \setminus \Delta$, is a surjective (flat) map with equidimensional, connected fibers.
- A family is **smooth** if $X_b = f^{-1}(b)$ is smooth for $\forall b \in B$.

- B – smooth projective curve of genus g ,
 $\Delta \subseteq B$ – finite set of points.



- A **family** over $B \setminus \Delta$, $f : X \rightarrow B \setminus \Delta$, is a surjective (flat) map with equidimensional, connected fibers.
- A family is **smooth** if $X_b = f^{-1}(b)$ is smooth for $\forall b \in B$.

2

Families

- Examples and Definitions
- **Isotrivial and Non-isotrivial Families**

Isotrivial Family

Shafarevich
Conjecture

Sándor Kovács

Curves

Families

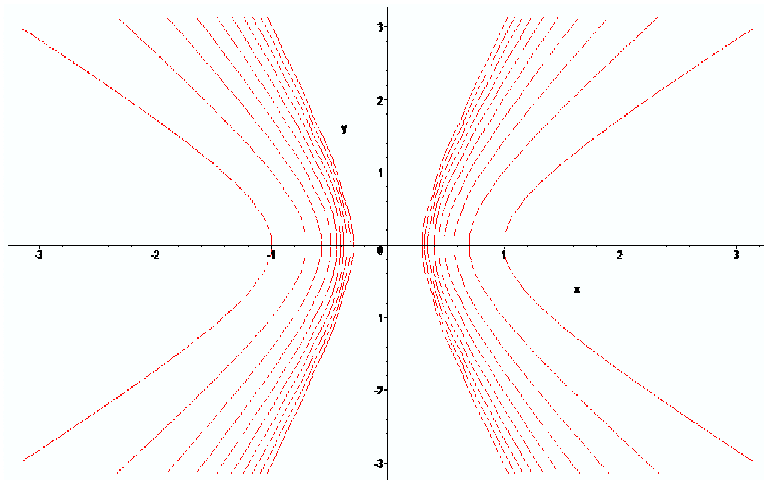
Examples and Definitions

Isotrivial and Non-isotrivial

Shafarevich's
Conjecture

The Proof

Generalizations



Isotrivial Family

Shafarevich
Conjecture

Sándor Kovács

Curves

Families

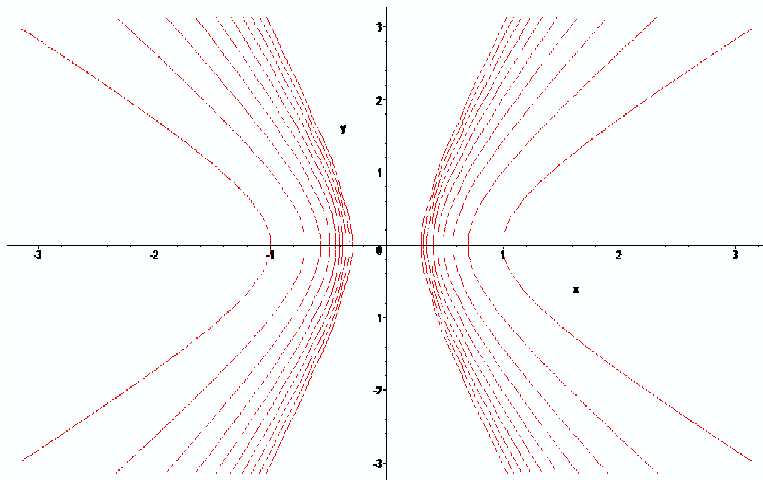
Examples and Definitions

Isotrivial and Non-isotrivial

Shafarevich's
Conjecture

The Proof

Generalizations



All smooth fibers are isomorphic to \mathbb{P}^1 .

Isotrivial Family

Shafarevich
Conjecture

Sándor Kovács

Curves

Families

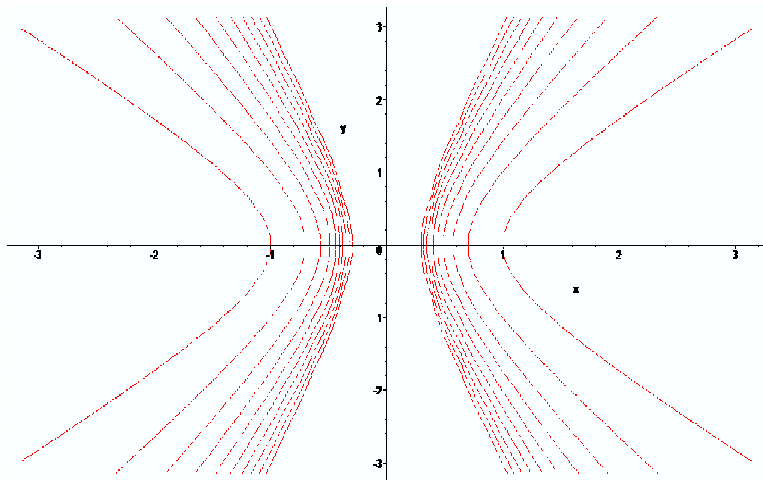
Examples and Definitions

Isotrivial and Non-isotrivial

Shafarevich's
Conjecture

The Proof

Generalizations



All smooth fibers are isomorphic to \mathbb{P}^1 .

Non-isotrivial families

- The family defined by $y^2 = x^5 - 5\lambda x + 4\lambda$ is not isotrivial, because

$$X_{\lambda_1} \not\cong X_{\lambda_2}$$

for $\lambda_1 \neq \lambda_2$.

- A family $f : X \rightarrow B$ is **isotrivial** if there exists a finite set $\Delta \subset B$ such that $X_b \simeq X_{b'}$ for all $b, b' \in B \setminus \Delta$.

- The family defined by $y^2 = x^5 - 5\lambda x + 4\lambda$ is not isotrivial, because

$$X_{\lambda_1} \not\cong X_{\lambda_2}$$

for $\lambda_1 \neq \lambda_2$.

- A family $f : X \rightarrow B$ is **isotrivial** if there exists a finite set $\Delta \subset B$ such that $X_b \simeq X_{b'}$ for all $b, b' \in B \setminus \Delta$.

- The family defined by $y^2 = x^5 - 5\lambda x + 4\lambda$ is not isotrivial, because

$$X_{\lambda_1} \not\cong X_{\lambda_2}$$

for $\lambda_1 \neq \lambda_2$.

- A family $f : X \rightarrow B$ is **isotrivial** if there exists a finite set $\Delta \subset B$ such that $X_b \simeq X_{b'}$ for all $b, b' \in B \setminus \Delta$.

Warm-Up Question: Let $g \in \mathbb{Z}$. How many isotrivial families of curves of genus g over B are there?

- The family defined by $y^2 = x^5 - 5\lambda x + 4\lambda$ is not isotrivial, because

$$X_{\lambda_1} \not\cong X_{\lambda_2}$$

for $\lambda_1 \neq \lambda_2$.

- A family $f : X \rightarrow B$ is **isotrivial** if there exists a finite set $\Delta \subset B$ such that $X_b \simeq X_{b'}$ for all $b, b' \in B \setminus \Delta$.

Warm-Up Question: Let $g \in \mathbb{Z}$. How many isotrivial families of curves of genus g over B are there? ∞

- 3 Shafarevich's Conjecture
 - Statement and Definitions
 - Results and Connections

Shafarevich's Conjecture (1962)

● Fix B, Δ as before, $q \in \mathbb{Z}$, $q \geq 2$. Then

(I) there exists only finitely many non-isotrivial smooth families of curves of genus q over $B \setminus \Delta$.

(II) If

$$2g(B) - 2 + \#\Delta \leq 0,$$

then there aren't any admissible families.

Shafarevich's Conjecture (1962)

● Fix B, Δ as before, $q \in \mathbb{Z}$, $q \geq 2$. Then

(I) there exists only finitely many non-isotrivial smooth families of curves of genus q over $B \setminus \Delta$.

(II) If

$$2g(B) - 2 + \#\Delta \leq 0,$$

then there aren't any admissible families.

Shafarevich's Conjecture (1962)

- Fix B, Δ as before, $q \in \mathbb{Z}$, $q \geq 2$. Then
- (I) there exists only finitely many non-isotrivial smooth families of curves of genus q over $B \setminus \Delta$. These will be called “admissible families”.
- (II) If
$$2g(B) - 2 + \#\Delta \leq 0,$$
then there aren't any admissible families.

Shafarevich's Conjecture (1962)

- Fix B, Δ as before, $q \in \mathbb{Z}$, $q \geq 2$. Then
 - (I) there exists only finitely many non-isotrivial smooth families of curves of genus q over $B \setminus \Delta$. These will be called “admissible families”.
 - (II) If
$$2g(B) - 2 + \#\Delta \leq 0,$$
then there aren't any admissible families.

Shafarevich's Conjecture (1962)

- Fix B, Δ as before, $q \in \mathbb{Z}$, $q \geq 2$. Then
- (I) there exists only finitely many non-isotrivial smooth families of curves of genus q over $B \setminus \Delta$. These will be called “admissible families”.
- (II) If

$$2g(B) - 2 + \#\Delta \leq 0,$$

then there aren't any admissible families.

- Note:

$$2g(B) - 2 + \#\Delta \leq 0 \Leftrightarrow \begin{cases} g(B) = 0 & \& \#\Delta \leq 2 \\ g(B) = 1 & \& \Delta = \emptyset \end{cases}$$

- A complex analytic space X is **Brody hyperbolic** if every $\mathbb{C} \rightarrow X$ holomorphic map is constant.
- X Brody hyperbolic implies that
 - $\forall \mathbb{C}^* \rightarrow X$ holomorphic map is constant,
 - $\forall T \rightarrow X$ holomorphic map is constant, for any complex torus $T = \mathbb{C}^n / \mathbb{Z}^{2n}$.

- A complex analytic space X is **Brody hyperbolic** if every $\mathbb{C} \rightarrow X$ holomorphic map is constant.
- X Brody hyperbolic implies that
 - $\forall \mathbb{C}^* \rightarrow X$ holomorphic map is constant,
 - $\forall T \rightarrow X$ holomorphic map is constant, for any complex torus $T = \mathbb{C}^n / \mathbb{Z}^{2n}$.

- A complex analytic space X is **Brody hyperbolic** if every $\mathbb{C} \rightarrow X$ holomorphic map is constant.
- X Brody hyperbolic implies that
 - $\forall \mathbb{C}^* \rightarrow X$ holomorphic map is constant,
 - $\forall T \rightarrow X$ holomorphic map is constant, for any complex torus $T = \mathbb{C}^n / \mathbb{Z}^{2n}$.

- A complex analytic space X is **Brody hyperbolic** if every $\mathbb{C} \rightarrow X$ holomorphic map is constant.
- X Brody hyperbolic implies that
 - $\forall \mathbb{C}^* \rightarrow X$ holomorphic map is constant,
 - $\forall T \rightarrow X$ holomorphic map is constant, for any complex torus $T = \mathbb{C}^n / \mathbb{Z}^{2n}$.

- A complex analytic space X is **Brody hyperbolic** if every $\mathbb{C} \rightarrow X$ holomorphic map is constant.
- X Brody hyperbolic **is equivalent to**
 - $\forall \mathbb{C}^* \rightarrow X$ holomorphic map is constant,
 - $\forall T \rightarrow X$ holomorphic map is constant, for any complex torus $T = \mathbb{C}^n / \mathbb{Z}^{2n}$.

- A complex analytic space X is **Brody hyperbolic** if every $\mathbb{C} \rightarrow X$ holomorphic map is constant.
- X Brody hyperbolic is equivalent to
 - $\forall \mathbb{C}^* \rightarrow X$ holomorphic map is constant,
 - $\forall T \rightarrow X$ holomorphic map is constant, for any complex torus $T = \mathbb{C}^n / \mathbb{Z}^{2n}$.
- An algebraic variety X is **algebraically hyperbolic** if

- A complex analytic space X is **Brody hyperbolic** if every $\mathbb{C} \rightarrow X$ holomorphic map is constant.
- X Brody hyperbolic is equivalent to
 - $\forall \mathbb{C}^* \rightarrow X$ holomorphic map is constant,
 - $\forall T \rightarrow X$ holomorphic map is constant, for any complex torus $T = \mathbb{C}^n / \mathbb{Z}^{2n}$.
- An algebraic variety X is **algebraically hyperbolic** if
 - $\forall \mathbb{A}^1 \setminus \{0\} \rightarrow X$ regular map is constant

- A complex analytic space X is **Brody hyperbolic** if every $\mathbb{C} \rightarrow X$ holomorphic map is constant.
- X Brody hyperbolic is equivalent to
 - $\forall \mathbb{C}^* \rightarrow X$ holomorphic map is constant,
 - $\forall T \rightarrow X$ holomorphic map is constant, for any complex torus $T = \mathbb{C}^n / \mathbb{Z}^{2n}$.
- An algebraic variety X is **algebraically hyperbolic** if
 - $\forall \mathbb{A}^1 \setminus \{0\} \rightarrow X$ regular map is constant, and
 - $\forall A \rightarrow X$ regular map is constant, for any abelian variety (projective algebraic group) A .

Hyperbolicity: Examples

- B – smooth projective curve,
 $\Delta \subseteq B$ – finite subset.
 - If $g(B) \geq 2$, then
 $B \setminus \Delta$ is hyperbolic for arbitrary Δ .
 - If $g(B) = 1$, then
 $B \setminus \Delta$ is hyperbolic $\Leftrightarrow \Delta \neq \emptyset$.
 - If $g(B) = 0$, then
 $B \setminus \Delta$ is hyperbolic $\Leftrightarrow \#\Delta \geq 3$.
- $B \setminus \Delta$ is hyperbolic $\Leftrightarrow 2g(B) - 2 + \#\Delta > 0$.

Hyperbolicity: Examples

- B – smooth projective curve,
 $\Delta \subseteq B$ – finite subset.
 - If $g(B) \geq 2$, then
 $B \setminus \Delta$ is hyperbolic for arbitrary Δ .
 - If $g(B) = 1$, then
 $B \setminus \Delta$ is hyperbolic $\Leftrightarrow \Delta \neq \emptyset$.
 - If $g(B) = 0$, then
 $B \setminus \Delta$ is hyperbolic $\Leftrightarrow \#\Delta \geq 3$.
- $B \setminus \Delta$ is hyperbolic $\Leftrightarrow 2g(B) - 2 + \#\Delta > 0$.

Hyperbolicity: Examples

- B – smooth projective curve,
 $\Delta \subseteq B$ – finite subset.
 - If $g(B) \geq 2$, then
 $B \setminus \Delta$ is hyperbolic for arbitrary Δ .
 - If $g(B) = 1$, then
 $B \setminus \Delta$ is hyperbolic $\Leftrightarrow \Delta \neq \emptyset$.
 - If $g(B) = 0$, then
 $B \setminus \Delta$ is hyperbolic $\Leftrightarrow \#\Delta \geq 3$.
- $B \setminus \Delta$ is hyperbolic $\Leftrightarrow 2g(B) - 2 + \#\Delta > 0$.

Hyperbolicity: Examples

- B – smooth projective curve,
 $\Delta \subseteq B$ – finite subset.
 - If $g(B) \geq 2$, then
 $B \setminus \Delta$ is hyperbolic for arbitrary Δ .
 - If $g(B) = 1$, then
 $B \setminus \Delta$ is hyperbolic $\Leftrightarrow \Delta \neq \emptyset$.
 - If $g(B) = 0$, then
 $B \setminus \Delta$ is hyperbolic $\Leftrightarrow \#\Delta \geq 3$.
- $B \setminus \Delta$ is hyperbolic $\Leftrightarrow 2g(B) - 2 + \#\Delta > 0$.

Hyperbolicity: Examples

- B – smooth projective curve,
 $\Delta \subseteq B$ – finite subset.
 - If $g(B) \geq 2$, then
 $B \setminus \Delta$ is hyperbolic for arbitrary Δ .
 - If $g(B) = 1$, then
 $B \setminus \Delta$ is hyperbolic $\Leftrightarrow \Delta \neq \emptyset$.
 - If $g(B) = 0$, then
 $B \setminus \Delta$ is hyperbolic $\Leftrightarrow \#\Delta \geq 3$.
- $B \setminus \Delta$ is hyperbolic $\Leftrightarrow 2g(B) - 2 + \#\Delta > 0$.

Shafarevich's Conjecture: Take Two

● Fix B, Δ as before, $q \in \mathbb{Z}$, $q \geq 2$. Then

(I) there exists only finitely many non-isotrivial smooth families of curves of genus q over $B \setminus \Delta$. “admissible families”.

(II) If

$$2g(B) - 2 + \#\Delta \leq 0,$$

then there aren't any admissible families.

(II*) If there exists any admissible families, then $B \setminus \Delta$ is hyperbolic.

Shafarevich's Conjecture: Take Two

● Fix B, Δ as before, $q \in \mathbb{Z}$, $q \geq 2$. Then

(I) there exists only finitely many non-isotrivial smooth families of curves of genus q over $B \setminus \Delta$. “admissible families”.

(II) If

$$2g(B) - 2 + \#\Delta \leq 0,$$

then there aren't any admissible families.

(II*) If there exists any admissible families, then $B \setminus \Delta$ is hyperbolic.

Shafarevich's Conjecture: Take Two

● Fix B, Δ as before, $q \in \mathbb{Z}$, $q \geq 2$. Then

(I) there exists only finitely many non-isotrivial smooth families of curves of genus q over $B \setminus \Delta$, “admissible families”.

(II) If

$$2g(B) - 2 + \#\Delta \leq 0,$$

then there aren't any admissible families.

(II*) If there exists any admissible families, then $B \setminus \Delta$ is hyperbolic.

Shafarevich's Conjecture: Take Two

● Fix B, Δ as before, $q \in \mathbb{Z}$, $q \geq 2$. Then

(I) there exists only finitely many non-isotrivial smooth families of curves of genus q over $B \setminus \Delta$, “admissible families”.

(II) If

$$2g(B) - 2 + \#\Delta \leq 0,$$

then there aren't any admissible families.

(II*) If there exists any admissible families, then $B \setminus \Delta$ is hyperbolic.

Shafarevich's Conjecture: Take Two

● Fix B, Δ as before, $q \in \mathbb{Z}$, $q \geq 2$. Then

(I) there exists only finitely many non-isotrivial smooth families of curves of genus q over $B \setminus \Delta$, “admissible families”.

(II) If

$$2g(B) - 2 + \#\Delta \leq 0,$$

then there aren't any admissible families.

(II*) If there exists any admissible families, then $B \setminus \Delta$ is hyperbolic.

- 3 Shafarevich's Conjecture
 - Statement and Definitions
 - Results and Connections

- The Shafarevich Conjecture was confirmed by PARSHIN (1968) for $\Delta = \emptyset$, and by ARAKELOV (1971) in general.
- **INTERMEZZO**: The number field case.
 - *Mordell Conjecture (1922)*:
 F – a number field (a finite extension field of \mathbb{Q}),
 C – a smooth projective curve of genus ≥ 2
defined over F .
Then C has only finitely many F -rational points.
This was proved by FALTINGS (1983).
 - The Shafarevich Conjecture has a number field version as well.

- The Shafarevich Conjecture was confirmed by PARSHIN (1968) for $\Delta = \emptyset$, and by ARAKELOV (1971) in general.
- INTERMEZZO: The number field case.
 - *Mordell Conjecture (1922)*:
 F – a number field (a finite extension field of \mathbb{Q}),
 C – a smooth projective curve of genus ≥ 2
defined over F .
Then C has only finitely many F -rational points.
This was proved by FALTINGS (1983).
 - The Shafarevich Conjecture has a number field version as well.

- The Shafarevich Conjecture was confirmed by PARSHIN (1968) for $\Delta = \emptyset$, and by ARAKELOV (1971) in general.
- **INTERMEZZO:** The number field case.
 - *Mordell Conjecture (1922):*
 F – a number field (a finite extension field of \mathbb{Q}),
 C – a smooth projective curve of genus ≥ 2
defined over F .
Then C has only finitely many F -rational points.
This was proved by FALTINGS (1983).
 - The Shafarevich Conjecture has a number field version as well.

- The Shafarevich Conjecture was confirmed by PARSHIN (1968) for $\Delta = \emptyset$, and by ARAKELOV (1971) in general.
- **INTERMEZZO:** The number field case.
 - *Mordell Conjecture (1922):*
 F – a number field (a finite extension field of \mathbb{Q}),
 C – a smooth projective curve of genus ≥ 2
defined over F .
Then C has only finitely many F -rational points.
This was proved by FALTINGS (1983).
 - The Shafarevich Conjecture has a number field version as well.

- The Shafarevich Conjecture was confirmed by PARSHIN (1968) for $\Delta = \emptyset$, and by ARAKELOV (1971) in general.
- **INTERMEZZO:** The number field case.
 - *Mordell Conjecture (1922):*
 F – a number field (a finite extension field of \mathbb{Q}),
 C – a smooth projective curve of genus ≥ 2
defined over F .
Then C has only finitely many F -rational points.
This was proved by FALTINGS (1983).
 - The Shafarevich Conjecture has a number field version as well.

- The Shafarevich Conjecture was confirmed by PARSHIN (1968) for $\Delta = \emptyset$, and by ARAKELOV (1971) in general.
- **INTERMEZZO:** The number field case.
 - *Mordell Conjecture (1922):*
 F – a number field (a finite extension field of \mathbb{Q}),
 C – a smooth projective curve of genus ≥ 2
defined over F .
Then C has only finitely many F -rational points.
This was proved by FALTINGS (1983).
 - The Shafarevich Conjecture has a number field version as well.

- The Shafarevich Conjecture was confirmed by PARSHIN (1968) for $\Delta = \emptyset$, and by ARAKELOV (1971) in general.
- **INTERMEZZO:** The number field case.
 - *Mordell Conjecture (1922):*
 F – a number field (a finite extension field of \mathbb{Q}),
 C – a smooth projective curve of genus ≥ 2
defined over F .
Then C has only finitely many F -rational points.
This was proved by FALTINGS (1983).
 - The Shafarevich Conjecture has a number field version as well.

Geometric Mordell Conjecture

- Let $f : X \rightarrow B$ be a non-isotrivial family of projective curves of genus ≥ 2 .
Then there are only finitely many *sections* of f ,
i.e., $\sigma : B \rightarrow X$, such that $f \circ \sigma = \text{id}_B$.

- This was proved by MANIN (1963).

And again by PARSHIN using

“Parshin’s Covering Trick” to prove that

The Shafarevich Conjecture
implies
The Mordell Conjecture.

Geometric Mordell Conjecture

- Let $f : X \rightarrow B$ be a non-isotrivial family of projective curves of genus ≥ 2 .
Then there are only finitely many *sections* of f , i.e., $\sigma : B \rightarrow X$, such that $f \circ \sigma = \text{id}_B$.
- This was proved by MANIN (1963).

And again by PARSHIN using

“Parshin’s Covering Trick” to prove that

The Shafarevich Conjecture
implies
The Mordell Conjecture.

Geometric Mordell Conjecture

- Let $f : X \rightarrow B$ be a non-isotrivial family of projective curves of genus ≥ 2 . Then there are only finitely many *sections* of f , i.e., $\sigma : B \rightarrow X$, such that $f \circ \sigma = \text{id}_B$.
- This was proved by MANIN (1963).
And again by PARSHIN (1968).

“Parshin’s Covering Trick” to prove that

The Shafarevich Conjecture
implies
The Mordell Conjecture.

Geometric Mordell Conjecture

- Let $f : X \rightarrow B$ be a non-isotrivial family of projective curves of genus ≥ 2 . Then there are only finitely many *sections* of f , i.e., $\sigma : B \rightarrow X$, such that $f \circ \sigma = \text{id}_B$.
- This was proved by MANIN (1963).
And again by PARSHIN (1968) using

“Parshin’s Covering Trick” to prove that

**The Shafarevich Conjecture
implies
The Mordell Conjecture.**

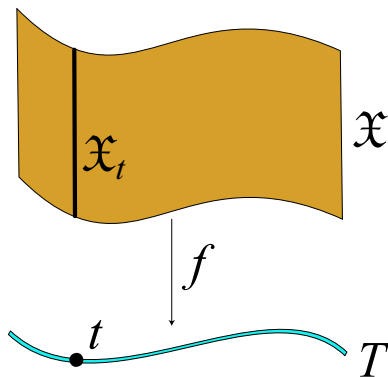
4

The Proof of Shafarevich's Conjecture

- Deformation Theory
- The Arakelov-Parshin method

- A **deformation** of an algebraic variety X is a family $F : \mathfrak{X} \rightarrow T$ such that there exists a $t \in T$ that $X \simeq \mathfrak{X}_t$.

- A **deformation** of an algebraic variety X is a family $F : \mathfrak{X} \rightarrow T$ such that there exists a $t \in T$ that $X \simeq \mathfrak{X}_t$.

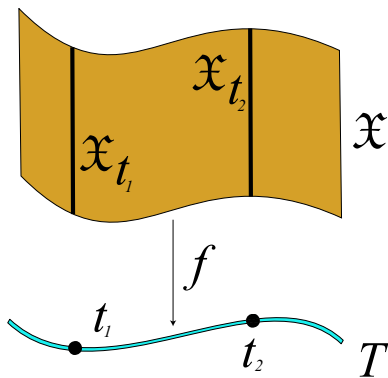


Deformation Type

- Two algebraic varieties X_1 and X_2 have the same **deformation type** if there exists a family $F : \mathfrak{X} \rightarrow T$, $t_1, t_2 \in T$ such that $X_1 \simeq \mathfrak{X}_{t_1}$ and $X_2 \simeq \mathfrak{X}_{t_2}$.

Deformation Type

- Two algebraic varieties X_1 and X_2 have the same **deformation type** if there exists a family $F: \mathfrak{X} \rightarrow T$, $t_1, t_2 \in T$ such that $X_1 \simeq \mathfrak{X}_{t_1}$ and $X_2 \simeq \mathfrak{X}_{t_2}$.



Deformations of Families

Shafarevich
Conjecture

Sándor Kovács

- A **deformation** of a family $X \rightarrow B$ is a family $F : \mathfrak{X} \rightarrow B \times T$ such that there exists a $t \in T$ that $(X \rightarrow B) \simeq (\mathfrak{X}_t \rightarrow B \times \{t\})$, where $\mathfrak{X}_t = F^{-1}(B \times \{t\})$.

Curves

Families

Shafarevich's
Conjecture

The Proof

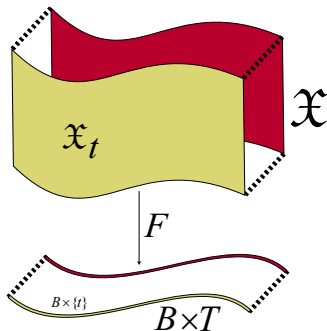
Deformation Theory

Arakelov-Parshin method

Generalizations

Deformations of Families

- A **deformation** of a family $X \rightarrow B$ is a family $F: \mathfrak{X} \rightarrow B \times T$ such that there exists a $t \in T$ that $(X \rightarrow B) \simeq (\mathfrak{X}_t \rightarrow B \times \{t\})$, where $\mathfrak{X}_t = F^{-1}(B \times \{t\})$.

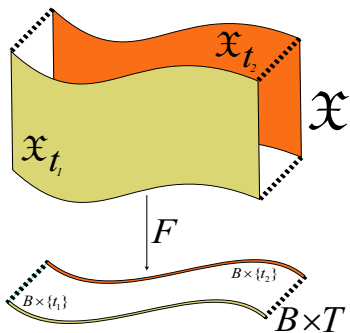


Deformation Type of Families

- Two families $X_1 \rightarrow B$ and $X_2 \rightarrow B$ have the same **deformation type** if there exists a family $F : \mathcal{X} \rightarrow B \times T$, $t_1, t_2 \in T$ such that $(X_1 \rightarrow B) \simeq (\mathcal{X}_{t_1} \rightarrow B \times \{t\})$ and $(X_2 \rightarrow B) \simeq (\mathcal{X}_{t_2} \rightarrow B \times \{t\})$.

Deformation Type of Families

- Two families $X_1 \rightarrow B$ and $X_2 \rightarrow B$ have the same **deformation type** if there exists a family $F: \mathfrak{X} \rightarrow B \times T, t_1, t_2 \in T$ such that $(X_1 \rightarrow B) \simeq (\mathfrak{X}_{t_1} \rightarrow B \times \{t_1\})$ and $(X_2 \rightarrow B) \simeq (\mathfrak{X}_{t_2} \rightarrow B \times \{t_2\})$.



4

The Proof of Shafarevich's Conjecture

- Deformation Theory
- The Arakelov-Parshin method

The Arakelov-Parshin method

Shafarevich
Conjecture

Sándor Kovács

Curves

Families

Shafarevich's
Conjecture

The Proof

Deformation Theory

Arakelov-Parshin method

Generalizations

- ARAKELOV and PARSHIN reformulated the Shafarevich conjecture the following way:

The Arakelov-Parshin method

Shafarevich
Conjecture

Sándor Kovács

Curves

Families

Shafarevich's
Conjecture

The Proof

Deformation Theory

Arakelov-Parshin method

Generalizations

- ARAKELOV and PARSHIN reformulated the Shafarevich conjecture the following way:
 - (B) *Boundedness*: There are only finitely many deformation types of admissible families.

The Arakelov-Parshin method

Shafarevich
Conjecture

Sándor Kovács

Curves

Families

Shafarevich's
Conjecture

The Proof

Deformation Theory

Arakelov-Parshin method

Generalizations

- ARAKELOV and PARSHIN reformulated the Shafarevich conjecture the following way:
 - (B) *Boundedness*: There are only finitely many deformation types of admissible families.
 - (R) *Rigidity*: Admissible families do not admit non-trivial deformations.

The Arakelov-Parshin method

Shafarevich
Conjecture

Sándor Kovács

Curves

Families

Shafarevich's
Conjecture

The Proof

Deformation Theory

Arakelov-Parshin method

Generalizations

- ARAKELOV and PARSHIN reformulated the Shafarevich conjecture the following way:
 - (B) *Boundedness*: There are only finitely many deformation types of admissible families.
 - (R) *Rigidity*: Admissible families do not admit non-trivial deformations.

- Note:
 - (B) and (R) together imply (I).

The Arakelov-Parshin method

- ARAKELOV and PARSHIN reformulated the Shafarevich conjecture the following way:
 - (B) *Boundedness*: There are only finitely many deformation types of admissible families.
 - (R) *Rigidity*: Admissible families do not admit non-trivial deformations.
 - (H) *Hyperbolicity*: If there exist admissible families, then $B \setminus \Delta$ is hyperbolic.
- Note:
 - (B) and (R) together imply (I).

The Arakelov-Parshin method

- ARAKELOV and PARSHIN reformulated the Shafarevich conjecture the following way:
 - (B) *Boundedness*: There are only finitely many deformation types of admissible families.
 - (R) *Rigidity*: Admissible families do not admit non-trivial deformations.
 - (H) *Hyperbolicity*: If there exist admissible families, then $B \setminus \Delta$ is hyperbolic.
- Note:
 - (B) and (R) together imply (I).
 - (H) = (II*) and hence is equivalent to (II).

5 Generalizations

- Higher Dimensional Fibers
- Kodaira Dimension
- Admissible Families
- Weak Boundedness
- Recent Results
- Higher Dimensional Bases
- Rigidity

Higher dimensional fibers

- First order of business:
- Generalize the *setup*.
- Find a replacement for “genus” and “ $q \geq 2$ ”.
 - Hilbert polynomial, h .
 - Kodaira dimension, $\kappa = -\infty, 0, 1, \dots, \dim$.

Higher dimensional fibers

- First order of business:
- Generalize the *setup*.
- Find a replacement for “genus” and “ $q \geq 2$ ”.
 - Hilbert polynomial, h .
 - Kodaira dimension, $\kappa = -\infty, 0, 1, \dots, \dim$.

Higher dimensional fibers

- First order of business:
- Generalize the *setup*.
- Find a replacement for “genus” and “ $q \geq 2$ ”.
 - Hilbert polynomial, h .
 - Kodaira dimension, $\kappa = -\infty, 0, 1, \dots, \dim$.

Higher dimensional fibers

- First order of business:
- Generalize the *setup*.
- Find a replacement for “genus” and “ $q \geq 2$ ”.
 - Hilbert polynomial, h .
 - Kodaira dimension, $\kappa = -\infty, 0, 1, \dots, \dim$.

Higher dimensional fibers

- First order of business:
- Generalize the *setup*.
- Find a replacement for “genus” and “ $q \geq 2$ ”.
 - Hilbert polynomial, h .
 - Kodaira dimension, $\kappa = -\infty, 0, 1, \dots, \dim$.

Curves

Families

Shafarevich's
Conjecture

The Proof

Generalizations

Higher Dimensional Fibers

Kodaira Dimension

Admissible Families

Weak Boundedness

Recent Results

Higher Dimensional Bases

Rigidity

Where Next?

5 Generalizations

- Higher Dimensional Fibers
- **Kodaira Dimension**
- Admissible Families
- Weak Boundedness
- Recent Results
- Higher Dimensional Bases
- Rigidity

Kodaira dimension

Shafarevich
Conjecture

Sándor Kovács

Curves

Families

Shafarevich's
Conjecture

The Proof

Generalizations

Higher Dimensional Fibers

Kodaira Dimension

Admissible Families

Weak Boundedness

Recent Results

Higher Dimensional Bases

Rigidity

Where Next?

- *Plane curves:*

type

degree

genus

Kodaira
dimension

Kodaira dimension

- *Plane curves:*

type	degree	genus	Kodaira dimension
\mathbb{P}^1	$\text{deg} = 1, 2$	$g = 0$	$\kappa = -\infty$

Kodaira dimension

- *Plane curves:*

type	degree	genus	Kodaira dimension
\mathbb{P}^1	deg = 1, 2	$g = 0$	$\kappa = -\infty$
elliptic	deg = 3	$g = 1$	$\kappa = 0$

Kodaira dimension

- *Plane curves:*

type	degree	genus	Kodaira dimension
\mathbb{P}^1	deg = 1, 2	$g = 0$	$\kappa = -\infty$
elliptic	deg = 3	$g = 1$	$\kappa = 0$
general type	deg ≥ 4	$g \geq 2$	$\kappa = 1$

Kodaira dimension

- *Hypersurfaces in \mathbb{P}^n :*

type

degree

Kodaira
dimension

Shafarevich
Conjecture

Sándor Kovács

Curves

Families

Shafarevich's
Conjecture

The Proof

Generalizations

Higher Dimensional Fibers

Kodaira Dimension

Admissible Families

Weak Boundedness

Recent Results

Higher Dimensional Bases

Rigidity

Where Next?

Kodaira dimension

Shafarevich
Conjecture

Sándor Kovács

- *Hypersurfaces in \mathbb{P}^n :*

type

degree

Kodaira
dimension

$$\text{deg} < n + 1$$

$$\kappa = -\infty$$

Curves

Families

Shafarevich's
Conjecture

The Proof

Generalizations

Higher Dimensional Fibers

Kodaira Dimension

Admissible Families

Weak Boundedness

Recent Results

Higher Dimensional Bases

Rigidity

Where Next?

Kodaira dimension

- *Hypersurfaces in \mathbb{P}^n :*

type

degree

Kodaira
dimension

$$\text{deg} < n + 1$$

$$\kappa = -\infty$$

$$\text{deg} = n + 1$$

$$\kappa = 0$$

Curves

Families

Shafarevich's
Conjecture

The Proof

Generalizations

Higher Dimensional Fibers

Kodaira Dimension

Admissible Families

Weak Boundedness

Recent Results

Higher Dimensional Bases

Rigidity

Where Next?

Kodaira dimension

- *Hypersurfaces in \mathbb{P}^n :*

type

degree

Kodaira
dimension

$$\text{deg} < n + 1$$

$$\kappa = -\infty$$

$$\text{deg} = n + 1$$

$$\kappa = 0$$

$$\text{deg} > n + 1$$

$$\kappa = \text{dim}$$

Curves

Families

Shafarevich's
Conjecture

The Proof

Generalizations

Higher Dimensional Fibers

Kodaira Dimension

Admissible Families

Weak Boundedness

Recent Results

Higher Dimensional Bases

Rigidity

Where Next?

Kodaira dimension

- *Hypersurfaces in \mathbb{P}^n :*

type	degree	Kodaira dimension
Fano	$\text{deg} < n + 1$	$\kappa = -\infty$
	$\text{deg} = n + 1$	$\kappa = 0$
	$\text{deg} > n + 1$	$\kappa = \text{dim}$

Kodaira dimension

- *Hypersurfaces in \mathbb{P}^n :*

type	degree	Kodaira dimension
Fano	$\text{deg} < n + 1$	$\kappa = -\infty$
Calabi-Yau	$\text{deg} = n + 1$	$\kappa = 0$
	$\text{deg} > n + 1$	$\kappa = \text{dim}$

Kodaira dimension

- *Hypersurfaces in \mathbb{P}^n :*

type	degree	Kodaira dimension
Fano	$\text{deg} < n + 1$	$\kappa = -\infty$
Calabi-Yau	$\text{deg} = n + 1$	$\kappa = 0$
general type	$\text{deg} > n + 1$	$\kappa = \text{dim}$

Kodaira dimension

- *Hypersurfaces in \mathbb{P}^n :*

type	degree	Kodaira dimension
Fano	$\text{deg} < n + 1$	$\kappa = -\infty$
Calabi-Yau	$\text{deg} = n + 1$	$\kappa = 0$
general type	$\text{deg} > n + 1$	$\kappa = \text{dim}$

- *Products:*

$$\dim(X \times Y) = \dim X + \dim Y$$

$$\kappa(X \times Y) = \kappa(X) + \kappa(Y)$$

Curves

Families

Shafarevich's
Conjecture

The Proof

Generalizations

Higher Dimensional Fibers

Kodaira Dimension

Admissible Families

Weak Boundedness

Recent Results

Higher Dimensional Bases

Rigidity

Where Next?

- 5 **Generalizations**
 - Higher Dimensional Fibers
 - Kodaira Dimension
 - Admissible Families**
 - Weak Boundedness
 - Recent Results
 - Higher Dimensional Bases
 - Rigidity

Varieties of General Type

- X is of **general type** if $\kappa(X) = \dim X$.

Recall: A curve C is of general type iff $g(C) \geq 2$.

- Genus is replaced with the Hilbert polynomial.

Varieties of General Type

- X is of **general type** if $\kappa(X) = \dim X$.

Recall: A curve C is of general type iff $g(C) \geq 2$.

- Genus is replaced with the Hilbert polynomial.

Varieties of General Type

- X is of **general type** if $\kappa(X) = \dim X$.

Recall: A curve C is of general type iff $g(C) \geq 2$.

- **Genus** is replaced with the **Hilbert polynomial**.

For curves, the Hilbert polynomial is determined by the genus, so this is a natural generalization.

Varieties of General Type

- X is of **general type** if $\kappa(X) = \dim X$.

Recall: A curve C is of general type iff $g(C) \geq 2$.

- **Genus** is replaced with the **Hilbert polynomial**.

For curves, the Hilbert polynomial is determined by the genus, so this is a natural generalization.

The Shafarevich Conjecture

- Setup: Fix B a smooth projective curve, $\Delta \subseteq B$ a finite subset, and h a polynomial.
- $f : X \rightarrow B$ is an **admissible family** if
 - f is non-isotrivial
 - for all $b \in B \setminus \Delta$, X_b is a smooth projective variety, canonically embedded with Hilbert polynomial h .
- “Canonically embedded” means that it is embedded by the global sections of $\omega_{X_b}^m$. In particular, X_b is of general type.

The Shafarevich Conjecture

- Setup: Fix B a smooth projective curve, $\Delta \subseteq B$ a finite subset, and h a polynomial.
- $f : X \rightarrow B$ is an **admissible family** if
 - f is non-isotrivial
 - for all $b \in B \setminus \Delta$, X_b is a smooth projective variety, canonically embedded with Hilbert polynomial h .
- “Canonically embedded” means that it is embedded by the global sections of $\omega_{X_b}^m$. In particular, X_b is of general type.

The Shafarevich Conjecture

- Setup: Fix B a smooth projective curve, $\Delta \subseteq B$ a finite subset, and h a polynomial.
- $f : X \rightarrow B$ is an **admissible family** if
 - f is non-isotrivial
 - for all $b \in B \setminus \Delta$, X_b is a smooth projective variety, canonically embedded with Hilbert polynomial h .
- “Canonically embedded” means that it is embedded by the global sections of $\omega_{X_b}^m$. In particular, X_b is of general type.

The Shafarevich Conjecture

- Setup: Fix B a smooth projective curve, $\Delta \subseteq B$ a finite subset, and h a polynomial.
- $f : X \rightarrow B$ is an **admissible family** if
 - f is non-isotrivial
 - for all $b \in B \setminus \Delta$, X_b is a smooth projective variety, canonically embedded with Hilbert polynomial h .
- “Canonically embedded” means that it is embedded by the global sections of $\omega_{X_b}^m$. In particular, X_b is of general type.

The Shafarevich Conjecture

- Setup: Fix B a smooth projective curve, $\Delta \subseteq B$ a finite subset, and h a polynomial.
- $f : X \rightarrow B$ is an **admissible family** if
 - f is non-isotrivial
 - for all $b \in B \setminus \Delta$, X_b is a smooth projective variety, **canonically embedded with Hilbert polynomial h** .
- “Canonically embedded” means that it is embedded by the global sections of $\omega_{X_b}^m$. In particular, X_b is of general type.

The Shafarevich Conjecture

- Setup: Fix B a smooth projective curve, $\Delta \subseteq B$ a finite subset, and h a polynomial.
- $f : X \rightarrow B$ is an **admissible family** if
 - f is non-isotrivial
 - for all $b \in B \setminus \Delta$, X_b is a smooth projective variety, canonically embedded with Hilbert polynomial h .
- “Canonically embedded” means that it is embedded by the global sections of $\omega_{X_b}^m$. In particular, X_b is of general type.

Curves

Families

Shafarevich's
Conjecture

The Proof

Generalizations

Higher Dimensional Fibers

Kodaira Dimension

Admissible Families

Weak Boundedness

Recent Results

Higher Dimensional Bases

Rigidity

Where Next?

- 5 Generalizations
 - Higher Dimensional Fibers
 - Kodaira Dimension
 - Admissible Families
 - Weak Boundedness**
 - Recent Results
 - Higher Dimensional Bases
 - Rigidity

Shafarevich Conjecture

Shafarevich
Conjecture

Sándor Kovács

Curves

Families

Shafarevich's
Conjecture

The Proof

Generalizations

Higher Dimensional Fibers

Kodaira Dimension

Admissible Families

Weak Boundedness

Recent Results

Higher Dimensional Bases

Rigidity

Where Next?

Shafarevich Conjecture

Shafarevich
Conjecture

Sándor Kovács

Curves

Families

Shafarevich's
Conjecture

The Proof

Generalizations

Higher Dimensional Fibers

Kodaira Dimension

Admissible Families

Weak Boundedness

Recent Results

Higher Dimensional Bases

Rigidity

Where Next?

(B) *Boundedness*: There are only finitely many deformation types of admissible families.

Shafarevich Conjecture

- (B) *Boundedness*: There are only finitely many deformation types of admissible families.
- (R) *Rigidity*: Admissible families do not admit non-trivial deformations.

Shafarevich Conjecture

- (B) *Boundedness*: There are only finitely many deformation types of admissible families.
- (R) *Rigidity*: Admissible families do not admit non-trivial deformations.
- (H) *Hyperbolicity*: If there exist admissible families, then $B \setminus \Delta$ is hyperbolic.

Shafarevich Conjecture

- (B) *Boundedness*: There are only finitely many deformation types of admissible families.
- (R) *Rigidity*: Admissible families do not admit non-trivial deformations.
- (H) *Hyperbolicity*: If there exist admissible families, then $B \setminus \Delta$ is hyperbolic.

(WB) *Weak Boundedness*:

If $f : X \rightarrow B$ is an admissible family, then $\deg f_* \omega_{X/B}^m$ is bounded in terms of B, Δ, h, m .

Weak Boundedness

Shafarevich
Conjecture

Sándor Kovács

Curves

Families

Shafarevich's
Conjecture

The Proof

Generalizations

Higher Dimensional Fibers

Kodaira Dimension

Admissible Families

Weak Boundedness

Recent Results

Higher Dimensional Bases

Rigidity

Where Next?

- Moduli Theory + (WB) \Rightarrow (B)

Weak Boundedness

- Moduli Theory + (WB) \Rightarrow (B)

- (WB) \Rightarrow (H)

Weak Boundedness

- Moduli Theory + (WB) \Rightarrow (B)
- (K____, 2002) (WB) \Rightarrow (H)

Weak Boundedness

- Moduli Theory + (WB) \Rightarrow (B)
- (K____, 2002) (WB) \Rightarrow (H)
- Hence **Weak Boundedness** is the key statement towards proving (B) and (H).

- Moduli Theory + (WB) \Rightarrow (B)
- (K____, 2002) (WB) \Rightarrow (H)
- Hence **Weak Boundedness** is the key statement towards proving (B) and (H).

(WB) *Weak Boundedness*:

If $f : X \rightarrow B$ is an admissible family, then

$\deg f_* \omega_{X/B}^m$ is bounded in terms of B, Δ, h, m .

Curves

Families

Shafarevich's
Conjecture

The Proof

Generalizations

Higher Dimensional Fibers

Kodaira Dimension

Admissible Families

Weak Boundedness

Recent Results

Higher Dimensional Bases

Rigidity

Where Next?

5 Generalizations

- Higher Dimensional Fibers
- Kodaira Dimension
- Admissible Families
- Weak Boundedness
- **Recent Results**
- Higher Dimensional Bases
- Rigidity

Modern History

Shafarevich
Conjecture

Sándor Kovács

Curves

Families

Shafarevich's
Conjecture

The Proof

Generalizations

Higher Dimensional Fibers

Kodaira Dimension

Admissible Families

Weak Boundedness

Recent Results

Higher Dimensional Bases

Rigidity

Where Next?

Curves

Families

Shafarevich's
Conjecture

The Proof

Generalizations

Higher Dimensional Fibers

Kodaira Dimension

Admissible Families

Weak Boundedness

Recent Results

Higher Dimensional Bases

Rigidity

Where Next?

“modern” = last 25 years

“modern” \approx last 10 years

Modern History: Hyperbolicity

Shafarevich
Conjecture

Sándor Kovács

Curves

Families

Shafarevich's
Conjecture

The Proof

Generalizations

Higher Dimensional Fibers

Kodaira Dimension

Admissible Families

Weak Boundedness

Recent Results

Higher Dimensional Bases

Rigidity

Where Next?

Modern History: Hyperbolicity

Shafarevich
Conjecture

Sándor Kovács

- BEAUVILLE (1981):
(H) holds for $\dim(X/B) = 1$.

Curves

Families

Shafarevich's
Conjecture

The Proof

Generalizations

Higher Dimensional Fibers

Kodaira Dimension

Admissible Families

Weak Boundedness

Recent Results

Higher Dimensional Bases

Rigidity

Where Next?

Modern History: Hyperbolicity

- BEAUVILLE (1981):
(H) holds for $\dim(X/B) = 1$.
- CATANESE-SCHNEIDER (1994):
(H) can be used for giving upper bounds on the size of automorphisms groups of varieties of general type.

- BEAUVILLE (1981):
(H) holds for $\dim(X/B) = 1$.
- CATANESE-SCHNEIDER (1994):
(H) can be used for giving upper bounds on the size of automorphisms groups of varieties of general type.
- SHOKUROV (1995):
(H) can be used to prove quasi-projectivity of moduli spaces of varieties of general type.

Modern History: Hyperbolicity

Shafarevich
Conjecture

Sándor Kovács

- MIGLIORINI (1995):
(H) holds for $\dim(X/B) = 2$ and $g(B) = 1$.

Curves

Families

Shafarevich's
Conjecture

The Proof

Generalizations

Higher Dimensional Fibers

Kodaira Dimension

Admissible Families

Weak Boundedness

Recent Results

Higher Dimensional Bases

Rigidity

Where Next?

Modern History: Hyperbolicity

- MIGLIORINI (1995):
(H) holds for $\dim(X/B) = 2$ and $g(B) = 1$.
- K____ (1996):
(H) holds for $\dim(X/B)$ arbitrary and $g(B) = 1$.

Curves

Families

Shafarevich's
Conjecture

The Proof

Generalizations

Higher Dimensional Fibers

Kodaira Dimension

Admissible Families

Weak Boundedness

Recent Results

Higher Dimensional Bases

Rigidity

Where Next?

Modern History: Hyperbolicity

- MIGLIORINI (1995):
(H) holds for $\dim(X/B) = 2$ and $g(B) = 1$.
- K____ (1996):
(H) holds for $\dim(X/B)$ arbitrary and $g(B) = 1$.
- K____ (1997):
(H) holds for $\dim(X/B) = 2$.

Curves

Families

Shafarevich's
Conjecture

The Proof

Generalizations

Higher Dimensional Fibers

Kodaira Dimension

Admissible Families

Weak Boundedness

Recent Results

Higher Dimensional Bases

Rigidity

Where Next?

Modern History: Hyperbolicity

- MIGLIORINI (1995):
(H) holds for $\dim(X/B) = 2$ and $g(B) = 1$.
- K____ (1996):
(H) holds for $\dim(X/B)$ arbitrary and $g(B) = 1$.
- K____ (1997):
(H) holds for $\dim(X/B) = 2$.
- K____ (2000):
(H) holds for $\dim(X/B)$ arbitrary.

- MIGLIORINI (1995):
(H) holds for $\dim(X/B) = 2$ and $g(B) = 1$.
- K____ (1996):
(H) holds for $\dim(X/B)$ arbitrary and $g(B) = 1$.
- K____ (1997):
(H) holds for $\dim(X/B) = 2$.
- K____ (2000):
(H) holds for $\dim(X/B)$ arbitrary.
- VIEHWEG AND ZUO (2002):
Brody hyperbolicity holds as well.

Modern History: (Weak) Boundedness

Shafarevich
Conjecture

Sándor Kovács

Curves

Families

Shafarevich's
Conjecture

The Proof

Generalizations

Higher Dimensional Fibers

Kodaira Dimension

Admissible Families

Weak Boundedness

Recent Results

Higher Dimensional Bases

Rigidity

Where Next?

- BEDULEV AND VIEHWEG (2000):

Modern History: (Weak) Boundedness

Shafarevich
Conjecture

Sándor Kovács

Curves

Families

Shafarevich's
Conjecture

The Proof

Generalizations

Higher Dimensional Fibers

Kodaira Dimension

Admissible Families

Weak Boundedness

Recent Results

Higher Dimensional Bases

Rigidity

Where Next?

- BEDULEV AND VIEHWEG (2000):
 - (B) holds for $\dim(X/B) = 2$, and

Modern History: (Weak) Boundedness

- BEDULEV AND VIEHWEG (2000):
 - (B) holds for $\dim(X/B) = 2$, and
 - (WB) holds for $\dim(X/B)$ arbitrary.

Modern History: (Weak) Boundedness

- BEDULEV AND VIEHWEG (2000):
 - (B) holds for $\dim(X/B) = 2$, and
 - (WB) holds for $\dim(X/B)$ arbitrary.

As a byproduct of their proof they also obtained that (H) holds in these cases.

Modern History: (Weak) Boundedness

- BEDULEV AND VIEHWEG (2000):
 - (B) holds for $\dim(X/B) = 2$, and
 - (WB) holds for $\dim(X/B)$ arbitrary.

As a byproduct of their proof they also obtained that (H) holds in these cases.

- K____ (2002), VIEHWEG AND ZUO (2002):
(WB) holds under more general assumptions.

Modern History: (Weak) Boundedness

- BEDULEV AND VIEHWEG (2000):
 - (B) holds for $\dim(X/B) = 2$, and
 - (WB) holds for $\dim(X/B)$ arbitrary.

As a byproduct of their proof they also obtained that (H) holds in these cases.

- K____ (2002), VIEHWEG AND ZUO (2002):
(WB) holds under more general assumptions.
- K____ (2002):
(WB) implies (H).

More History

- ...more related results by
 - FALTINGS (1983)
 - ZHANG (1997)
 - OGUIISO AND VIEHWEG (2001)
 - ..and more.

More History

- ...more related results by
- **FALTINGS (1983)**
- ZHANG (1997)
- OGUIISO AND VIEHWEG (2001)
- ..and more.

More History

- ...more related results by
- FALTINGS (1983)
- ZHANG (1997)
- OGUIISO AND VIEHWEG (2001)
- ..and more.

More History

- ...more related results by
- FALTINGS (1983)
- ZHANG (1997)
- OGUIISO AND VIEHWEG (2001)
- ..and more.

More History

- ...more related results by
- FALTINGS (1983)
- ZHANG (1997)
- OGUIISO AND VIEHWEG (2001)
- ..and more.

Curves

Families

Shafarevich's
Conjecture

The Proof

Generalizations

Higher Dimensional Fibers

Kodaira Dimension

Admissible Families

Weak Boundedness

Recent Results

Higher Dimensional Bases

Rigidity

Where Next?

5 Generalizations

- Higher Dimensional Fibers
- Kodaira Dimension
- Admissible Families
- Weak Boundedness
- Recent Results
- **Higher Dimensional Bases**
- Rigidity

Higher dimensional bases

Shafarevich
Conjecture

Sándor Kovács

Many details change:

Curves

Families

Shafarevich's
Conjecture

The Proof

Generalizations

Higher Dimensional Fibers

Kodaira Dimension

Admissible Families

Weak Boundedness

Recent Results

Higher Dimensional Bases

Rigidity

Where Next?

Higher dimensional bases

Shafarevich
Conjecture

Sándor Kovács

Many details change:

- Instead of Δ being a **finite subset**,

Curves

Families

Shafarevich's
Conjecture

The Proof

Generalizations

Higher Dimensional Fibers

Kodaira Dimension

Admissible Families

Weak Boundedness

Recent Results

Higher Dimensional Bases

Rigidity

Where Next?

Higher dimensional bases

Many details change:

- Instead of Δ being a **finite subset**,
it is a **1-codimensional subvariety** of B .

Curves

Families

Shafarevich's
Conjecture

The Proof

Generalizations

Higher Dimensional Fibers

Kodaira Dimension

Admissible Families

Weak Boundedness

Recent Results

Higher Dimensional Bases

Rigidity

Where Next?

Higher dimensional bases

Many details change:

- Instead of Δ being a **finite subset**, it is a **1-codimensional subvariety** of B .
- A family being **non-isotrivial** is no longer a good assumption.

Curves

Families

Shafarevich's
Conjecture

The Proof

Generalizations

Higher Dimensional Fibers

Kodaira Dimension

Admissible Families

Weak Boundedness

Recent Results

Higher Dimensional Bases

Rigidity

Where Next?

Higher dimensional bases

Many details change:

- Instead of Δ being a **finite subset**, it is a **1-codimensional subvariety** of B .
- A family being **non-isotrivial** is no longer a good assumption. It has to be replaced by a family having **maximal variation in moduli**.

Higher dimensional bases

Many details change:

- Instead of Δ being a **finite subset**, it is a **1-codimensional subvariety** of B .
- A family being **non-isotrivial** is no longer a good assumption. It has to be replaced by a family having **maximal variation in moduli**.
- *Viehweg's Conjecture (2001)*: If there exists an admissible family with maximal variation in moduli, then (B, Δ) is of log general type.

Higher dimensional bases

Many details change:

- Instead of Δ being a **finite subset**, it is a **1-codimensional subvariety** of B .
- A family being **non-isotrivial** is no longer a good assumption. It has to be replaced by a family having **maximal variation in moduli**.
- *Viehweg's Conjecture (2001)*: If there exists an admissible family with maximal variation in moduli, then (B, Δ) is of log general type. That is, the log-Kodaira dimension of B is maximal: $\kappa(B, \Delta) = \dim B$.

Higher dimensional bases

Shafarevich
Conjecture

Sándor Kovács

Curves

Families

Shafarevich's
Conjecture

The Proof

Generalizations

Higher Dimensional Fibers

Kodaira Dimension

Admissible Families

Weak Boundedness

Recent Results

Higher Dimensional Bases

Rigidity

Where Next?

- *Viehweg's Conjecture (2001)*: If there exists an admissible family with maximal variation in moduli, then (B, Δ) is of log general type.

Higher dimensional bases

- *Viehweg's Conjecture (2001)*: If there exists an admissible family with maximal variation in moduli, then (B, Δ) is of log general type.
- K____ (2003), VIEHWEG-ZUO, (2003): Viehweg's conjecture holds for $B = \mathbb{P}^n$.

Higher dimensional bases

- *Viehweg's Conjecture (2001)*: If there exists an admissible family with maximal variation in moduli, then (B, Δ) is of log general type.
- K____ (2003), VIEHWEG-ZUO, (2003): Viehweg's conjecture holds for $B = \mathbb{P}^n$.
- K____ (1997):
If $f : X \rightarrow B$ is admissible and B is an abelian variety, then $\Delta \neq \emptyset$.

But, what about **Rigidity**?

Curves

Families

Shafarevich's
Conjecture

The Proof

Generalizations

Higher Dimensional Fibers

Kodaira Dimension

Admissible Families

Weak Boundedness

Recent Results

Higher Dimensional Bases

Rigidity

Where Next?

- 5 Generalizations
 - Higher Dimensional Fibers
 - Kodaira Dimension
 - Admissible Families
 - Weak Boundedness
 - Recent Results
 - Higher Dimensional Bases
 - Rigidity**

Rigidity – An Example

Shafarevich
Conjecture

Sándor Kovács

Curves

Families

Shafarevich's
Conjecture

The Proof

Generalizations

Higher Dimensional Fibers

Kodaira Dimension

Admissible Families

Weak Boundedness

Recent Results

Higher Dimensional Bases

Rigidity

Where Next?

Rigidity – An Example

- Let $Y \rightarrow B$ be an arbitrary non-isotrivial family of curves of genus ≥ 2 , and

Shafarevich
Conjecture

Sándor Kovács

Curves

Families

Shafarevich's
Conjecture

The Proof

Generalizations

Higher Dimensional Fibers

Kodaira Dimension

Admissible Families

Weak Boundedness

Recent Results

Higher Dimensional Bases

Rigidity

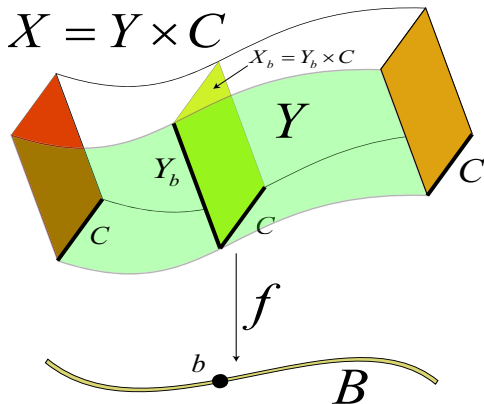
Where Next?

Rigidity – An Example

- Let $Y \rightarrow B$ be an arbitrary non-isotrivial family of curves of genus ≥ 2 , and
- C a smooth projective curve of genus ≥ 2 .

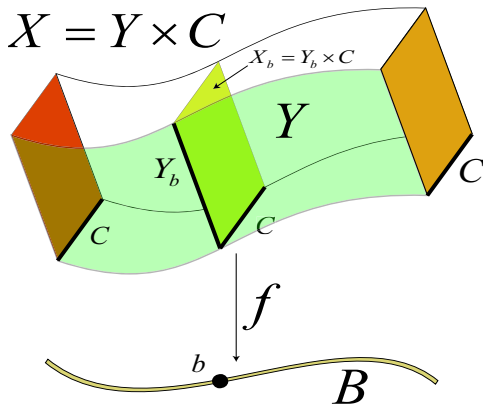
Rigidity – An Example

- Let $Y \rightarrow B$ be an arbitrary non-isotrivial family of curves of genus ≥ 2 , and
- C a smooth projective curve of genus ≥ 2 .



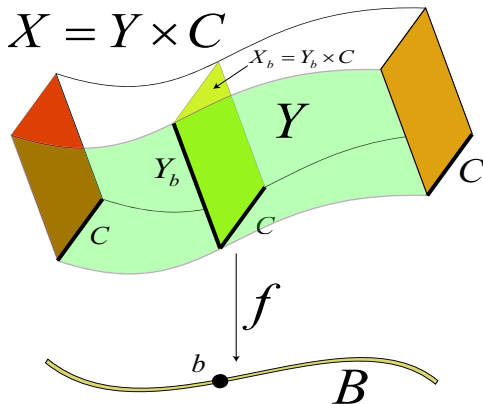
Rigidity – An Example

- $f : X = Y \times C \rightarrow B$ is an admissible family,
and



Rigidity – An Example

- $f : X = Y \times C \rightarrow B$ is an admissible family, and
- any deformation of C gives a deformation of f .



Rigidity

- Therefore, (R) fails.

Curves

Families

Shafarevich's
Conjecture

The Proof

Generalizations

Higher Dimensional Fibers

Kodaira Dimension

Admissible Families

Weak Boundedness

Recent Results

Higher Dimensional Bases

Rigidity

Where Next?

- Therefore, (R) fails.
- *Question:* Under what additional conditions does (R) hold?

Curves

Families

Shafarevich's
Conjecture

The Proof

Generalizations

Higher Dimensional Fibers

Kodaira Dimension

Admissible Families

Weak Boundedness

Recent Results

Higher Dimensional Bases

Rigidity

Where Next?

- Therefore, (R) fails.
- *Question*: Under what additional conditions does (R) hold?
- K____ (2004), VIEHWEG-ZUO, (2004): Rigidity holds for strongly non-isotrivial families.

Curves

Families

Shafarevich's
Conjecture

The Proof

Generalizations

Higher Dimensional Fibers

Kodaira Dimension

Admissible Families

Weak Boundedness

Recent Results

Higher Dimensional Bases

Rigidity

Where Next?

- Therefore, (R) fails.
- *Question:* Under what additional conditions does (R) hold?
- K____ (2004), VIEHWEG-ZUO, (2004): Rigidity holds for strongly¹ non-isotrivial families.

¹unfortunately the margin is not wide enough to define this term.

Curves

Families

Shafarevich's
Conjecture

The Proof

Generalizations

Higher Dimensional Fibers

Kodaira Dimension

Admissible Families

Weak Boundedness

Recent Results

Higher Dimensional Bases

Rigidity

Where Next?

Curves

Families

Shafarevich's
Conjecture

The Proof

Generalizations

Higher Dimensional Fibers

Kodaira Dimension

Admissible Families

Weak Boundedness

Recent Results

Higher Dimensional Bases

Rigidity

Where Next?

- Work in progress:

- Work in progress:
 - Geometric description of strongly non-isotrivial families.

- Work in progress:
 - Geometric description of strongly non-isotrivial families.
 - Joint work with Stefan Kebekus (Köln): Families over two-dimensional bases.

Acknowledgement

This presentation was made using the
beamertex \LaTeX macropackage of Till Tantau.
<http://latex-beamer.sourceforge.net>