# THE PRIMITIVE FACTOR RINGS OF THE ENVELOPING ALGEBRA OF $sl(2, \mathbb{C})$

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## 1. Introduction

Let R denote a non-artinian primitive factor ring of the enveloping algebra of  $sl(2, \mathbb{C})$ . Arnal-Pinczon [1] and Roos [10] have shown that if R is simple then it has Krull dimension 1. Roos also shows that "most" of the simple R have global dimension 1. In this paper we prove that if R is not simple then it has Krull dimension 1 (thus all non-artinian primitive factor rings of  $sl(2, \mathbb{C})$  have Krull dimension 1) and does not have global dimension 1.

Notation and the basic properties of these factor rings are described in §2. In particular, if R denotes such a non-simple primitive factor ring, then R has a unique proper two-sided ideal M of finite codimension, and R embeds in the Weyl algebra  $A_1$ . In §3 we prove that R has Krull dimension 1. The proof illustrates and depends on the close relationship between R and  $A_1$ . In §4 the relationship between certain R-modules and certain  $A_1$ -modules is examined more closely. The results in §4 are used in §5 to describe the generators of M as a left ideal. We also show in §5 that the grading on  $A_1$  (defined by the semi-simple element) induces a grading on R, and that both R and M are graded by the induced grading. Finally, in §6 it is proved that R is not hereditary. In particular, it is shown that R/M has projective dimension 2. (The primitive ideal of the enveloping algebra corresponding to R is the annihilator of a Verma module of length two and both composition factors of this Verma module have projective dimension at most two as R-modules.) The precise global dimension of R remains an open question.

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### 2. Preliminaries

All modules are tacitly assumed to be left modules; global dimension and Krull dimension are both calculated on the left but of course a similar argument will give corresponding results on the right. The Krull dimension of a module, M, is denoted by |M|, and global dimension is abbreviated to gl.dim. The annihilator of a module M is denoted by ann (M).

Let U denote the enveloping algebra of  $sl(2, \mathbb{C})$ . The basic properties of U appear in Dixmier [3] and Nouazé-Gabriel [7]. Let

$$E = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} \qquad H = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \qquad F = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}$$

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be a basis for  $sl(2, \mathbb{C})$ . Let

$$Q = 4EF + H^2 - 2H = 4FE + H^2 + 2H \in U.$$

The centre of U is  $\mathbb{C}[Q]$ . For all  $c \in \mathbb{C}$  let  $I_c = U(Q-c)$ . The map  $c \to I_c$  is a bijection from  $\mathbb{C}$  onto the set of primitive ideals of U of infinite codimension;  $I_c$  is maximal if and only if c is not of the form  $n^2 + 2n$ ,  $n \in \mathbb{N} = \{0, 1, 2, ...\}$ . As mentioned above, if cis not of the form  $n^2 + 2n$  ( $n \in \mathbb{N}$ ) then  $|U/I_c| = 1$  ([1], [10]). Roos also proved that if c is transcendental over  $\mathbb{Q}$  then gl.dim. ( $U/I_c$ ) = 1. Let  $A = A_1(\mathbb{C})$  denote the Weyl algebra over  $\mathbb{C}$  with two generators p, q subject to the relation pq - qp = 1. For  $t \in \mathbb{Z}$ set

$$D(t) = \{x \in A \mid [qp, x] = tx\}$$

(where [a, b] = ab - ba). It is obvious that  $A = \bigoplus_{-\infty}^{\infty} D(t)$ , and

$$D(t) = \begin{cases} q^{t} \mathbb{C}[qp] & t \ge 0\\ p^{-t} \mathbb{C}[qp] & t < 0 \end{cases}$$

It is easy to see that  $D(s)D(t) \subset D(s+t)$ ; frequent use is made of this fact. We also make frequent use of the identity  $q^t p^t = (qp-t+1)\dots(qp-1)(qp)$ .

Roos [10] has shown that  $U/I_c$  embeds in  $A_1$ . There are a number of different such embeddings and we shall use the embedding defined by the map

$$E \rightarrow q(qp-\mu), \quad F \rightarrow -p, \quad H \rightarrow 2qp-\mu$$

where  $\mu \in \mathbb{C}$  satisfies  $\mu^2 + 2\mu = c$ .

Henceforth fix  $n \in \mathbb{N}$ , put  $c = n^2 + 2n$ , and let R be the subring of  $A_1$  isomorphic to  $U/I_c$ , which is defined by the map above with  $\mu = n$ . Put

$$e = q(qp-n); \quad f = -p; \quad h = 2qp-n.$$

The ring R has a unique proper two sided ideal M; this ideal M is of codimension  $(n+1)^2$  in R, and is the annihilator of the unique finite dimensional simple R-module, S, and S has dimension (n+1). We have that  $S \cong R/I$  where  $I = Re + R(h-n) + Rf^{n+1}$ , (see for example [4; 7.2.7]).

Let *h* denote the subspace of  $sl(2, \mathbb{C})$  spanned by *H*, let  $h^*$  denote the dual space of *h* and let  $\lambda : h \to \mathbb{C}$  be the element of  $h^*$  defined by  $\lambda(H) = n$ . In the notation of Dixmier [4; Chapter 7],  $I_c$  is the annihilator of the Verma module  $M(\lambda + \delta)$  (where  $\delta$ is the half-sum of positive roots). The finite dimensional simple U-module,  $L(\lambda + \delta)$ , is isomorphic as an R-module to S, and as mentioned above has annihilator M (as an R-module);  $L(\lambda + \delta)$  has highest weight  $\lambda$  and the weights of  $L(\lambda + \delta)$  are precisely

those  $\mu \in h^*$  such that  $\mu(H) = n - 2j$   $(j \in \mathbb{N}, 0 \le j \le n)$ . Thus,  $t = \prod_{j=0}^{n} (h - n + 2j)$  is an element of M (this fact is used in Lemma 3.1).

## 3. Krull dimension

Recall Corollaire 1 of Roos [10]. R may be localised at  $\mathbb{C}[e] \setminus \{0\}$  and at  $\mathbb{C}[f] \setminus \{0\}$  to obtain the rings  $R_e$  and  $R_f$ , say. Both  $R_e$  and  $R_f$  have Krull dimension 1 (being isomorphic to partial localisations of A); both  $R_e$  and  $R_f$  are flat as either right or left R-modules. Consider R as a subring of  $T = R_e \oplus R_f$  via the diagonal embedding  $r \rightarrow (r, r)$ . It is implicit in Roos' Corollaire 1 that if I and J are left ideals of R with  $I \subseteq J$  and TI = TJ then J/I is finite dimensional as a  $\mathbb{C}$ -vector space.

LEMMA 3.1. Let I and J be left ideals of R with  $I \subseteq J$ , J/I a simple left R-module and AI = AJ. Then J/I is infinite dimensional as a  $\mathbb{C}$ -vector space.

*Proof.* Suppose not—that is, let J and I be as above with J/I finite dimensional. Now  $AI = I + qI + q^2I + \dots$  For  $x \in AI$ , define the length of x to be  $l(x) = \min \{m \in N \mid x \in I + qI + q^2I + ... + q^mI\}$ . Pick  $x \in J$ ,  $x \notin I$  of least length (such x exist because  $I \neq J$  and l(x) is defined because  $J \subseteq AJ = AI$ . Let  $x = b_0 + qb_1 + \dots + q^m b_m$  where each  $b_i \in I$  and  $l(x) = m \ge 1$ . Put  $a = x - b_0$ , so  $a \in J$ ,  $a \notin I$  and l(a) = m.

Put  $t = \prod_{i=0}^{n} (h-n+2i)$ . By the remark in §2  $t \in M$ . Because J/I is a finite

dimensional simple module,  $MJ \subseteq I$ ; in particular  $ta \in I$ .

Look at  $tq^i$ . For  $i \ge 1$ , we have h = 2qp - n, so  $(h-n+2j)q^i = 2(qp-n+j)q^i = 2q^i(qp-n+j+i) = q^i(h-n+2(j+i))$ . So  $tq^i = q^is_i$  where  $s_i = \prod_{j=0}^n (h-n+2(j+i))$ . Thus,  $ta = qs_1b_1 + q^2s_2b_2 + \dots + q^ms_mb_m$ .

Considering  $s_i$  as a polynomial in (h-n) it has non-zero constant term because  $j+i \ge i \ge 1$ . Write  $s_i = (h-n)r_i + \alpha_i$  where  $r_i \in R$  and  $0 \ne \alpha_i \in \mathbb{C}$ . Now  $q(h-n) = 2q(qp-n) = 2e \in R$ . Write  $b'_i = q(h-n)r_ib_i \in I$ . Now

$$ta - \alpha_m a = b'_1 + qb'_2 + \ldots + q^{m-1}b'_m + (\alpha_1 - \alpha_m)qb + \ldots + (\alpha_{m-1} - \alpha_m)q^{m-1}b_{m-1}.$$

However, as  $\alpha_m \neq 0$ , it follows that  $ta - \alpha_m a \in J$ ,  $ta - \alpha_m a \notin I$  and  $ta - \alpha_m a$  is of shorter length than x. This contradicts the choice of x. Hence J/I cannot be finite dimensional.

**THEOREM 3.2.** The Krull dimension of R is 1.

*Proof.* Consider the natural embedding of R in  $T \oplus A = R_e \oplus R_f \oplus A$  via the map  $r \to (r, r, r)$ . Suppose that I and J are left ideals of R with  $I \subsetneq J$ . Then  $(T \oplus A)I \neq (T \oplus A)J$  because if TI = TJ then J/I is finite dimensional by the comments prior to Lemma 3.1, but then by the lemma,  $AI \neq AJ$ .

Thus the lattice of left ideals of R may be embedded in the lattice of left ideals of  $T \oplus A$ . Consequently,  $|R| \leq |T \oplus A|$ . However,

$$|T \oplus A| = \max\{|R_e|, |R_f|, |A|\} = 1.$$

## 4. Relation between certain R-modules and A-modules

The Weyl algebra A is easier to deal with than R, and the purpose of this section is to exhibit some of the connections between R and A so that the simpler structure of A may be exploited. In particular, Lemma 4.3 will enable us to describe the generators of M (Theorem 5.2) by using the properties of A. Lemma 4.1 and Lemma 4.2 exhibit the remarkably close relationship between R and A. Unfortunately, though, A is not flat as an R-module.

LEMMA 4.1. If J is a left ideal of A, then  $J = A(J \cap R)$ .

*Proof.* Clearly  $J \supseteq A(J \cap R)$ .

For  $m \in \mathbb{N}$ , put  $J_m = J \cap (R + qR + ... + q^mR)$ . It is obvious that  $A = R + qR + q^2R + ...$ , and so  $J = \bigcup_{m=0}^{\infty} J_m$ . We will show that  $J_m \subseteq A(J \cap R)$  for all m. The proof is by induction on m. Clearly  $J_0 \subseteq A(J \cap R)$ .

Suppose that  $J_m \subseteq A(J \cap R)$  and pick  $x \in J_{m+1}$ . We will show that both (qp-n-m-1)x and (qp)x are in  $A(J \cap R)$ . Let  $x = y+q^{m+1}r$  with  $r \in R$  and  $y \in R+qR+\ldots+q^mR$ . Now,

where  $r' = q(qp-n)r \in R$ . It is easy to check that  $(qp-n-m-1)y \in R + qR + ... + q^mR$ , and so  $(qp-n-m-1)x \in J_m \subseteq A(J \cap R)$ . Now

$$px = py + (qp+1)q^{m}r = py + q^{m}(qp+m+1)r$$

and because  $py \in R + qR + ... + q^mR$  it follows that  $px \in J_m \subseteq A(J \cap R)$ . Consequently,  $qpx \in A(J \cap R)$ , and thus  $(n+m+1)x \in A(J \cap R)$  which implies  $x \in A(J \cap R)$  as  $n+m+1 \neq 0$ . Hence  $J_{m+1} \subseteq A(J \cap R)$ .

LEMMA 4.2. Let K be a maximal left ideal of R and suppose  $AK \neq A$ . Then AK is a maximal left ideal of A, and  $K = AK \cap R$ .

*Proof.* Now  $K \subseteq AK \cap R$  implies that either  $AK \cap R = R$  or  $AK \cap R = K$ . If  $AK \cap R = R$  then  $1 \in AK$  which implies AK = A, contradicting the hypothesis. So  $AK \cap R = K$ .

Suppose now that J is a left ideal of A and  $AK \subseteq J \neq A$ . Clearly  $K \subseteq J \cap R$ , so either  $J \cap R = R$  or  $J \cap R = K$ . But  $J \cap R \neq R$  (as  $J \neq A$ ) so  $J \cap R = K$ . However, by Lemma 4.1,  $J = A(J \cap R)$ , so J = AK and consequently AK is a maximal left ideal of A.

LEMMA 4.3. If K is a maximal left ideal of R and  $AK \neq A$ , then A/AK (considered as an R-module) contains an isomorphic copy of R/K, namely (R+AK)/AK, and

$$\operatorname{ann}_{R}(R/K) = R \cap \operatorname{ann}_{A}((R+AK)/AK).$$

*Proof.* As *R*-modules,  $(R + AK)/AK \cong R/(R \cap AK) = R/K$  (by Lemma 4.2). The second part of the Lemma follows easily from the fact that

$$\operatorname{ann}_{R}(R/K) = \operatorname{ann}_{R}((R+AK)/AK).$$

#### 5. Description of R and M

Recall the definition of the D(t) in §2. It is well known that the D(t) define a gradation on A. Because R is generated as an algebra by homogeneous elements of A (with respect to the gradation defined by the D(t)) it follows that

$$R = \bigoplus_{-\infty}^{\infty} \left( R \cap D(t) \right).$$

This fact together with the proposition below describes R in detail.

**PROPOSITION 5.1.** (i) If t > 0 then

$$D(t) \cap R = q^{t}(qp-n)(qp-n+1)...(qp-n+t-1)\mathbb{C}[qp]$$
  
=  $(qp-n-t)(qp-n-t+1)...(qp-n-1)q^{t}\mathbb{C}[qp]$ 

(ii) If  $t \leq 0$  then

$$D(t) \cap R = D(t) = p^{-t} \mathbb{C}[qp].$$

*Proof.* (i) Recall that R is spanned (as a  $\mathbb{C}$ -vector space) by the homogeneous elements  $\{e^i f^{j}h^l \mid i, j, l \ge 0\}$ , and so  $R \cap D(t)$  is spanned by  $\{e^i f^{j}h^l \mid i, j, l \ge 0\} \cap D(t)$ . Now  $e^i f^{j}h^l \in D(i-j)$  so  $e^i f^{j}h^l \in D(t)$  if and only if i-j = t. It follows that  $e^t \mathbb{C}[qp] \subseteq D(t)$ ; also if i-j = t, then  $e^i f^{j}h^l = e^i e^j f^{j}h^l \in e^i D(0) \subset R$ . Hence  $R \cap D(t) = e^t \mathbb{C}[qp]$ , and the result follows because

$$e^{t} = [q(qp-n)]^{t} = q^{t}(qp-n)...(qp-n+t-1) = (qp-n-t)...(qp-n-1)q^{t}.$$

(ii) As  $p \in R$ , so  $p' \in R$  and consequently  $p'\mathbb{C}[qp] \subseteq R$  as  $qp \in R$ .

THEOREM 5.2. The unique proper ideal M of R is generated as a left ideal by

$$X = \{ q^{i} p^{n+1} \mid 0 \le i \le 2(n+1) \}$$

*Proof.* We show first that  $X \subseteq R$ . Now  $q^i p^{n+1} \in D(i-n-1)$ , so if  $0 \le i \le n+1$  then  $q^i p^{n+1} \in R$  by Proposition 5.1 (ii). Suppose i = j+n+1 with  $1 \le j \le n+1$ . Then

$$q^{i}p^{n+1} = q^{j}q^{n+1}p^{n+1} = q^{j}(qp-n)...(qp-1)(qp)$$

and because  $j \leq n+1$  this is an element of  $q^{j}(qp-n)...(qp-n+j-1)\mathbb{C}[qp]$  which by Proposition 5.1 (i) is contained in R. Hence  $X \subseteq R$ .

Recall that  $M = \operatorname{ann}_R(R/I)$  (where *I* is as in §2). Now  $AI = Ae + A(h-n) + Af^{n+1} = A(qp-n) + Ap^{n+1}$ , which by McConnell-Robson [5; Proposition 5.11] is a maximal left ideal of *A*. In particular  $AI \neq A$ , and so by Lemma 4.3

$$M = R \cap \operatorname{ann}_{A}[(R + AI)/AI].$$

But  $R/I = [\mathbb{C} + \mathbb{C}p + ... + \mathbb{C}p^n + I]$ , so  $(R + AI)/AI = [\mathbb{C} + \mathbb{C}p + ... + \mathbb{C}p^n + AI]$ . It is easy to see that  $p^{n+1}[(R + AI)/AI] = 0$  (considering (R + AI)/AI as a subset of the

A-module A/AI). Thus  $Ap^{n+1} \subseteq \operatorname{ann}_A[(R+AI)/AI]$  which implies that  $X \subseteq M$  and so  $RX \subseteq M$ .

Finally we show that the left ideal generated by X is also a right ideal. To show this it suffices to prove that  $Xf \subseteq RX$ ,  $Xh \subseteq RX$ , and  $Xe \subseteq RX$ . These three cases are proved separately:

- (i)  $p^{n+1} \cdot p \in RX$ ; if  $i \ge 1$  then  $q^i p^{n+1} \cdot p = q^{i-1} (qp) p^{n+1} = (qp - i + 1) q^{i-1} p^{n+1} \in RX$ ;
- (ii)  $q^i p^{n+1} . (qp) = (qp+n+1-i)q^i p^{n+1} \in RX;$

(iii)  $q^i p^{n+1} \cdot q(qp-n) = q^i p^n (qp+1)(qp-n) = (qp+n-i+1)q^{i+1}p^{n+1}$ , and if  $i \leq 2n+1$  then this is in RX; should i = 2(n+1) then this is equal to

$$(qp-n-1) \cdot q \cdot q^{2(n+1)}p^{n+1} = q(qp-n)q^{2(n+1)}p^{n+1} \in RX$$

It is well known that if J is a two sided ideal of a factor ring of an enveloping algebra of a finite dimensional lie algebra, then any set which generates J as a left ideal also generates J as a right ideal. Thus M = RX = XR. Because the generators of M are homogeneous we have the following.

COROLLARY 5.3. 
$$M \neq \bigoplus_{-\infty}^{\infty} (M \cap D(t)).$$

We now have a good enough description of M to show that R is not hereditary (Theorem 6.2) but to show that R/M has projective dimension 2 a slightly better description of M is required. This is given in Theorem 5.5.

LEMMA 5.4. Let K be the left ideal of R. Let  $m \in N$  and suppose  $a \in K$  and  $q^m a \in K$ . Then  $a, qa, q^2a, ..., q^m a$  are all in K.

*Proof.* The proof is by induction on m. Clearly the result holds if m = 1. Suppose  $m \ge 2$ .

If  $a \in K$  then  $[q(qp-n)]^{m-1} a \in K$ , and

$$[q(qp-n)]^{m-1}a = q^{m-1}(qp-n)...(qp-n+m-2)a$$
$$= (qp-n-m+1)...(qp-n-1)q^{m-1}a$$

If  $q^m a \in K$  then  $pq^m a = (qp+1)q^{m-1}a \in K$ . It is now easy to see that  $q^{m-1}a \in K$  because when viewed as polynomials in (qp) the expressions (qp-n-m+1)...(qp-n-1) and (qp+1) do not have a common root.

THEOREM 5.5. As a left ideal M is generated by  $f^{n+1} = p^{n+1}$  and by  $e^{n+1} = [q(qp-n)]^{n+1} = q^{2(n+1)}p^{n+1}$ .

*Proof.* By Theorem 5.2 both these elements are in M, and a single application of Lemma 5.4 shows that  $X \subseteq Rp^{n+1} + Rq^{2(n+1)}p^{n+1}$ .

## 6. Global dimension

Let  $S = \{a \in Q(R) \mid aM \subseteq M\}$  where Q(R) is the quotient division ring of R. In fact  $Q(R) = D_1$  the quotient division ring of  $A_1$ . From Theorem 5.2 we see that  $p^{n+1} \in M$  and  $AM = Ap^{n+1}$ , so  $aM \subseteq M$  implies  $ap^{n+1} \in Ap^{n+1}$  whence  $a \in A$ . Thus  $R \subseteq S \subseteq A$ .

THEOREM 6.1. The rings S and R are equal.

*Proof.* Because  $A = \bigoplus_{-\infty}^{\infty} D(t)$  and  $R = \bigoplus_{-\infty}^{\infty} (R \cap D(t))$  with  $R \cap D(t)$  as given in Proposition 5.1, it follows that

$$A = R \oplus \left[ \bigoplus_{i=1}^{\infty} q^i V_i \right]$$

where  $V_t$  is the C-subspace of  $\mathbb{C}[qp]$  generated by  $\{1, (qp), (qp)^2, ..., ..., (qp)^{t-1}\}$ . Suppose now that  $S \neq R$ . Then there exists  $0 \neq a \in S \cap \left(\bigoplus_{t=1}^{\infty} q^t V_t\right)$  with  $a = \sum_{t=1}^{m} a_t$ where each  $a_t \in q^t V_t \subseteq D(t)$ . Clearly  $aM \subseteq M$  if and only if  $aX \subseteq M$ ; because X consists of homogeneous elements and  $M = \bigoplus_{-\infty}^{\infty} (M \cap D(t))$  we have for some t that  $a_t \neq 0$  and  $a_t X \subseteq M$ . So we may assume, without loss of generality, that there exists  $0 \neq a \in S \cap q^t V_t$  for some t.

Let  $a = q^t f(qp)$  where f(qp) is a polynomial in qp of degree  $\leq t-1$ . Now,

$$aq^{2(n+1)}p^{n+1} = q^{n+t+1}(qp-n)\dots(qp)f(qp+n+1)$$

and as  $aX \subseteq M$  this is an element of  $R \cap D(n+t+1)$ . Now by Proposition 5.1 (i)  $aq^{2(n+1)}p^{n+1} \in R \cap D(n+t+1)$  if and only if  $f(qp) \in (qp+1)...(qp+t)\mathbb{C}[qp]$ . However,  $f(qp) \neq 0$  and the degree of f is  $\leq t-1$ , so f(qp) cannot be an element of this ideal of  $\mathbb{C}[qp]$ . Thus  $aq^{2(n+1)}p^{n+1} \notin M$ . This contradiction shows that no such a can exist and hence S = R.

COROLLARY 6.2. The global dimension of R is either 2 or infinity.

*Proof.* Suppose gl.dim. R is finite. It is well known that gl.dim. U = 3, so by Kaplansky [5; Theorem 4, p. 173], gl.dim.  $R \le 2$ . It is clear that gl.dim.  $R \ne 0$ . It remains to show that gl.dim.  $R \ne 1$ .

Suppose gl.dim. R = 1; that is R is hereditary. Because M is idempotent (that is,  $M^2 = M$ ) and R is prime noetherian, Theorem 4 (iii) of Robson [9] applies. This says that MS = S, but this contradicts Theorem 6.1 as  $M \neq R$ . Hence gl.dim. R = 2.

If K is a left ideal of R put  $K^* = \{a \in Q(R) \mid Ka \subseteq R\}$ . Because R is a prime noetherian ring  $K^* \cong \text{Hom}(K, R)$  and so K is projective if and only if  $K^*K = \text{End}_R(K)$ ; and for this to happen it is sufficient that  $1 \in K^*K$ . This provides a reasonable method to prove Theorem 6.4. First we require an easy lemma.

LEMMA 6.3. If s and sq<sup>m</sup> are both elements of R, then sq, sq<sup>2</sup>, ..., sq<sup>m-1</sup> are also elements of R.

*Proof.* It is enough to prove the lemma when  $sq^m \in R \cap D(t)$ . Suppose t > 1; then  $sq^m = x(qp-n-t)...(qp-n-1)q^t$  for some  $x \in \mathbb{C}[qp]$  by Proposition 5.1 (i). Thus  $sq^{m-1} = x(qp-n-t)...(qp-n-1)q^{t-1}$  which is an element of R by Proposition 5.1 (i). Suppose  $t \leq 1$ ; then  $sq^{m-1} \in D(t-1)$  and  $t-1 \leq 0$ , but by Proposition 5.1 (ii),  $D(t-1) \subseteq R$ . An induction argument now shows that the lemma holds.

THEOREM 6.4. The R-module R/M has projective dimension 2.

*Proof.* Because R is uniform as an R-module, R/M is not projective. Notice that the ring S is the endomorphism ring of M, so M is projective if and only if  $M^*M = S$ . However, S = R by the previous theorem. Thus if M were projective then  $M^*M = R$ ; but this cannot happen as M is idempotent, viz.  $M^*M = R$  implies  $M^*M^2 = M$ . So M is not projective.

Now look at a projective resolution for  $M = Rp^{n+1} + Rq^{2(n+1)}p^{n+1}$ . Let

$$0 \longrightarrow K \longrightarrow R \oplus R \xrightarrow{\pi} M \longrightarrow 0$$

be a short exact sequence where  $\pi(r, s) = rp^{n+1} + sq^{2(n+1)}p^{n+1}$ . It is obvious that the kernel K is given by

$$K = \{(-sq^{2(n+1)}, s) \mid s \in R \text{ and } sq^{2(n+1)} \in R\};$$

K is isomorphic to the left ideal  $J = \{s \in R \mid sq^{2(n+1)} \in R\}$ . To show that R/M has projective dimension two it suffices to show that  $1 \in J^*J$  (that is J is projective).

Clearly 1,  $q^{2(n+1)} \in J^*$ , so 1,  $q, q^2, ..., q^{2(n+1)}$  are elements of  $J^*$  by Lemma 6.3. It is easily checked that J contains  $p^{2(n+1)}$  and  $p^t(qp-n-2(n+1))...(qp-n-t-1)$  for  $0 \le t < 2(n+1)$ . Hence  $J^*J$  contains the following elements:

$$q^{2(n+1)}p^{2(n+1)}, q^{t}p^{t}(qp-n-2(n+1))...(qp-n-t-1)$$

for  $0 \le t < 2(n+1)$ . Putting x = qp these elements are

$$\begin{aligned} x(x-1)...(x-2n-1); \\ \{(x-3n-2)...(x-n-t-1)(x-t+1)...(x-1)x \mid 1 \leq t \leq 2n+1\}; \\ (x-3n-2)...(x-n-1). \end{aligned}$$

Look at the commutative polynomial ring  $\mathbb{C}[x]$ . The ideal of  $\mathbb{C}[x]$  generated by the elements above is  $\mathbb{C}[x]$  itself, so  $1 \in J^*J$  (because  $\mathbb{C}[x] \subseteq J^*$ ).

COROLLARY 6.5. The unique finite dimensional simple R-module has projective dimension 2.

*Proof.* The R-module R/M is a direct sum of copies of this simple module so Exercise 4 of Kaplansky [5; p. 169] gives the result.

#### 7. Remarks

1. It is possible for corresponding results to be established on the right rather than the left. However, for this to be done a different embedding of R in  $A_1$  is required. This embedding is given by the map

$$E \rightarrow -(n+pq)q$$
,  $F \rightarrow p$ ,  $H \rightarrow n+2pq$ .

2. As mentioned in §4, A is not flat as an R-module. To see this let I be the left ideal of R generated by p and qp. Then  $q \otimes p - 1 \otimes qp$  is a non-zero element of  $A \otimes I$ , but the image of this element in  $A \otimes R$  under the natural homomorphism is zero. Thus A is not flat as a right R-module. A similar example using the right ideal of R generated by p and pq shows that A is not flat as a left R-module either.

3. As mentioned in the introduction and in §2 (using the notation of §2),  $M(\lambda + \delta)$  is an artinian *R*-module of length two and is faithful over *R*. Moreover,  $M(\lambda + \delta)$  has a unique simple submodule which is itself faithful over *R*. This simple submodule is isomorphic to R/K where

$$K = Re + R(h+n+2) = Rq(qp-n) + R(qp+1)$$

To show that K has projective dimension  $\leq 1$  is straightforward. Let

 $0 \longrightarrow J \longrightarrow R \oplus R \xrightarrow{\pi} K \longrightarrow 0$ 

be the short exact sequence given by  $\pi(r, s) = rq(qp-n) + s(qp+1)$ , so the kernel, J, is given by

$$J = \{(r, s) \mid \pi(r, s) = 0\} \cong \{r \in R \mid rq(qp-n)(qp+1)^{-1} \in R\} = J_2$$

where  $J_2$  is a left ideal of R. It is easy to see that  $p \in J_2$ , and that  $q(qp-n)(qp+1)^{-1} \in J_2^*$ , so  $q(qp-n)(qp+1)^{-1}p \in J_2^*J_2$ . This element is equal to qp-n-1, and this together with the fact that  $qp \in J_2 \subseteq J_2^*J_2$  shows that  $1 \in J_2^*J_2$  (as  $n \ge 0$ ). So  $J_2$ , and hence J, is projective.

4. Dixmier [2] has shown that every left ideal of  $A_1$  can be generated by two elements. More generally, Stafford [11] has shown that every left ideal of a simple noetherian ring with Krull dimension 1 can be generated by two elements. Considering how close R is to satisfying these conditions, we are prompted to ask whether every left ideal of R can be generated by two elements. The answer is no.

Let  $J = \{s \in R \mid sq^{2(n+1)} \in R\}$  be the left ideal which occurs in Theorem 6.4 as the kernel of the resolution of M. We will show that J requires at least three generators. Clearly if J can be generated by less than three elements so too can the R-module J/MJ. It will be shown that J/MJ requires at least three generators.

We use the techniques and notation developed in Ratliff and Robson [8]. To begin recall the definitions of [8]. Let B be a finitely generated R-module. Let J(B)denote the Jacobson radical of B (that is, the intersection of the maximal submodules of B), and  $\lambda(B)$  denotes the length of a composition series for B/J(B), or  $\infty$  if no composition series exists. Suppose  $\lambda(B) < \infty$  and  $B \neq 0$ . For each simple R-module T occurring in a composition series for B/J(B), let e(T) denote the number of copies of T in the composition series and let  $f(T) = \lambda(R/\text{ann } T)$ . Define v(B) to be the least integer such that

$$v(B) \ge \sup \{1, e(T)/f(T)\}$$

where T varies over all simple composition factors of B/J(B). The following theorem forms the basis for our proof.

THEOREM 7.1 [8]. If  $\lambda(B) < \infty$ , then B can be generated by  $\nu(B)$  elements and no fewer.

Here J/MJ is a finitely generated left R/M module, so is certainly of finite length as R/M is simple artinian. It follows that J/MJ is semi-simple and has zero Jacobson radical. The only module possibly occurring in a composition series for J/MJ is the finite dimensional simple module S (of dimension n+1). It is clear that f(S) = n+1and e(S) is precisely dim (J/MJ)/(n+1) so we shall show that dim  $(J/MJ) > 2(n+1)^2$ , implying  $v(J/MJ) \ge 3$ , and hence by the theorem, J has at least three generators.

The idea behind the proof is simple but a tedious amount of calculation is required. The first step is to show that  $MJ \subseteq J \cap Ap^{3(n+1)}$ , and the problem is reduced to showing that we can find at least  $2(n+1)^2 + 1$  elements of J which are linearly independent (over  $\mathbb{C}$ ) modulo  $Ap^{3(n+1)}$ .

LEMMA 7.2. 
$$MJ \subseteq Ap^{3(n+1)}$$
.

*Proof.* After Theorem 5.5 it is enough to show that  $p^{n+1}J \subseteq Ap^{3(n+1)}$ . Because  $q^{2(n+1)}$  is a homogeneous element of A it follows that  $J = \bigoplus_{-\infty}^{\infty} (J \cap D(t))$ , and accordingly we prove that  $p^{n+1}(J \cap D(t)) \subseteq Ap^{3(n+1)}$  for all t. Let  $a \in J \cap D(t)$ .

Suppose t > 0. Put a = (qp - n - t)...(qp - n - 1)f(qp)q' (after Proposition 5.1) where  $f(qp) \in \mathbb{C}[qp]$ . Because  $aq^{2(n+1)} \in R$ , it follows (by Proposition 5.1) that there exists g(qp) in  $\mathbb{C}[qp]$  such that f(qp) = (qp - n - 2(n+1) - t)...(qp - n - t - 1)g(qp). Now

$$p^{n+1}a = (qp - n - (n+1) - t)...(qp)p^{n+1}g(qp)q^{t} = g(qp + n + 1)q^{2(n+1)+t}p^{3(n+1)+t}q^{t}$$
$$= g(qp + n + 1)q^{2(n+1)+t}p^{3(n+1)}p^{t}q^{t}$$

which is an element of  $Ap^{3(n+1)}$  as  $p^tq^t \in \mathbb{C}[qp]$  and  $p^{3(n+1)}\mathbb{C}[qp] = \mathbb{C}[qp]p^{3(n+1)}$ . Suppose  $t \leq 0$ . If  $t \leq -2(n+1)$  then it is clear that

$$p^{n+1}a \in D\big(t-(n+1)\big) \subseteq Ap^{3(n+1)}$$

by Proposition 5.1. So suppose  $-2(n+1) < t \le 0$ , and put s = -t. Let  $a = f(qp)p^s$  where  $f(qp) \in \mathbb{C}[qp]$ . Because  $aq^{2(n+1)} \in R$ , and is equal to  $f(qp)p^sq^sq^{2(n+1)-s}$ , it follows that

$$f(qp)p^{s}q^{s} = (qp-n-2(n+1)+s)...(qp-n-1)g(qp)$$

for some  $g(qp) \in \mathbb{C}[qp]$  (this is by Proposition 5.1). Now  $p^sq^s = (qp+1)...(qp+s)$ and so g(qp) = (qp+1)...(qp+s)h(qp) with  $h(qp) \in \mathbb{C}[qp]$ . In particular, if follows that f(qp) = (qp-n-2(n+1)+s)...(qp-n-1)h(qp) and now

$$p^{n+1}a = p^{n+1}(qp-n-2(n+1)+s)\dots(qp-n-1)h(qp)p^s = q^{2(n+1)-s}p^{3(n+1)-s}h(qp)p^s$$

which is an element of  $Ap^{3(n+1)}$  because  $p^{3(n+1)-s}h(qp) \in \mathbb{C}[qp]p^{3(n+1)-s}$ .

This completes the proof of the lemma.

LEMMA 7.3. The vector space  $J/J \cap Ap^{3(n+1)}$  has dimension at least  $n+1+2(n+1)^2$ .

*Proof.* In Theorem 6.4 it was shown that J contains the elements  $p^{2(n+1)}$  and  $p^{t}(qp-n-2(n+1))...(qp-n-t-1)$  for  $0 \le t < 2(n+1)$ . Because J is a left ideal J contains the following elements:

$$x(s,t) = \begin{cases} p^{2(n+1)+s} & 0 \le s < n+1, \quad t = 2(n+1) \\ p^{t+s}(qp-n-2(n+1))...(qp-n-t-1) & 0 \le s < n+1, \quad 0 \le t < 2(n+1) \end{cases}$$

There are precisely  $n+1+2(n+1)^2$  of the elements and the proof will show that the images of these elements in  $J/J \cap Ap^{3(n+1)}$  are linearly independent. Notice that each x(s, t) may be written uniquely in the form  $y(s, t)p^{s+t}$  where y(s, t) is a polynomial in qp of degree 2(n+1)-t.

Suppose that  $\sum_{\alpha_{s,t}} x(s,t) \in Ap^{3(n+1)}$  where  $\alpha_{s,t} \in \mathbb{C}$  and the sum over all pairs (s,t) with  $0 \leq s < n+1$ ,  $0 \leq t < 2(n+1)$ . Because  $p^{3(n+1)}$  is a homogeneous element of A, it follows that  $Ap^{3(n+1)} = \bigoplus_{-\infty}^{\infty} (Ap^{3(n+1)} \cap D(r))$ . Fix an integer r with  $0 \leq r \leq 3(n+1)$ . Splitting the above sum into its homogeneous parts, it must be the case that

$$\sum_{s+t=r} \alpha_{s,t} x(s,t) \in Ap^{3(n+1)} \cap D(-r).$$

Now, if  $x \in A$ , then  $xp^{3(n+1)} \in D(-r)$  if and only if  $x \in D(3(n+1)-r)$ ; that is if and only if  $x = f(qp)q^{3(n+1)-r}$  for some  $f(qp) \in \mathbb{C}[qp]$ . Hence,

$$\sum_{s+t=r} \alpha_{s,t} x(s,t) = f(qp) q^{3(n+1)-r} p^{3(n+1)}$$

and, dividing on the right by  $p^r$ ,

$$\sum_{s+t=r} \alpha_{s,t} y(s,t) = f(qp) q^{3(n+1)-r} p^{3(n+1)-r}.$$

Now,  $q^{3(n+1)-r}p^{3(n+1)-r}$  is an element of  $\mathbb{C}[qp]$  of degree 3(n+1)-r, so the above equation may be interpreted as being a relationship of linear dependence between certain polynomials in  $\mathbb{C}[qp]$ . However, each term on the left is of degree 2(n+1)-t = 2(n+1)-(r-s) < 3(n+1)-r because s < n+1. So we have a linear dependence relation between elements of  $\mathbb{C}[qp]$ , each element being of different degree—this of course can only happen if all  $\alpha_{s,t} = 0$ .

COROLLARY 7.4. The left ideal  $J = \{s \in R \mid sq^{2(n+1)} \in R\}$  requires at least three generators.

*Proof.* It is simply a matter of putting together all the above. By the two foregoing lemmas, dim  $(J/MJ) > \dim (J/J \cap Ap^{(n+1)}) > 2(n+1)^2$ , and then the discussion prior to Lemma 7.2 completes the argument.

Note added in proof. Stafford has recently shown that every infinite dimensional simple *R*-module is of projective dimension 1. Consequently gl.dim. R = 2.

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