

0/1 Polytopes with Quadratic Chvátal Rank

Thomas Rothvoß and Laura Sanità

3rd Cargese Workshop on Combinatorial Optimization



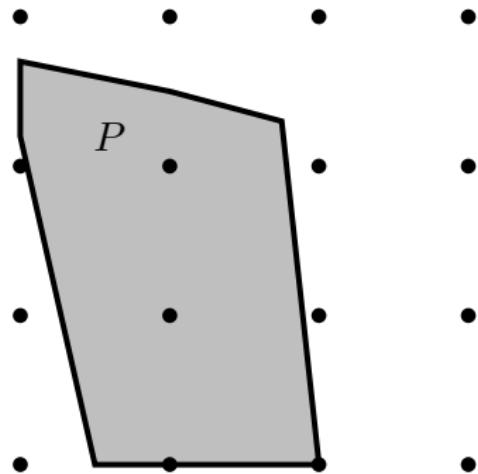
Massachusetts
Institute of
Technology



Alexander von Humboldt
Stiftung / Foundation

Gomory Chvátal Cuts

- Given: Polytope $P \subseteq \mathbb{R}^n$

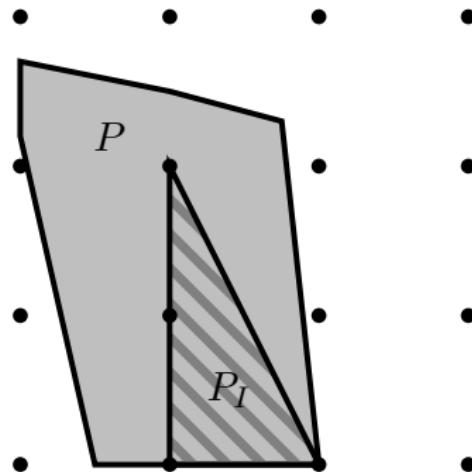


Gomory Chvátal Cuts

► Given: Polytope $P \subseteq \mathbb{R}^n$

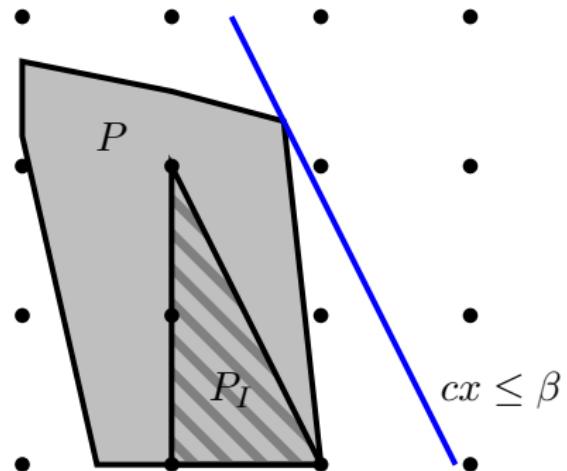
► Want: Integral hull

$$P_I := \text{conv}\{P \cap \mathbb{Z}^n\}$$



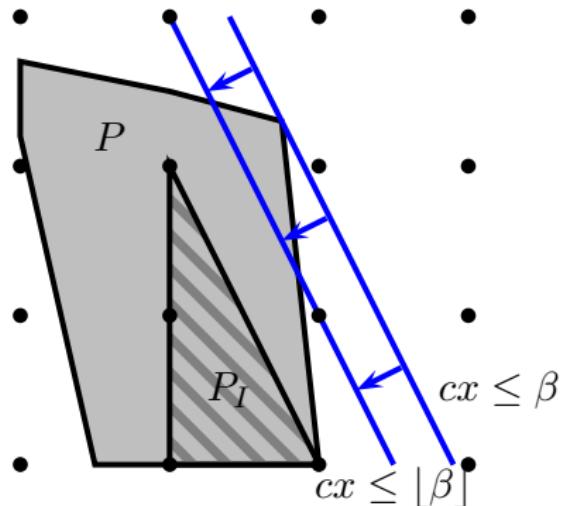
Gomory Chvátal Cuts

- ▶ Given: Polytope $P \subseteq \mathbb{R}^n$
- ▶ Want: Integral hull
 $P_I := \text{conv}\{P \cap \mathbb{Z}^n\}$
- ▶ Idea: Let $cx \leq \beta$ valid inequality for P ($c \in \mathbb{Z}^n$)



Gomory Chvátal Cuts

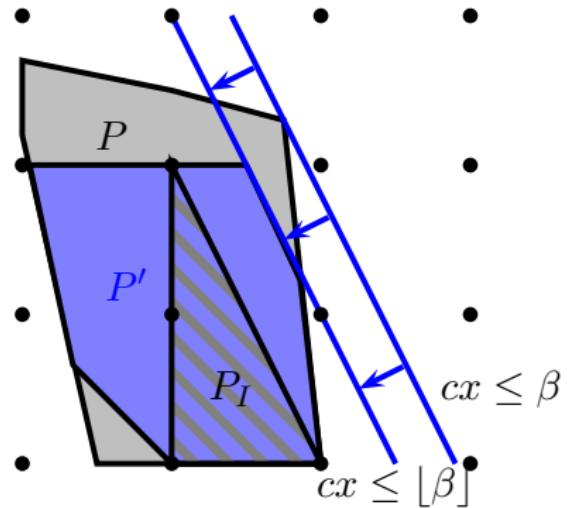
- ▶ Given: Polytope $P \subseteq \mathbb{R}^n$
- ▶ Want: Integral hull
 $P_I := \text{conv}\{P \cap \mathbb{Z}^n\}$
- ▶ Idea: Let $cx \leq \beta$ valid inequality for P ($c \in \mathbb{Z}^n$)
- ▶ The **Gomory Chvátal cut** $cx \leq \lfloor \beta \rfloor$ valid for P_I



Gomory Chvátal Cuts

- ▶ Given: Polytope $P \subseteq \mathbb{R}^n$
- ▶ Want: Integral hull
 $P_I := \text{conv}\{P \cap \mathbb{Z}^n\}$
- ▶ Idea: Let $cx \leq \beta$ valid inequality for P ($c \in \mathbb{Z}^n$)
- ▶ The **Gomory Chvátal cut** $cx \leq \lfloor \beta \rfloor$ valid for P_I
- ▶ Gomory Chvátal closure

$$P' = \bigcap \{\text{all GC cuts for } P\} = \bigcap_{c \in \mathbb{Z}^n} \{x \mid cx \leq \lfloor \max\{cy \mid y \in P\} \rfloor\}$$

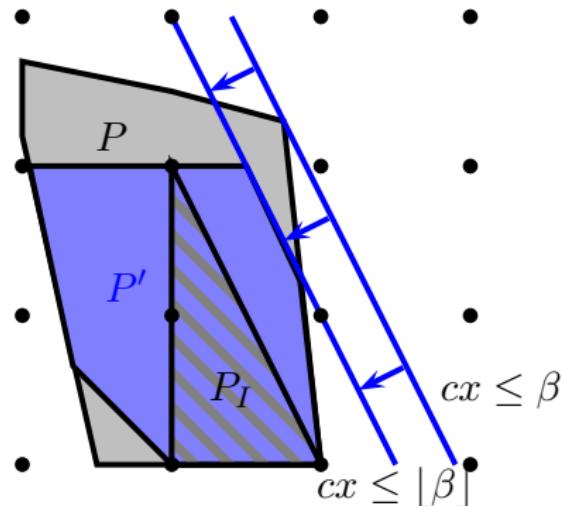


Gomory Chvátal Cuts

- ▶ Given: Polytope $P \subseteq \mathbb{R}^n$
- ▶ Want: Integral hull
 $P_I := \text{conv}\{P \cap \mathbb{Z}^n\}$
- ▶ Idea: Let $cx \leq \beta$ valid inequality for P ($c \in \mathbb{Z}^n$)
- ▶ The **Gomory Chvátal cut** $cx \leq \lfloor \beta \rfloor$ valid for P_I
- ▶ Gomory Chvátal closure

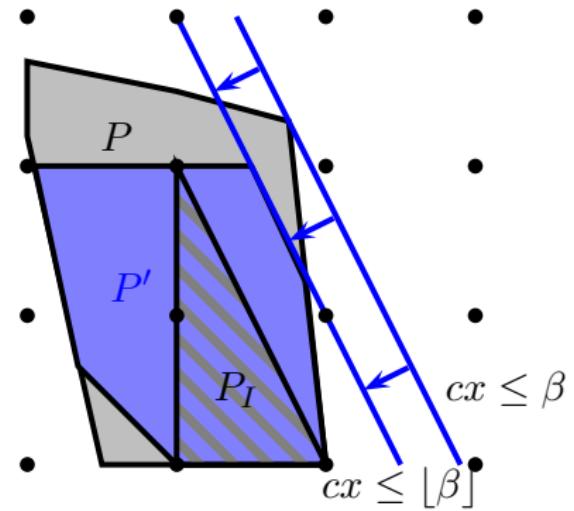
$$P' = \bigcap \{\text{all GC cuts for } P\} = \bigcap_{c \in \mathbb{Z}^n} \{x \mid cx \leq \lfloor \max\{cy \mid y \in P\} \rfloor\}$$

- ▶ **kth closure** $P^{(k)} := \underbrace{P''' \dots'}_{k \text{ times}}$



Gomory Chvátal Cuts

- ▶ Given: Polytope $P \subseteq \mathbb{R}^n$
- ▶ Want: Integral hull
 $P_I := \text{conv}\{P \cap \mathbb{Z}^n\}$
- ▶ Idea: Let $cx \leq \beta$ valid inequality for P ($c \in \mathbb{Z}^n$)
- ▶ The **Gomory Chvátal cut** $cx \leq \lfloor \beta \rfloor$ valid for P_I
- ▶ Gomory Chvátal closure



$$P' = \bigcap \{\text{all GC cuts for } P\} = \bigcap_{c \in \mathbb{Z}^n} \{x \mid cx \leq \lfloor \max\{cy \mid y \in P\} \rfloor\}$$

- ▶ **kth closure** $P^{(k)} := \underbrace{P''' \dots'}_{k \text{ times}}$
- ▶ **Chvátal rank:** $P^{(\text{rk}(P))} = P_I$

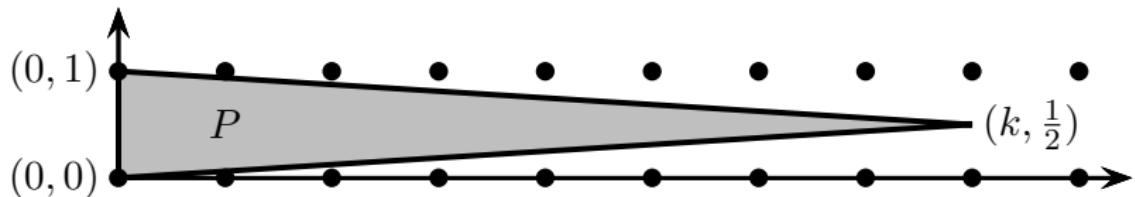
What's known

What's known

- ▶ For each rational polyhedron P , $\text{rk}(P) < \infty$

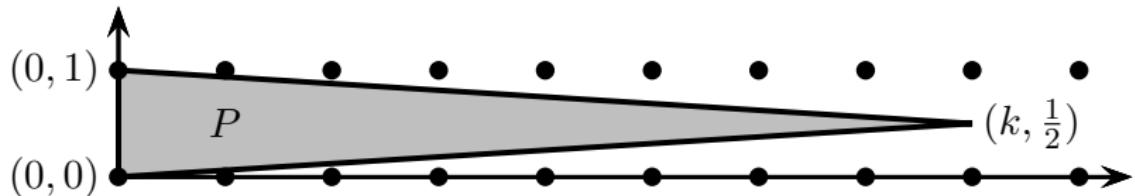
What's known

- ▶ For each rational polyhedron P , $\text{rk}(P) < \infty$
- ▶ But for every k , there is $P \subseteq \mathbb{R}^2$ with $\text{rk}(P) \geq k$



What's known

- ▶ For each rational polyhedron P , $\text{rk}(P) < \infty$
- ▶ But for every k , there is $P \subseteq \mathbb{R}^2$ with $\text{rk}(P) \geq k$



- ▶ For the rest of the talk assume $P \subseteq [0, 1]^n$

What's known — if $P \subseteq [0, 1]^n$

- ▶ $\text{rk}(P) \leq O(n^3 \log n)$
[Bockmayer, Eisenbrand, Hartmann, Schulz '98]

What's known — if $P \subseteq [0, 1]^n$

- ▶ $\text{rk}(P) \leq O(n^3 \log n)$
[Bockmayer, Eisenbrand, Hartmann, Schulz '98]
- ▶ $\text{rk}(P) \leq O(n^2 \log n)$ [Eisenbrand, Schulz '99]

What's known — if $P \subseteq [0, 1]^n$

- ▶ $\text{rk}(P) \leq O(n^3 \log n)$
[Bockmayer, Eisenbrand, Hartmann, Schulz '98]
- ▶ $\text{rk}(P) \leq O(n^2 \log n)$ [Eisenbrand, Schulz '99]
- ▶ For some P , $\text{rk}(P) \geq (1 + \varepsilon)n$ [Eisenbrand, Schulz '99]

What's known — if $P \subseteq [0, 1]^n$

- ▶ $\text{rk}(P) \leq O(n^3 \log n)$
[Bockmayer, Eisenbrand, Hartmann, Schulz '98]
- ▶ $\text{rk}(P) \leq O(n^2 \log n)$ [Eisenbrand, Schulz '99]
- ▶ For some P , $\text{rk}(P) \geq (1 + \varepsilon)n$ [Eisenbrand, Schulz '99]
- ▶ For some P , $\text{rk}(P) \geq 1.36n$ [Pokutta, Stauffer '11]

What's known — if $P \subseteq [0, 1]^n$

- ▶ $\text{rk}(P) \leq O(n^3 \log n)$
[Bockmayer, Eisenbrand, Hartmann, Schulz '98]
- ▶ $\text{rk}(P) \leq O(n^2 \log n)$ [Eisenbrand, Schulz '99]
- ▶ For some P , $\text{rk}(P) \geq (1 + \varepsilon)n$ [Eisenbrand, Schulz '99]
- ▶ For some P , $\text{rk}(P) \geq 1.36n$ [Pokutta, Stauffer '11]

What's known — if $P \subseteq [0, 1]^n$

- ▶ $\text{rk}(P) \leq O(n^3 \log n)$
[Bockmayer, Eisenbrand, Hartmann, Schulz '98]
- ▶ $\text{rk}(P) \leq O(n^2 \log n)$ [Eisenbrand, Schulz '99]
- ▶ For some P , $\text{rk}(P) \geq (1 + \varepsilon)n$ [Eisenbrand, Schulz '99]
- ▶ For some P , $\text{rk}(P) \geq 1.36n$ [Pokutta, Stauffer '11]

Theorem (Sanità, R. '12)

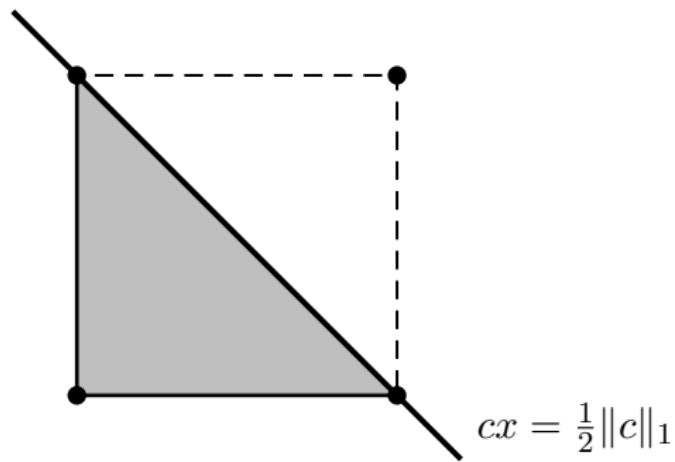
There exists a family of polytopes $P \subseteq [0, 1]^n$ with Chvátal rank $\text{rk}(P) \geq \Omega(n^2)$.

The polytope

The polytope

- Let $c \in \mathbb{Z}_{\geq 0}^n$ be a vector

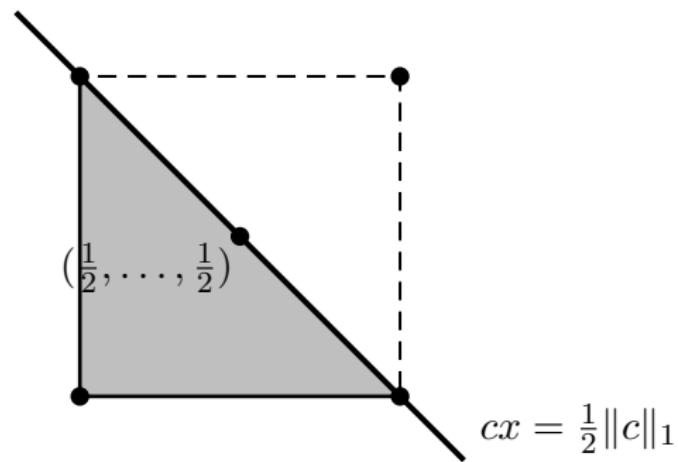
$$P(c) := \underbrace{\text{conv} \left\{ x \in \{0, 1\}^n : cx \leq \frac{\|c\|_1}{2} \right\}}_{\text{Knapsack solutions}}$$



The polytope

- Let $c \in \mathbb{Z}_{\geq 0}^n$ be a vector

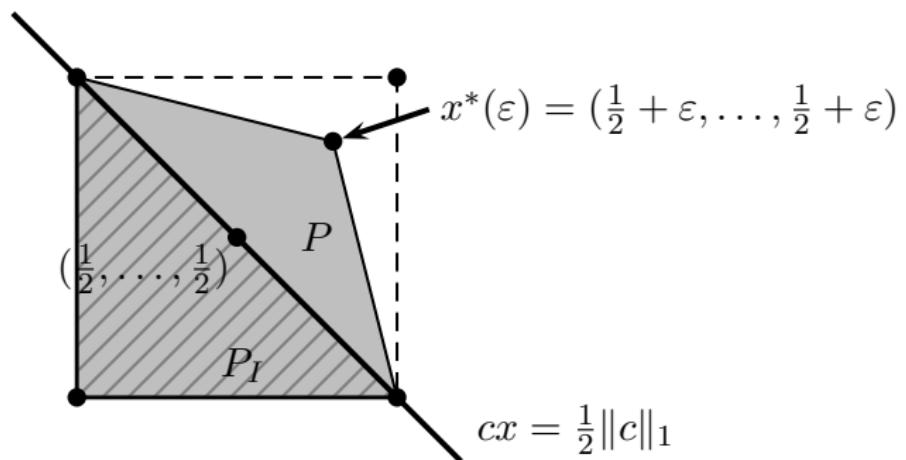
$$P(c) := \underbrace{\text{conv} \left\{ x \in \{0, 1\}^n : cx \leq \frac{\|c\|_1}{2} \right\}}_{\text{Knapsack solutions}}$$



The polytope

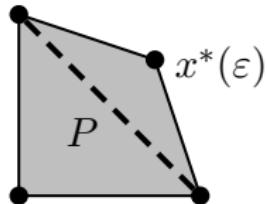
- Let $c \in \mathbb{Z}_{\geq 0}^n$ be a vector

$$P(c, \varepsilon) := \text{conv} \left\{ \underbrace{\left\{ x \in \{0, 1\}^n : cx \leq \frac{\|c\|_1}{2} \right\}}_{\text{Knapsack solutions}} \cup \underbrace{\{x^*(\varepsilon)\}}_{\text{special vertex}} \right\}$$



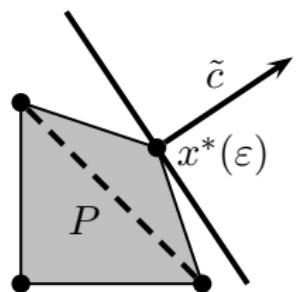
Critical vectors

- ▶ Call \tilde{c} **critical** $\Leftrightarrow \tilde{c}$ maximized at x^*



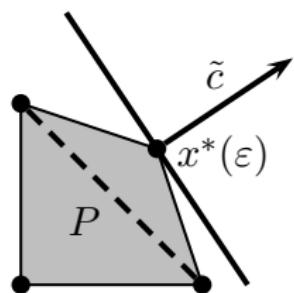
Critical vectors

- ▶ Call \tilde{c} **critical** $\Leftrightarrow \tilde{c}$ maximized at x^*



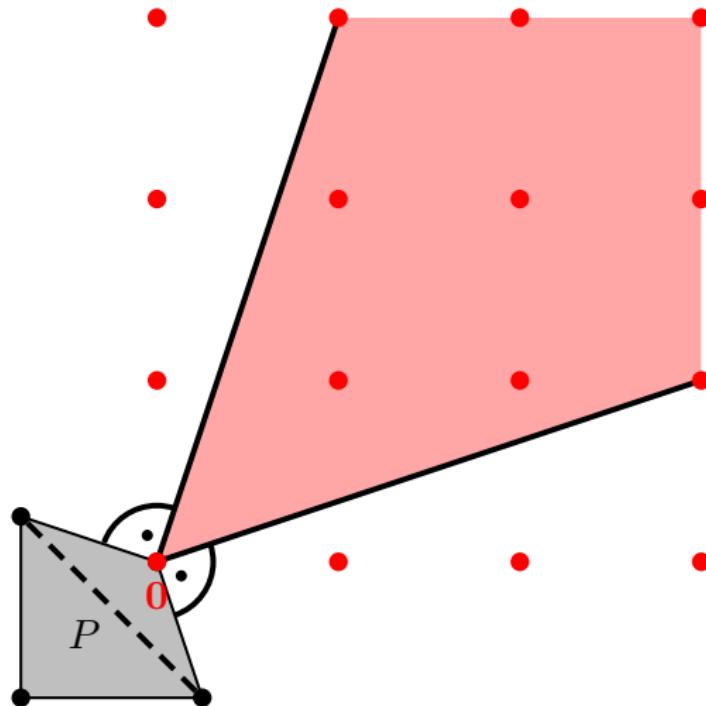
Critical vectors

- ▶ Call \tilde{c} **critical** $\Leftrightarrow \tilde{c}$ maximized at x^*
- ▶ $\tilde{c}x \leq \lfloor \beta \rfloor$ cuts off x^* $\implies \tilde{c}$ critical



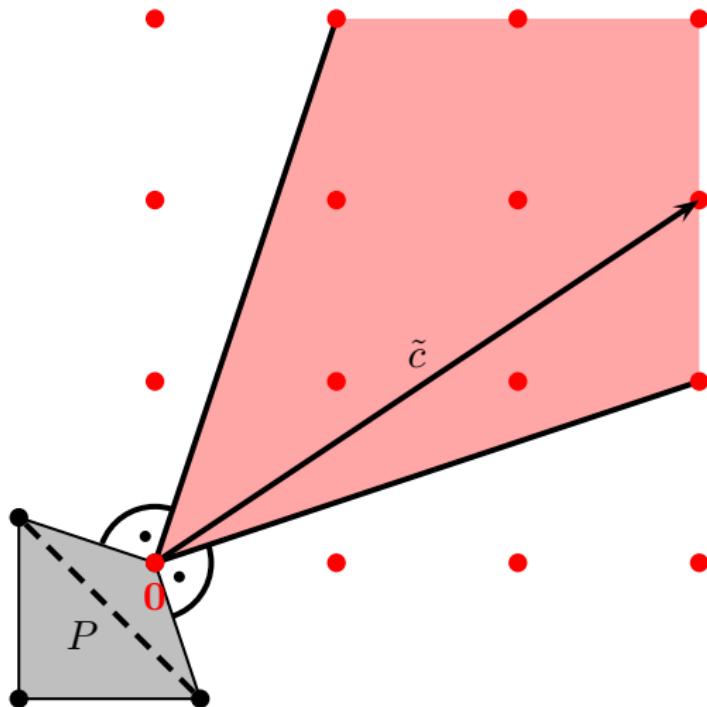
Critical vectors

- ▶ Call \tilde{c} **critical** $\Leftrightarrow \tilde{c}$ maximized at x^*
- ▶ $\tilde{c}x \leq \lfloor \beta \rfloor$ cuts off x^* $\implies \tilde{c}$ critical



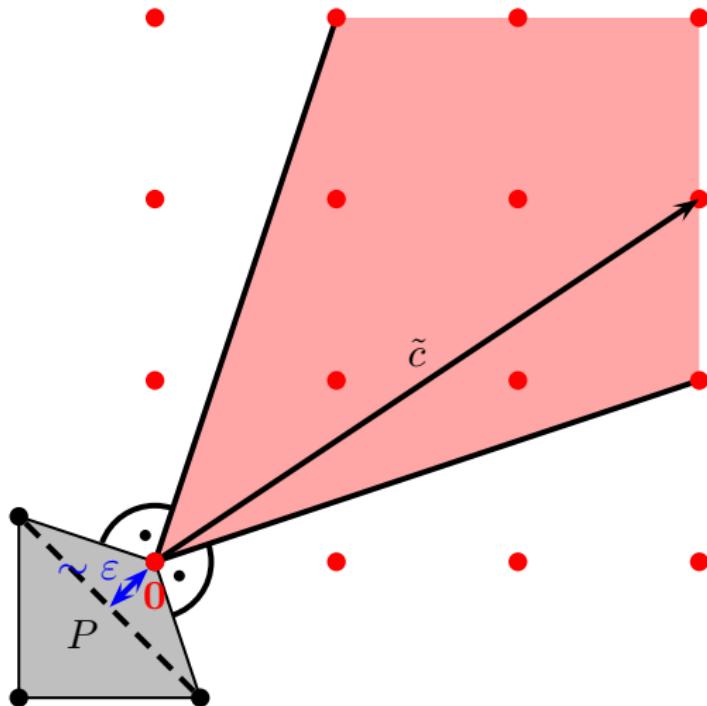
Critical vectors

- ▶ Call \tilde{c} **critical** $\Leftrightarrow \tilde{c}$ maximized at x^*
- ▶ $\tilde{c}x \leq \lfloor \beta \rfloor$ cuts off x^* $\implies \tilde{c}$ critical



Critical vectors

- ▶ Call \tilde{c} **critical** $\Leftrightarrow \tilde{c}$ maximized at x^*
- ▶ $\tilde{c}x \leq \lfloor \beta \rfloor$ cuts off x^* $\implies \tilde{c}$ critical



Overview

Overview

critical vectors are long $\implies \Omega(n^2)$ rank

Overview

critical vectors are good
Simultaneous Diophantine Approximations to c



critical vectors are long $\implies \Omega(n^2)$ rank

Overview

random vector has no short, good SDA



critical vectors are good
Simultaneous Diophantine Approximations to c



critical vectors are long $\implies \Omega(n^2)$ rank

A lower bound strategy

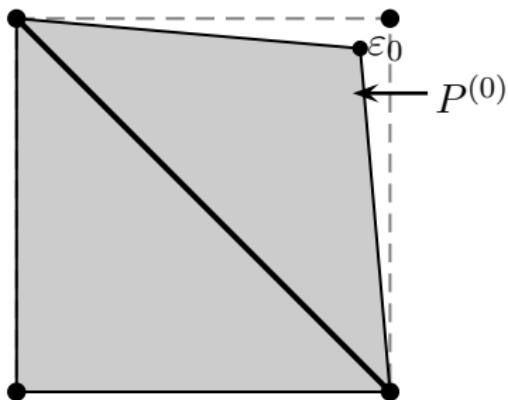
Theorem

Assume: $\forall \varepsilon \in [(\frac{1}{2})^{\Theta(n)}, \Theta(1)] : \tilde{c}$ critical $\implies \|\tilde{c}\|_1 \geq \Omega(\frac{n}{\varepsilon})$.
Then $\text{rk}(P) \geq \Omega(n^2)$.

A lower bound strategy

Theorem

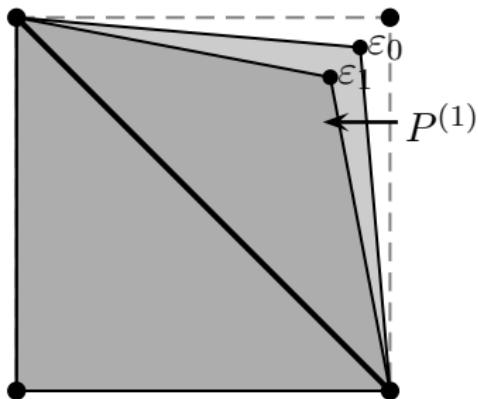
Assume: $\forall \varepsilon \in [(\frac{1}{2})^{\Theta(n)}, \Theta(1)] : \tilde{c} \text{ critical} \implies \|\tilde{c}\|_1 \geq \Omega(\frac{n}{\varepsilon}).$
Then $\text{rk}(P) \geq \Omega(n^2)$.



A lower bound strategy

Theorem

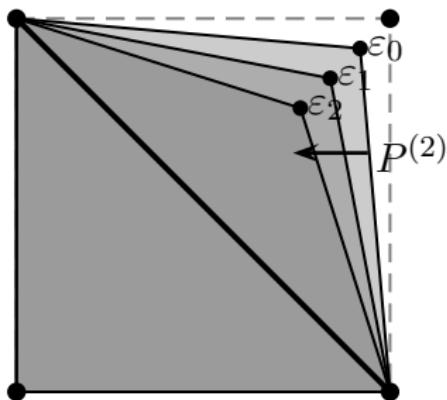
Assume: $\forall \varepsilon \in [(\frac{1}{2})^{\Theta(n)}, \Theta(1)] : \tilde{c} \text{ critical} \implies \|\tilde{c}\|_1 \geq \Omega(\frac{n}{\varepsilon})$.
Then $\text{rk}(P) \geq \Omega(n^2)$.



A lower bound strategy

Theorem

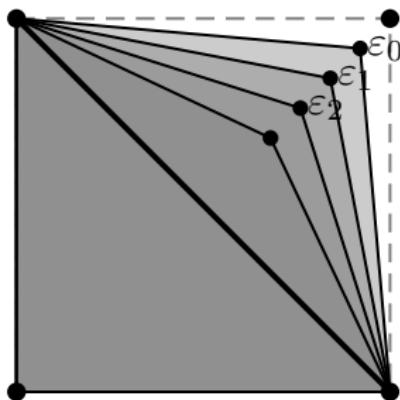
Assume: $\forall \varepsilon \in [(\frac{1}{2})^{\Theta(n)}, \Theta(1)] : \tilde{c} \text{ critical} \implies \|\tilde{c}\|_1 \geq \Omega(\frac{n}{\varepsilon})$.
Then $\text{rk}(P) \geq \Omega(n^2)$.



A lower bound strategy

Theorem

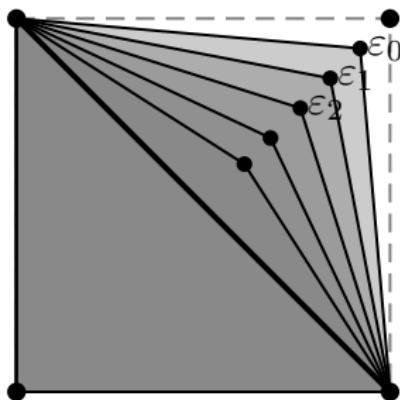
Assume: $\forall \varepsilon \in [(\frac{1}{2})^{\Theta(n)}, \Theta(1)] : \tilde{c} \text{ critical} \implies \|\tilde{c}\|_1 \geq \Omega(\frac{n}{\varepsilon})$.
Then $\text{rk}(P) \geq \Omega(n^2)$.



A lower bound strategy

Theorem

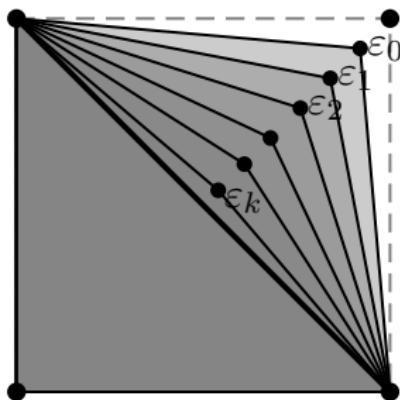
Assume: $\forall \varepsilon \in [(\frac{1}{2})^{\Theta(n)}, \Theta(1)] : \tilde{c} \text{ critical} \implies \|\tilde{c}\|_1 \geq \Omega(\frac{n}{\varepsilon})$.
Then $\text{rk}(P) \geq \Omega(n^2)$.



A lower bound strategy

Theorem

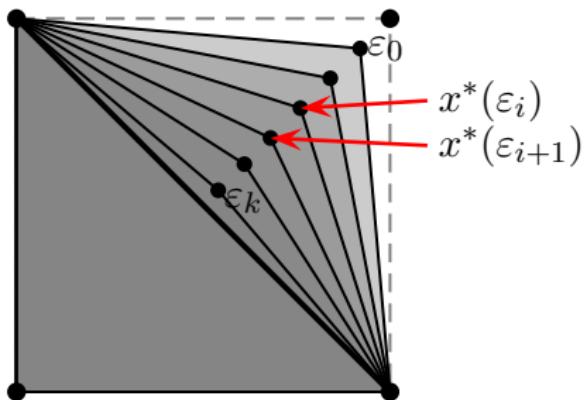
Assume: $\forall \varepsilon \in [(\frac{1}{2})^{\Theta(n)}, \Theta(1)] : \tilde{c} \text{ critical} \implies \|\tilde{c}\|_1 \geq \Omega(\frac{n}{\varepsilon})$.
Then $\text{rk}(P) \geq \Omega(n^2)$.



A lower bound strategy

Theorem

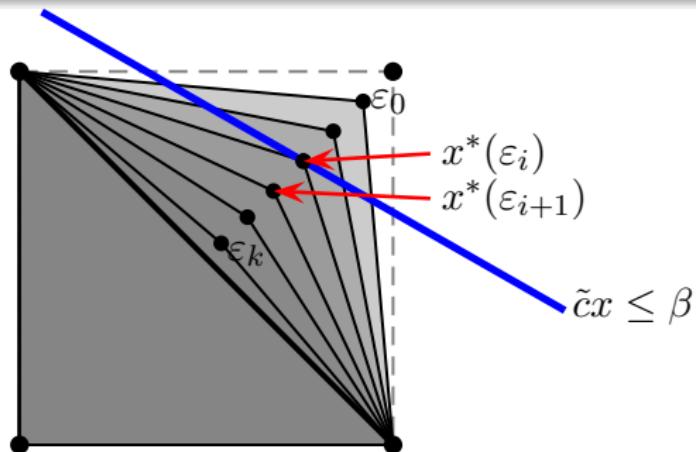
Assume: $\forall \varepsilon \in [(\frac{1}{2})^{\Theta(n)}, \Theta(1)] : \tilde{c} \text{ critical} \implies \|\tilde{c}\|_1 \geq \Omega(\frac{n}{\varepsilon}).$
Then $\text{rk}(P) \geq \Omega(n^2)$.



A lower bound strategy

Theorem

Assume: $\forall \varepsilon \in [(\frac{1}{2})^{\Theta(n)}, \Theta(1)] : \tilde{c} \text{ critical} \implies \|\tilde{c}\|_1 \geq \Omega(\frac{n}{\varepsilon}).$
Then $\text{rk}(P) \geq \Omega(n^2)$.

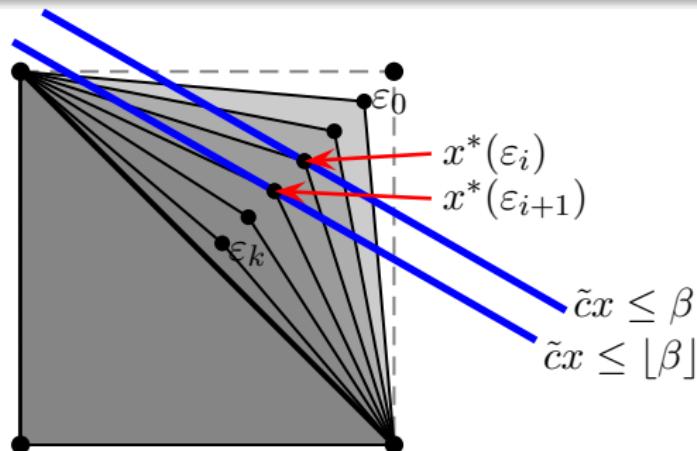


- Let $\tilde{c}x \leq \beta$ be the GC cut “cutting furthest” in it. i

A lower bound strategy

Theorem

Assume: $\forall \varepsilon \in [(\frac{1}{2})^{\Theta(n)}, \Theta(1)] : \tilde{c} \text{ critical} \implies \|\tilde{c}\|_1 \geq \Omega(\frac{n}{\varepsilon})$.
Then $\text{rk}(P) \geq \Omega(n^2)$.

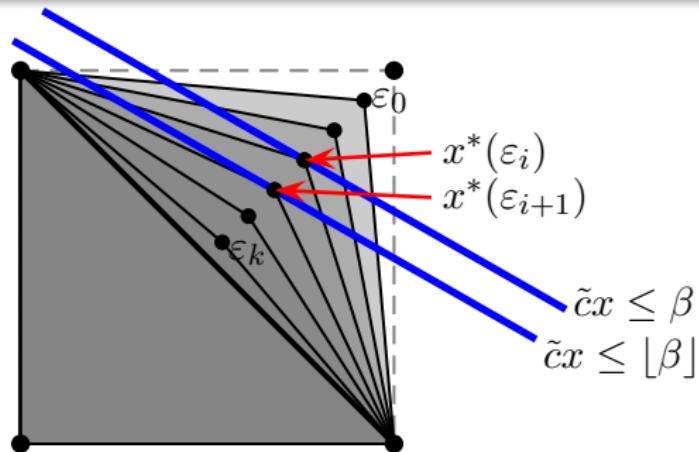


- Let $\tilde{c}x \leq \beta$ be the GC cut “cutting furthest” in it. i

A lower bound strategy

Theorem

Assume: $\forall \varepsilon \in [(\frac{1}{2})^{\Theta(n)}, \Theta(1)] : \tilde{c} \text{ critical} \implies \|\tilde{c}\|_1 \geq \Omega(\frac{n}{\varepsilon})$.
Then $\text{rk}(P) \geq \Omega(n^2)$.



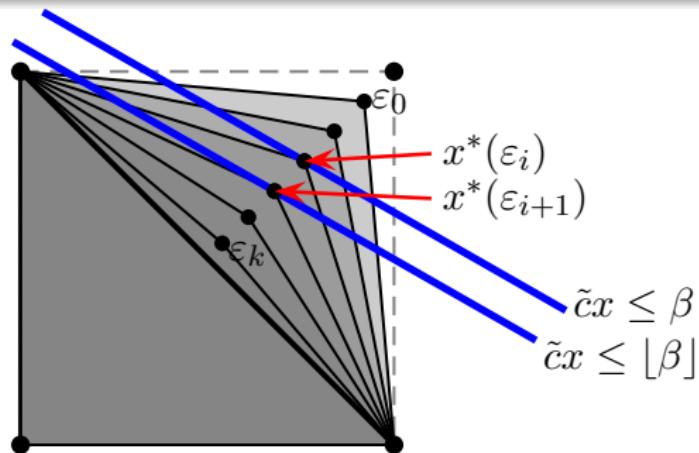
- Let $\tilde{c}x \leq \beta$ be the GC cut “cutting furthest” in it. i

$$\tilde{c}x^*(\varepsilon_i) - \tilde{c}x^*(\varepsilon_{i+1})$$

A lower bound strategy

Theorem

Assume: $\forall \varepsilon \in [(\frac{1}{2})^{\Theta(n)}, \Theta(1)] : \tilde{c} \text{ critical} \implies \|\tilde{c}\|_1 \geq \Omega(\frac{n}{\varepsilon})$.
Then $\text{rk}(P) \geq \Omega(n^2)$.

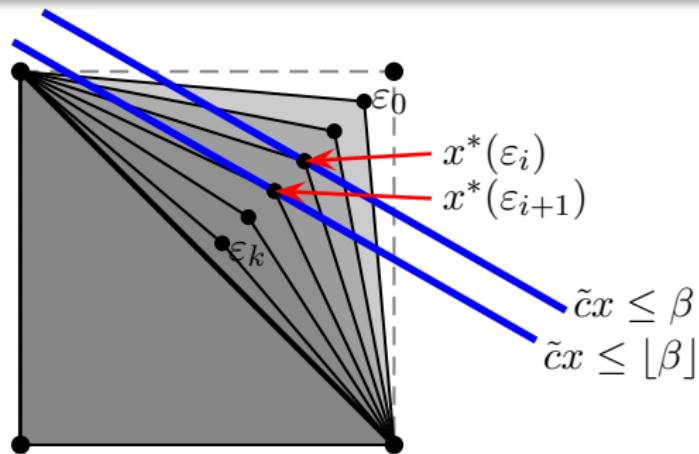


- ▶ Let $\tilde{c}x \leq \beta$ be the GC cut “cutting furthest” in it. i
 $1 \geq \tilde{c}x^*(\varepsilon_i) - \tilde{c}x^*(\varepsilon_{i+1})$

A lower bound strategy

Theorem

Assume: $\forall \varepsilon \in [(\frac{1}{2})^{\Theta(n)}, \Theta(1)] : \tilde{c}$ critical $\implies \|\tilde{c}\|_1 \geq \Omega(\frac{n}{\varepsilon})$.
Then $\text{rk}(P) \geq \Omega(n^2)$.



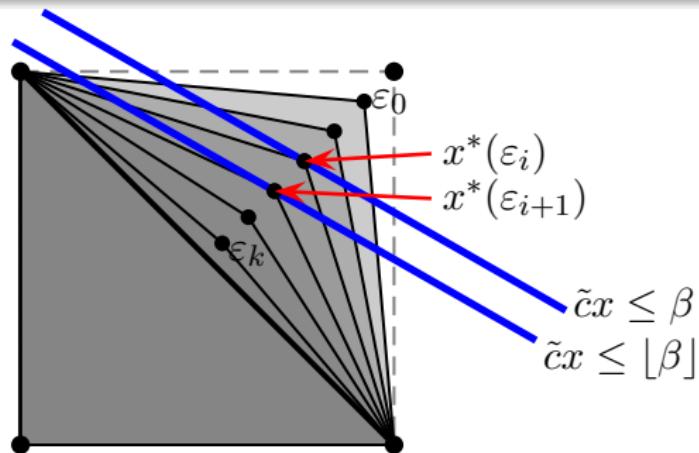
- Let $\tilde{c}x \leq \beta$ be the GC cut “cutting furthest” in it. i

$$1 \geq \tilde{c}x^*(\varepsilon_i) - \tilde{c}x^*(\varepsilon_{i+1}) = \|\tilde{c}\|_1 \cdot (\varepsilon_i - \varepsilon_{i+1})$$

A lower bound strategy

Theorem

Assume: $\forall \varepsilon \in [(\frac{1}{2})^{\Theta(n)}, \Theta(1)] : \tilde{c} \text{ critical} \implies \|\tilde{c}\|_1 \geq \Omega(\frac{n}{\varepsilon}).$
Then $\text{rk}(P) \geq \Omega(n^2)$.



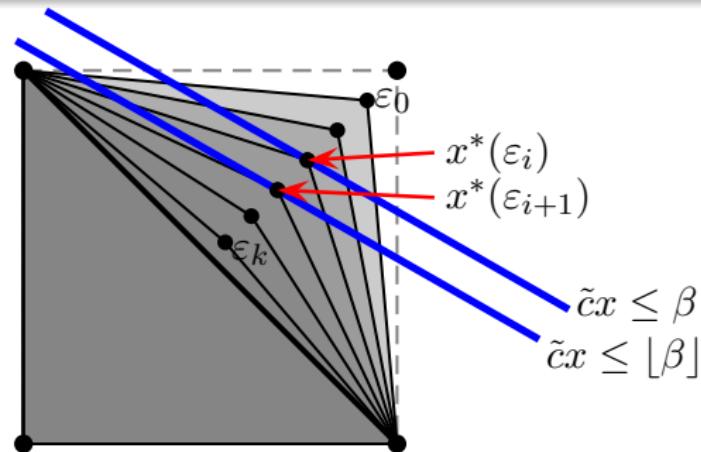
- Let $\tilde{c}x \leq \beta$ be the GC cut “cutting furthest” in it. i

$$1 \geq \tilde{c}x^*(\varepsilon_i) - \tilde{c}x^*(\varepsilon_{i+1}) = \|\tilde{c}\|_1 \cdot (\varepsilon_i - \varepsilon_{i+1}) \geq \Omega\left(\frac{n}{\varepsilon_i}\right) \cdot (\varepsilon_i - \varepsilon_{i+1})$$

A lower bound strategy

Theorem

Assume: $\forall \varepsilon \in [(\frac{1}{2})^{\Theta(n)}, \Theta(1)] : \tilde{c} \text{ critical} \implies \|\tilde{c}\|_1 \geq \Omega(\frac{n}{\varepsilon})$.
Then $\text{rk}(P) \geq \Omega(n^2)$.



- Let $\tilde{c}x \leq \beta$ be the GC cut “cutting furthest” in it. i

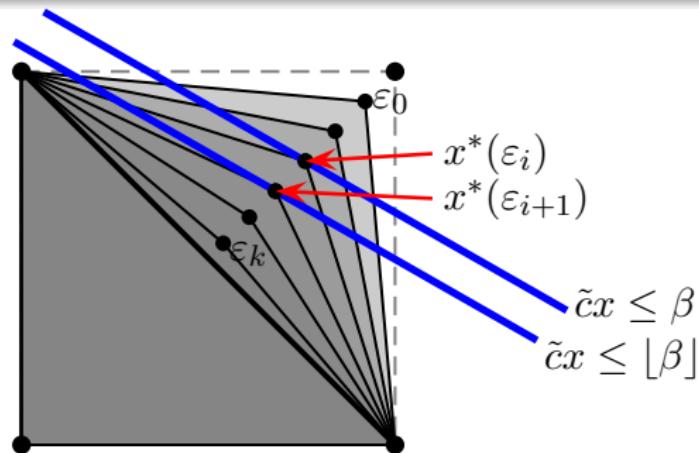
$$1 \geq \tilde{c}x^*(\varepsilon_i) - \tilde{c}x^*(\varepsilon_{i+1}) = \|\tilde{c}\|_1 \cdot (\varepsilon_i - \varepsilon_{i+1}) \geq \Omega\left(\frac{n}{\varepsilon_i}\right) \cdot (\varepsilon_i - \varepsilon_{i+1})$$

- Then $\frac{\varepsilon_{i+1}}{\varepsilon_i} \geq 1 - \frac{\Theta(1)}{n}$

A lower bound strategy

Theorem

Assume: $\forall \varepsilon \in [(\frac{1}{2})^{\Theta(n)}, \Theta(1)] : \tilde{c} \text{ critical} \implies \|\tilde{c}\|_1 \geq \Omega(\frac{n}{\varepsilon})$.
Then $\text{rk}(P) \geq \Omega(n^2)$.



- Let $\tilde{c}x \leq \beta$ be the GC cut “cutting furthest” in it. i

$$1 \geq \tilde{c}x^*(\varepsilon_i) - \tilde{c}x^*(\varepsilon_{i+1}) = \|\tilde{c}\|_1 \cdot (\varepsilon_i - \varepsilon_{i+1}) \geq \Omega\left(\frac{n}{\varepsilon_i}\right) \cdot (\varepsilon_i - \varepsilon_{i+1})$$

- Then $\frac{\varepsilon_{i+1}}{\varepsilon_i} \geq 1 - \frac{\Theta(1)}{n} \implies k \geq \Omega(n^2) \quad \square$

Overview

critical vectors are long $\implies \Omega(n^2)$ rank

Overview

critical vectors are good
Simultaneous Diophantine Approximations to c



critical vectors are long $\implies \Omega(n^2)$ rank

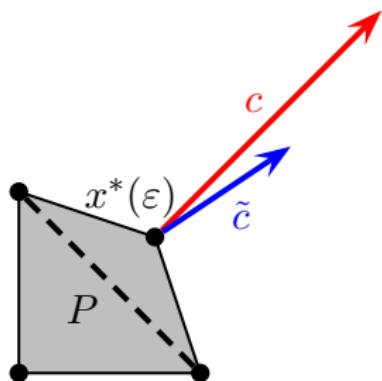
Simultaneous Diophantine Approximation

Lemma

Under magical assumptions

$$\tilde{c} \text{ critical} \implies \|\lambda \tilde{c} - c\|_1 \leq O(\varepsilon) \cdot \|c\|_1 \quad (\text{for some } \lambda > 0)$$

- ▶ **Intuition:** \tilde{c} critical $\implies \tilde{c}$ well-approximates c



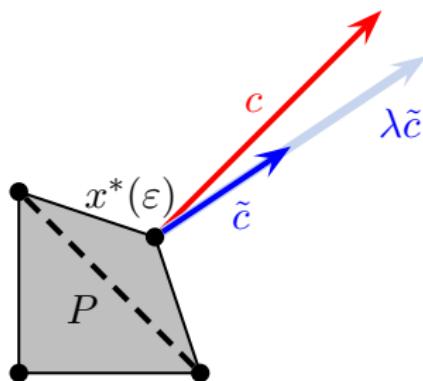
Simultaneous Diophantine Approximation

Lemma

Under magical assumptions

$$\tilde{c} \text{ critical} \implies \|\lambda\tilde{c} - c\|_1 \leq O(\varepsilon) \cdot \|c\|_1 \quad (\text{for some } \lambda > 0)$$

- ▶ **Intuition:** \tilde{c} critical $\implies \tilde{c}$ well-approximates c



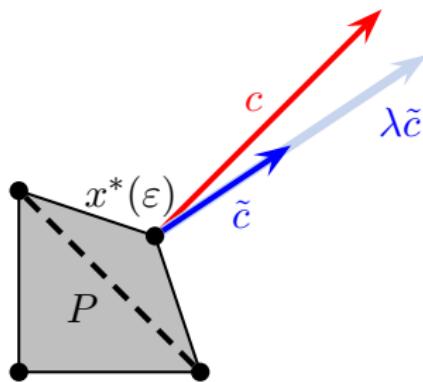
Simultaneous Diophantine Approximation

Lemma

Under magical assumptions

$$\tilde{c} \text{ critical} \implies \|\lambda\tilde{c} - c\|_1 \leq O(\varepsilon) \cdot \|c\|_1 \quad (\text{for some } \lambda > 0)$$

- ▶ **Intuition:** \tilde{c} critical $\implies \tilde{c}$ well-approximates c



- ▶ **Lemma follows from:**

$$\left(\frac{1}{2} + \varepsilon\right) \|\tilde{c}\|_1 \stackrel{\text{critical}}{\geq} \max\{\tilde{c}x \mid x \in P_I\} \geq \frac{1}{2} \|\tilde{c}\|_1 + \Omega\left(\left\|\tilde{c} - \frac{c}{\lambda}\right\|_1\right)$$

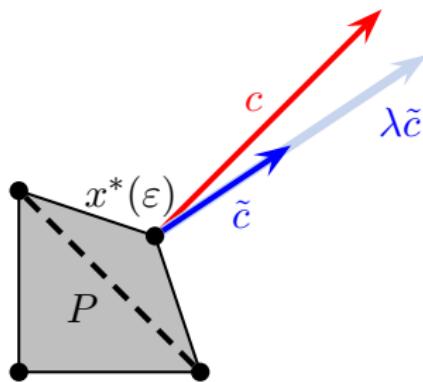
Simultaneous Diophantine Approximation

Lemma

Under magical assumptions

$$\tilde{c} \text{ critical} \implies \|\lambda\tilde{c} - c\|_1 \leq O(\varepsilon) \cdot \|c\|_1 \quad (\text{for some } \lambda > 0)$$

- ▶ **Intuition:** \tilde{c} critical $\implies \tilde{c}$ well-approximates c



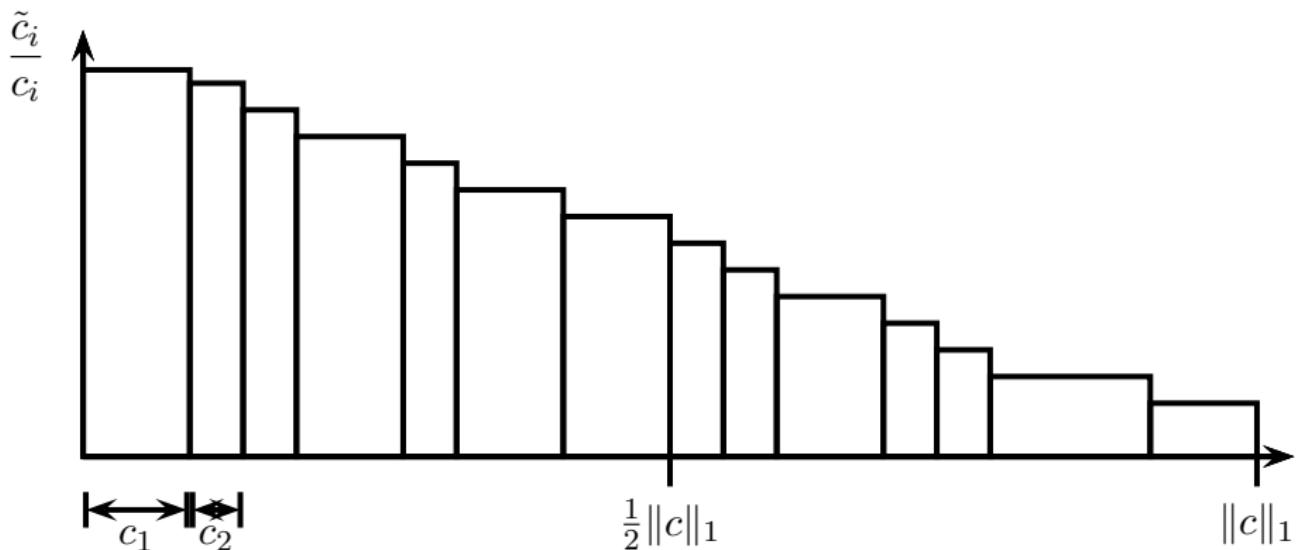
- ▶ Lemma follows from:

to show:

$$\left(\frac{1}{2} + \varepsilon\right) \|\tilde{c}\|_1 \stackrel{\text{critical}}{\geq} \max\{\tilde{c}x \mid x \in P_I\} \geq \frac{1}{2} \|\tilde{c}\|_1 + \Omega\left(\left\|\tilde{c} - \frac{c}{\lambda}\right\|_1\right)$$

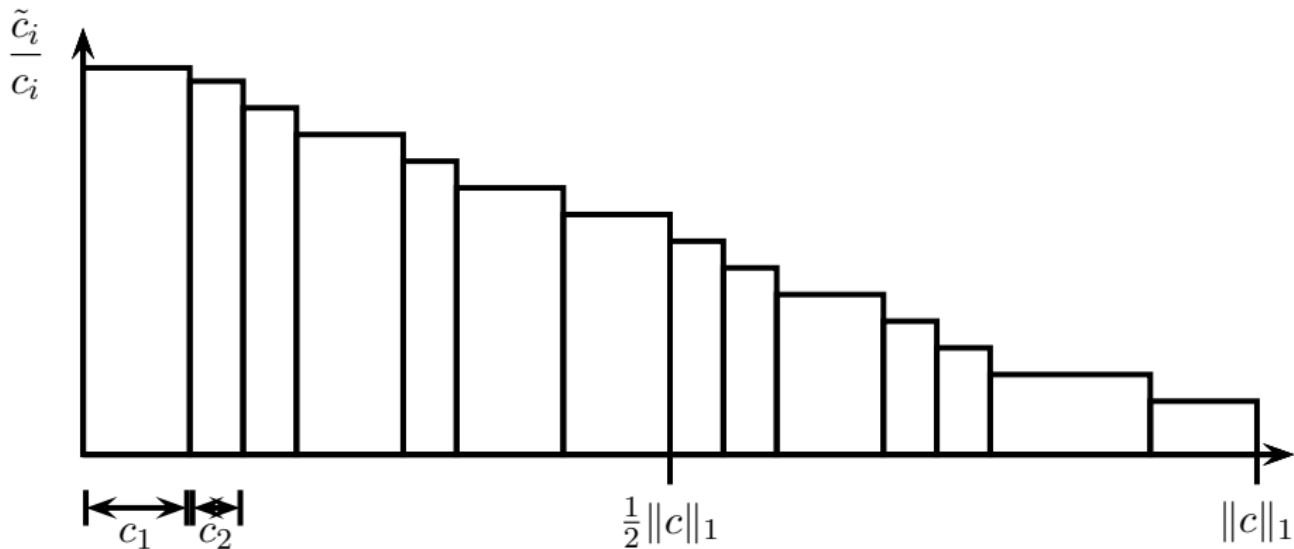
Finding good knapsack solutions (the ideal case)

- We want: $\max\{\tilde{c}x \mid x \in P_I\} \geq \frac{1}{2}\|\tilde{c}\|_1 + \Omega\left(\left\|\tilde{c} - \frac{c}{\lambda}\right\|_1\right)$



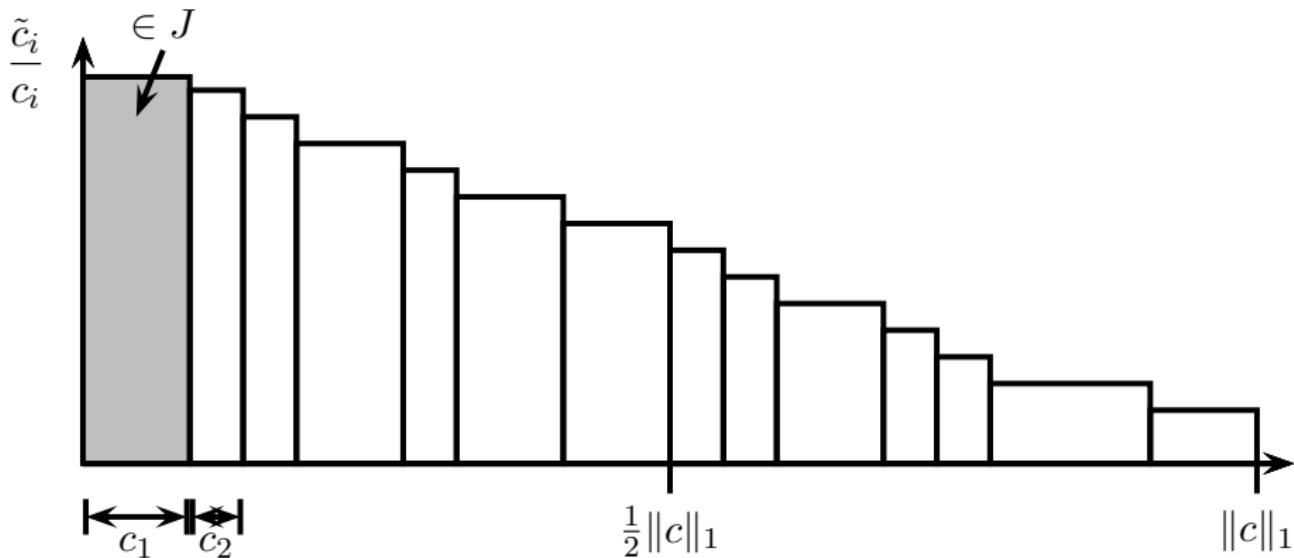
Finding good knapsack solutions (the ideal case)

- We want: $\max\{\tilde{c}x \mid x \in P_I\} \geq \frac{1}{2}\|\tilde{c}\|_1 + \Omega\left(\left\|\tilde{c} - \frac{c}{\lambda}\right\|_1\right)$
- Sort $\frac{\tilde{c}_1}{c_1} > \dots > \frac{\tilde{c}_n}{c_n}$ (i.e. according to $\frac{\text{profit}}{\text{cost}}$ ratio).



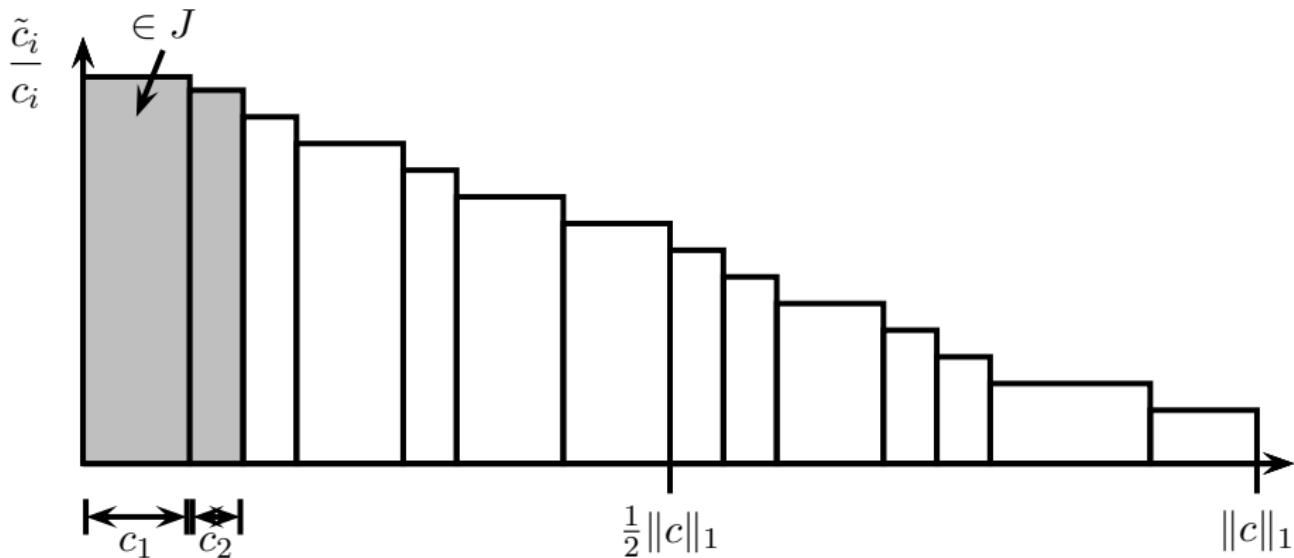
Finding good knapsack solutions (the ideal case)

- We want: $\max\{\tilde{c}x \mid x \in P_I\} \geq \frac{1}{2}\|\tilde{c}\|_1 + \Omega\left(\left\|\tilde{c} - \frac{c}{\lambda}\right\|_1\right)$
- Sort $\frac{\tilde{c}_1}{c_1} > \dots > \frac{\tilde{c}_n}{c_n}$ (i.e. according to $\frac{\text{profit}}{\text{cost}}$ ratio).



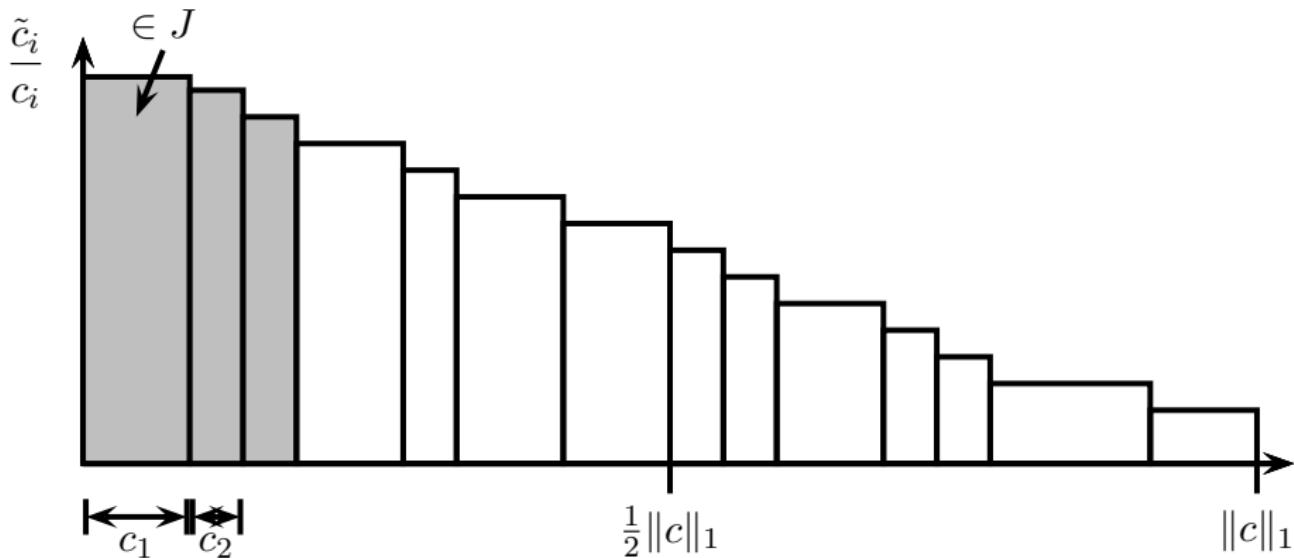
Finding good knapsack solutions (the ideal case)

- We want: $\max\{\tilde{c}x \mid x \in P_I\} \geq \frac{1}{2}\|\tilde{c}\|_1 + \Omega\left(\left\|\tilde{c} - \frac{c}{\lambda}\right\|_1\right)$
- Sort $\frac{\tilde{c}_1}{c_1} > \dots > \frac{\tilde{c}_n}{c_n}$ (i.e. according to $\frac{\text{profit}}{\text{cost}}$ ratio).



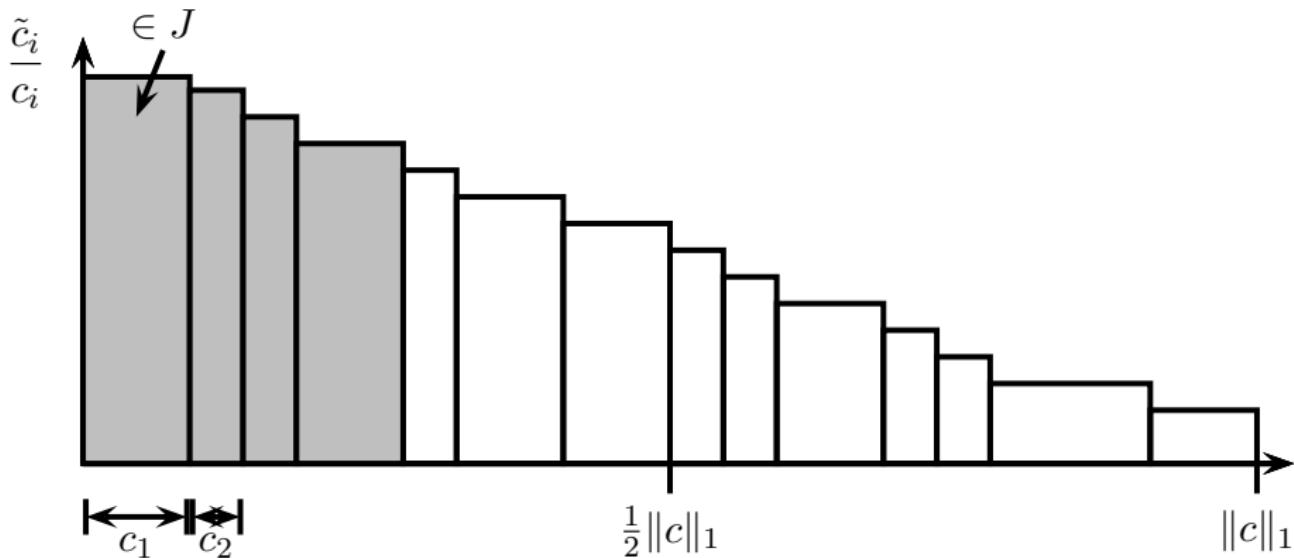
Finding good knapsack solutions (the ideal case)

- We want: $\max\{\tilde{c}x \mid x \in P_I\} \geq \frac{1}{2}\|\tilde{c}\|_1 + \Omega\left(\left\|\tilde{c} - \frac{c}{\lambda}\right\|_1\right)$
- Sort $\frac{\tilde{c}_1}{c_1} > \dots > \frac{\tilde{c}_n}{c_n}$ (i.e. according to $\frac{\text{profit}}{\text{cost}}$ ratio).



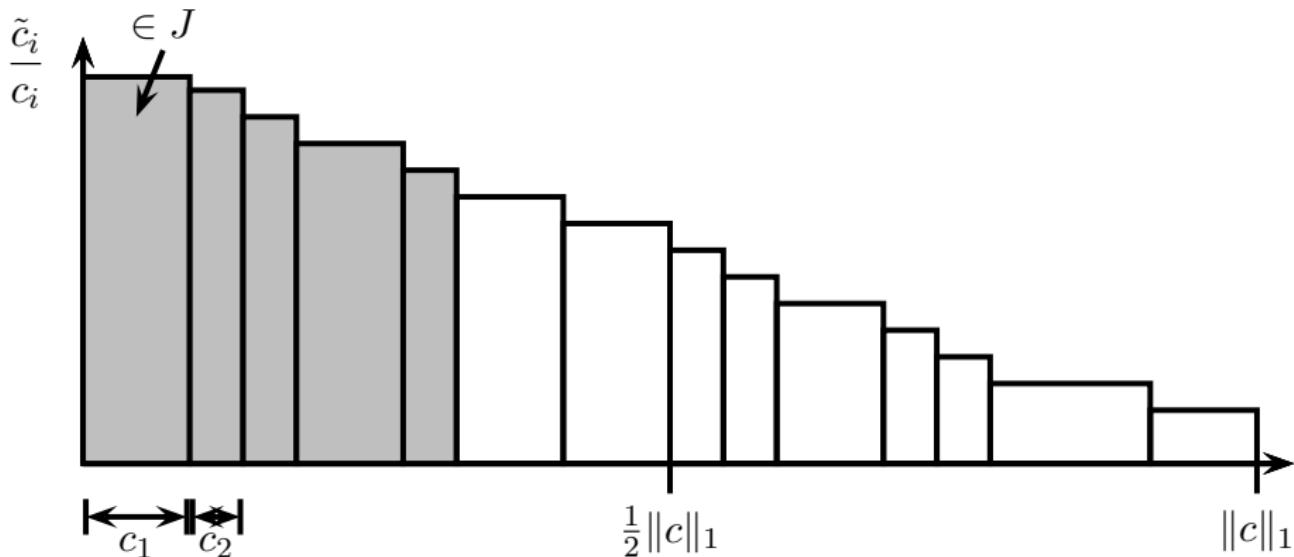
Finding good knapsack solutions (the ideal case)

- We want: $\max\{\tilde{c}x \mid x \in P_I\} \geq \frac{1}{2}\|\tilde{c}\|_1 + \Omega\left(\left\|\tilde{c} - \frac{c}{\lambda}\right\|_1\right)$
- Sort $\frac{\tilde{c}_1}{c_1} > \dots > \frac{\tilde{c}_n}{c_n}$ (i.e. according to $\frac{\text{profit}}{\text{cost}}$ ratio).



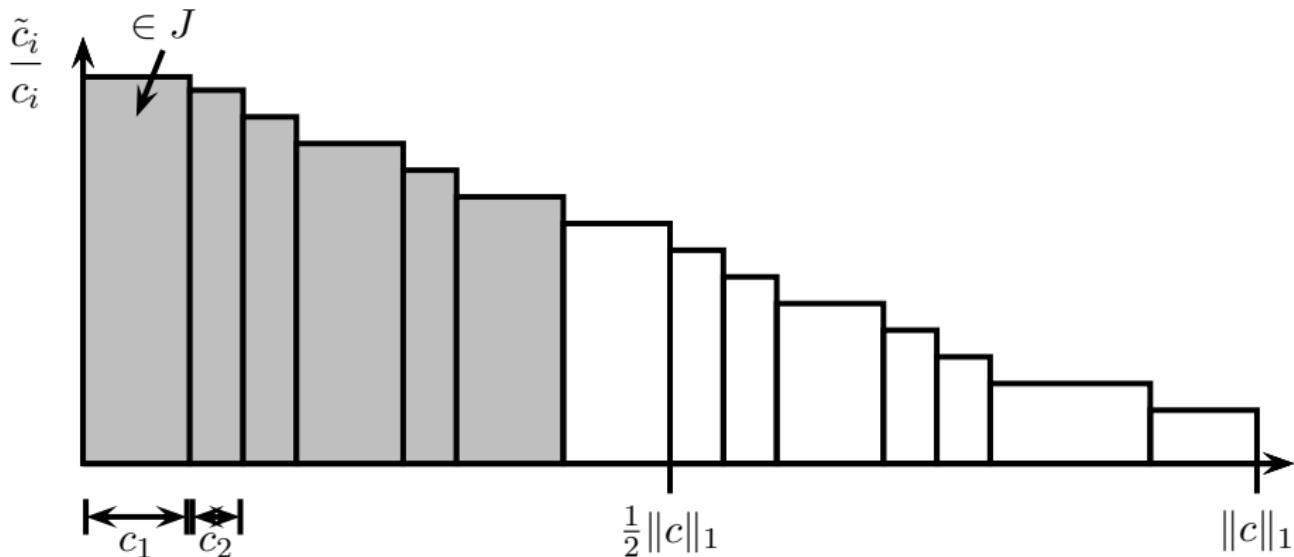
Finding good knapsack solutions (the ideal case)

- We want: $\max\{\tilde{c}x \mid x \in P_I\} \geq \frac{1}{2}\|\tilde{c}\|_1 + \Omega\left(\left\|\tilde{c} - \frac{c}{\lambda}\right\|_1\right)$
- Sort $\frac{\tilde{c}_1}{c_1} > \dots > \frac{\tilde{c}_n}{c_n}$ (i.e. according to $\frac{\text{profit}}{\text{cost}}$ ratio).



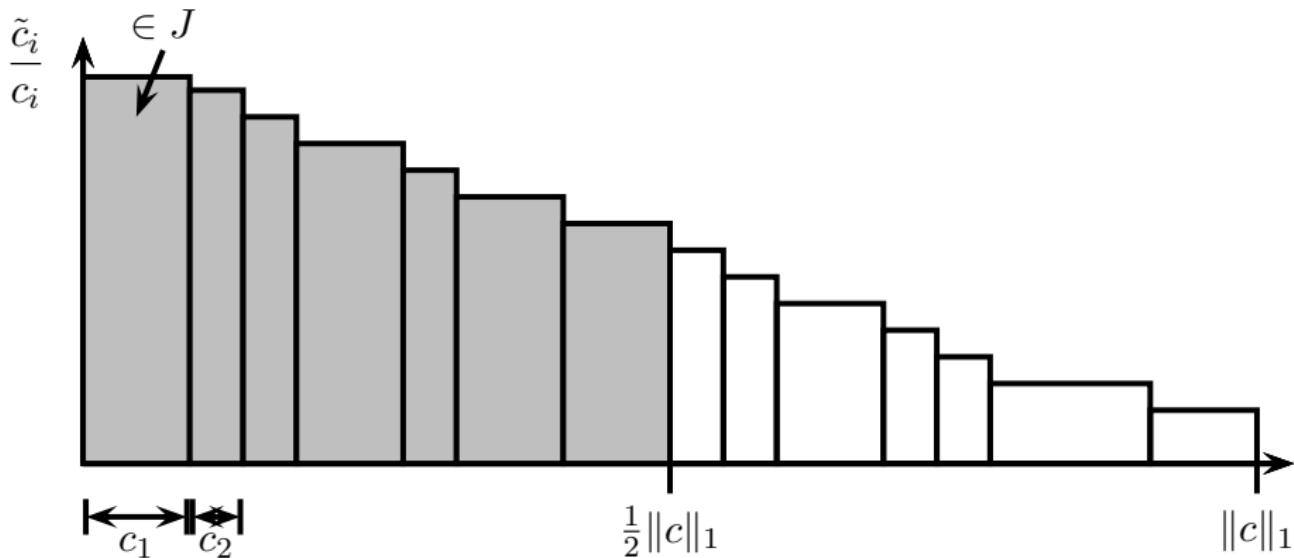
Finding good knapsack solutions (the ideal case)

- We want: $\max\{\tilde{c}x \mid x \in P_I\} \geq \frac{1}{2}\|\tilde{c}\|_1 + \Omega\left(\left\|\tilde{c} - \frac{c}{\lambda}\right\|_1\right)$
- Sort $\frac{\tilde{c}_1}{c_1} > \dots > \frac{\tilde{c}_n}{c_n}$ (i.e. according to $\frac{\text{profit}}{\text{cost}}$ ratio).



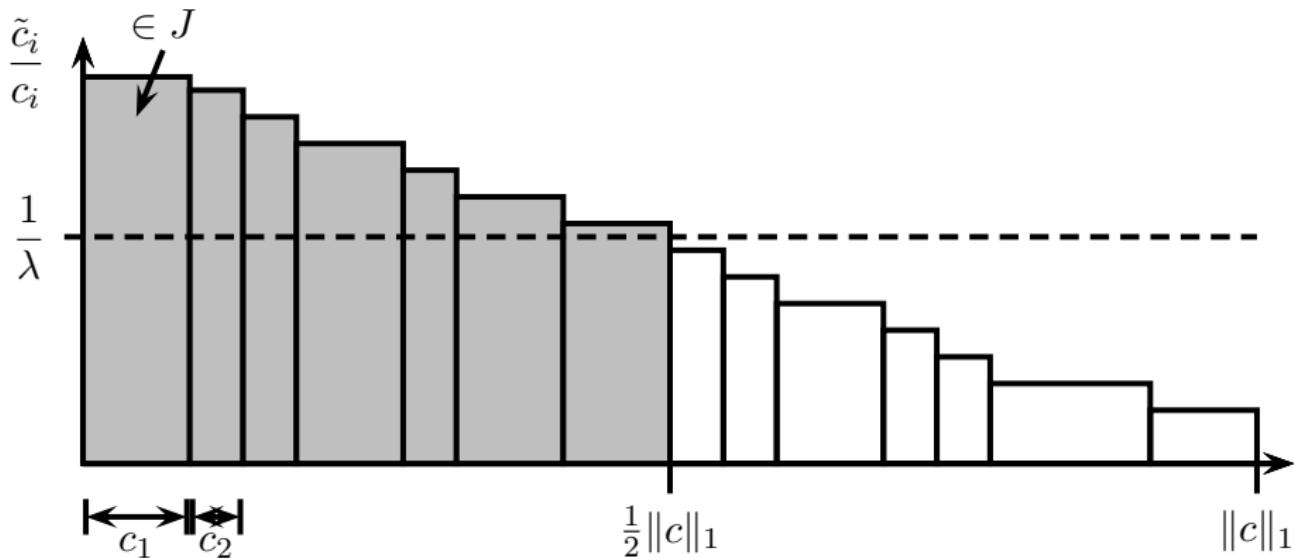
Finding good knapsack solutions (the ideal case)

- We want: $\max\{\tilde{c}x \mid x \in P_I\} \geq \frac{1}{2}\|\tilde{c}\|_1 + \Omega\left(\left\|\tilde{c} - \frac{c}{\lambda}\right\|_1\right)$
- Sort $\frac{\tilde{c}_1}{c_1} > \dots > \frac{\tilde{c}_n}{c_n}$ (i.e. according to $\frac{\text{profit}}{\text{cost}}$ ratio).



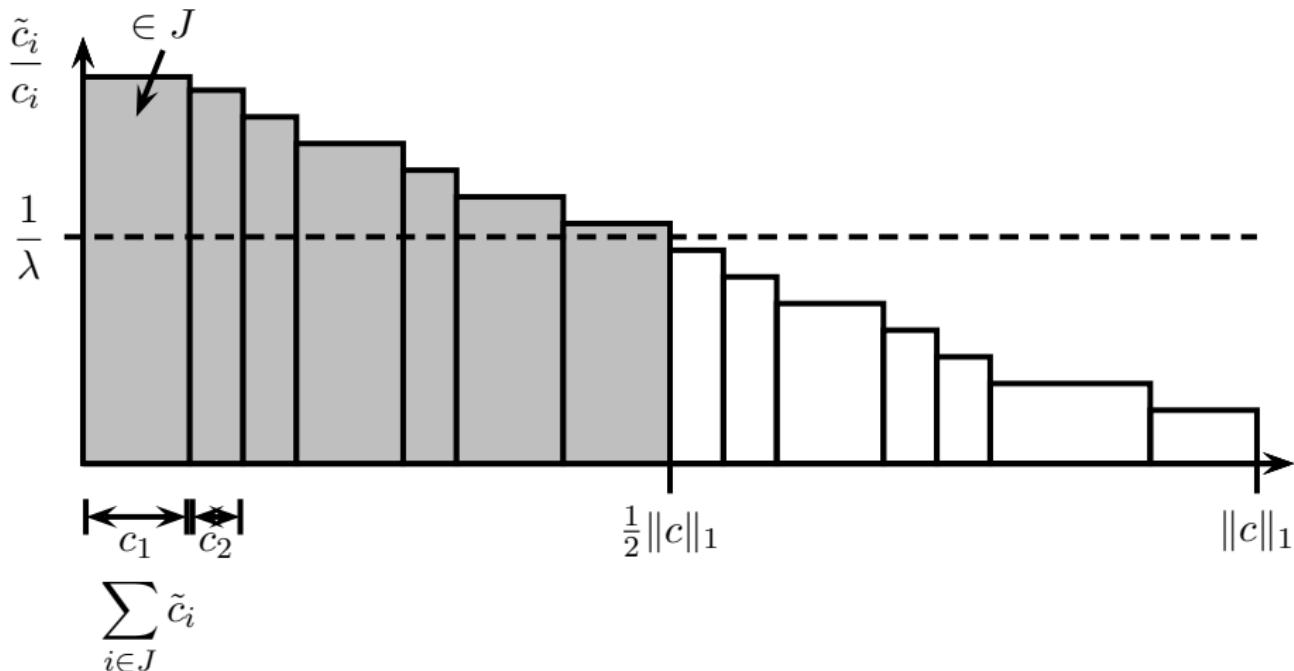
Finding good knapsack solutions (the ideal case)

- ▶ We want: $\max\{\tilde{c}x \mid x \in P_I\} \geq \frac{1}{2}\|\tilde{c}\|_1 + \Omega\left(\left\|\tilde{c} - \frac{c}{\lambda}\right\|_1\right)$
- ▶ Sort $\frac{\tilde{c}_1}{c_1} > \dots > \frac{\tilde{c}_n}{c_n}$ (i.e. according to $\frac{\text{profit}}{\text{cost}}$ ratio).
- ▶ Pick $\lambda > 0$ s.t. $\sum_{i:\tilde{c}_i/c_i > 1/\lambda} c_i = \frac{\|c\|_1}{2}$



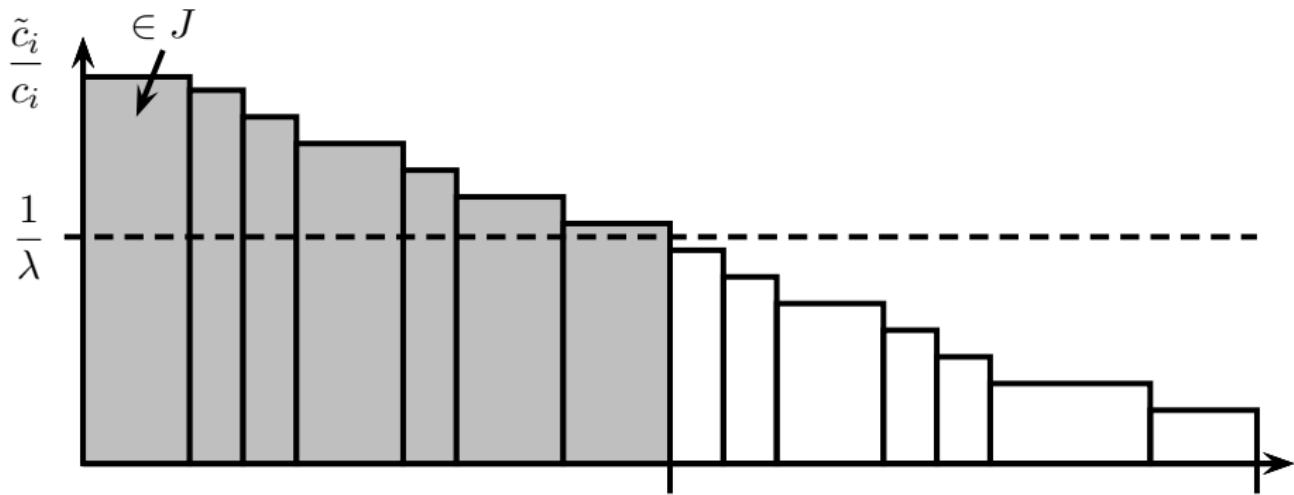
Finding good knapsack solutions (the ideal case)

- ▶ We want: $\max\{\tilde{c}x \mid x \in P_I\} \geq \frac{1}{2}\|\tilde{c}\|_1 + \Omega\left(\left\|\tilde{c} - \frac{c}{\lambda}\right\|_1\right)$
- ▶ Sort $\frac{\tilde{c}_1}{c_1} > \dots > \frac{\tilde{c}_n}{c_n}$ (i.e. according to $\frac{\text{profit}}{\text{cost}}$ ratio).
- ▶ Pick $\lambda > 0$ s.t. $\sum_{i:\tilde{c}_i/c_i > 1/\lambda} c_i = \frac{\|c\|_1}{2}$



Finding good knapsack solutions (the ideal case)

- ▶ We want: $\max\{\tilde{c}x \mid x \in P_I\} \geq \frac{1}{2}\|\tilde{c}\|_1 + \Omega\left(\left\|\tilde{c} - \frac{c}{\lambda}\right\|_1\right)$
- ▶ Sort $\frac{\tilde{c}_1}{c_1} > \dots > \frac{\tilde{c}_n}{c_n}$ (i.e. according to $\frac{\text{profit}}{\text{cost}}$ ratio).
- ▶ Pick $\lambda > 0$ s.t. $\sum_{i:\tilde{c}_i/c_i > 1/\lambda} c_i = \frac{\|c\|_1}{2}$



$$\overbrace{c_1 \quad c_2}^{\|c\|_1}$$

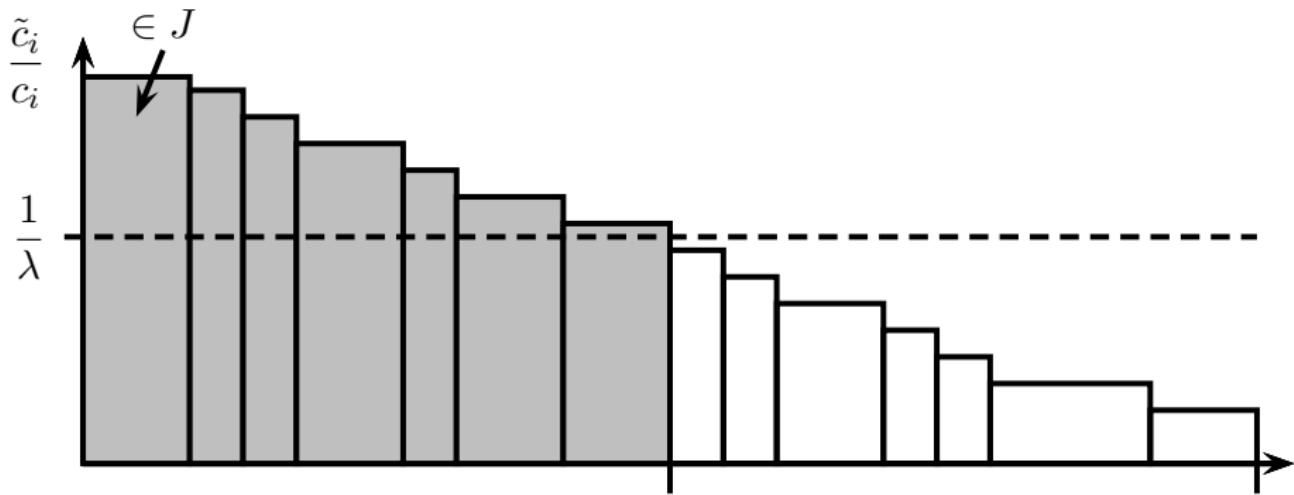
$$\sum_{i \in J} \tilde{c}_i = \frac{1}{2}\|\tilde{c}\|_1 + \frac{1}{2} \sum_{i \in J} \tilde{c}_i$$

$$\frac{1}{2}\|c\|_1$$

$$-\frac{1}{2} \sum_{i \notin J} \tilde{c}_i$$

Finding good knapsack solutions (the ideal case)

- ▶ We want: $\max\{\tilde{c}x \mid x \in P_I\} \geq \frac{1}{2}\|\tilde{c}\|_1 + \Omega\left(\left\|\tilde{c} - \frac{c}{\lambda}\right\|_1\right)$
- ▶ Sort $\frac{\tilde{c}_1}{c_1} > \dots > \frac{\tilde{c}_n}{c_n}$ (i.e. according to $\frac{\text{profit}}{\text{cost}}$ ratio).
- ▶ Pick $\lambda > 0$ s.t. $\sum_{i:\tilde{c}_i/c_i > 1/\lambda} c_i = \frac{\|c\|_1}{2}$



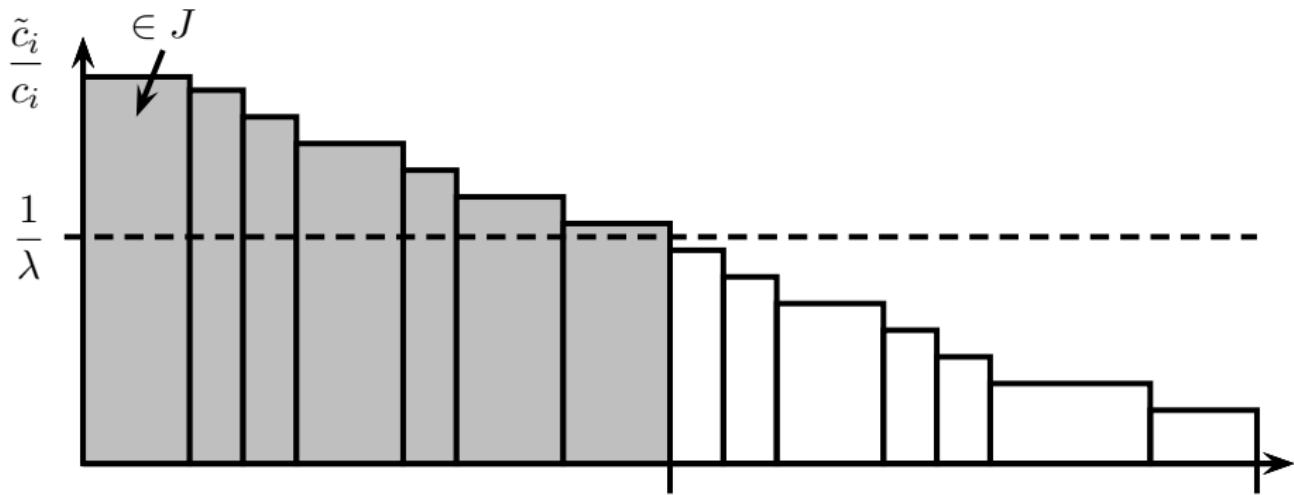
$$\overbrace{c_1 \quad c_2}^{\|c\|_1}$$

$$\sum_{i \in J} \tilde{c}_i = \frac{1}{2}\|\tilde{c}\|_1 + \frac{1}{2} \sum_{i \in J} \left(\tilde{c}_i - \frac{c_i}{\lambda} \right) - \frac{1}{2} \sum_{i \notin J} \left(\tilde{c}_i - \frac{c_i}{\lambda} \right)$$

$$\|c\|_1$$

Finding good knapsack solutions (the ideal case)

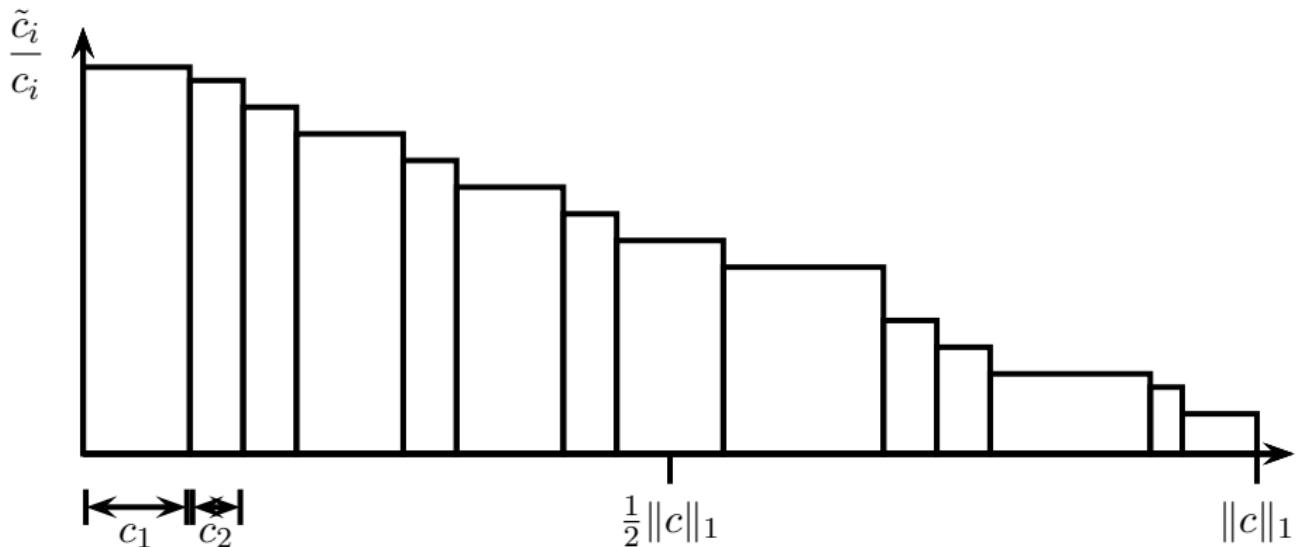
- We want: $\max\{\tilde{c}x \mid x \in P_I\} \geq \frac{1}{2}\|\tilde{c}\|_1 + \Omega\left(\left\|\tilde{c} - \frac{c}{\lambda}\right\|_1\right)$
- Sort $\frac{\tilde{c}_1}{c_1} > \dots > \frac{\tilde{c}_n}{c_n}$ (i.e. according to $\frac{\text{profit}}{\text{cost}}$ ratio).
- Pick $\lambda > 0$ s.t. $\sum_{i:\tilde{c}_i/c_i > 1/\lambda} c_i = \frac{\|c\|_1}{2}$



$$\overbrace{c_1 \quad c_2}^{\|c\|_1}$$

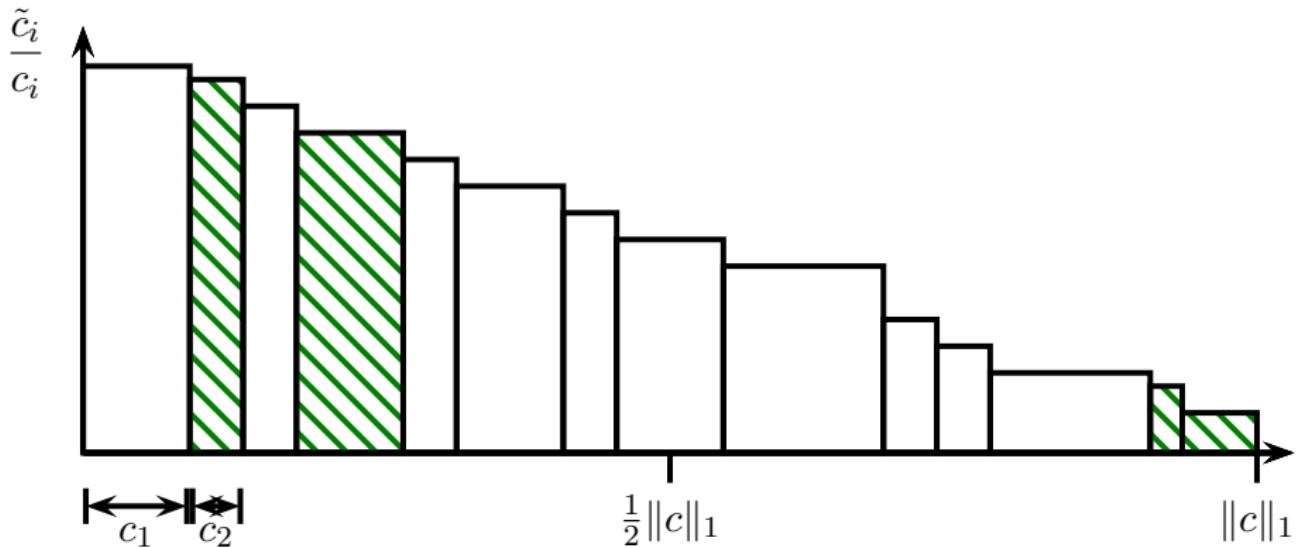
$$\sum_{i \in J} \tilde{c}_i = \frac{1}{2}\|\tilde{c}\|_1 + \frac{1}{2} \sum_{i \in J} \left(\tilde{c}_i - \frac{c_i}{\lambda} \right) - \frac{1}{2} \sum_{i \notin J} \left(\tilde{c}_i - \frac{c_i}{\lambda} \right) = \frac{1}{2}\|\tilde{c}\|_1 + \frac{1}{2} \left\| \tilde{c} - \frac{c}{\lambda} \right\|_1$$

... now more realistic

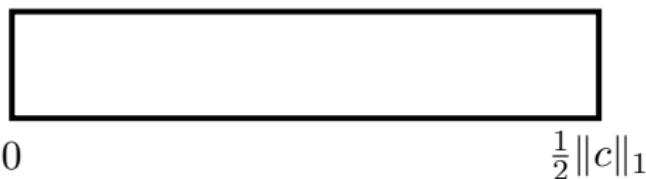


... now more realistic

- ▶ Trick: Let c contain numbers $2^0, 2^1, 2^2, \dots, \|c\|_\infty$ (3 copies)

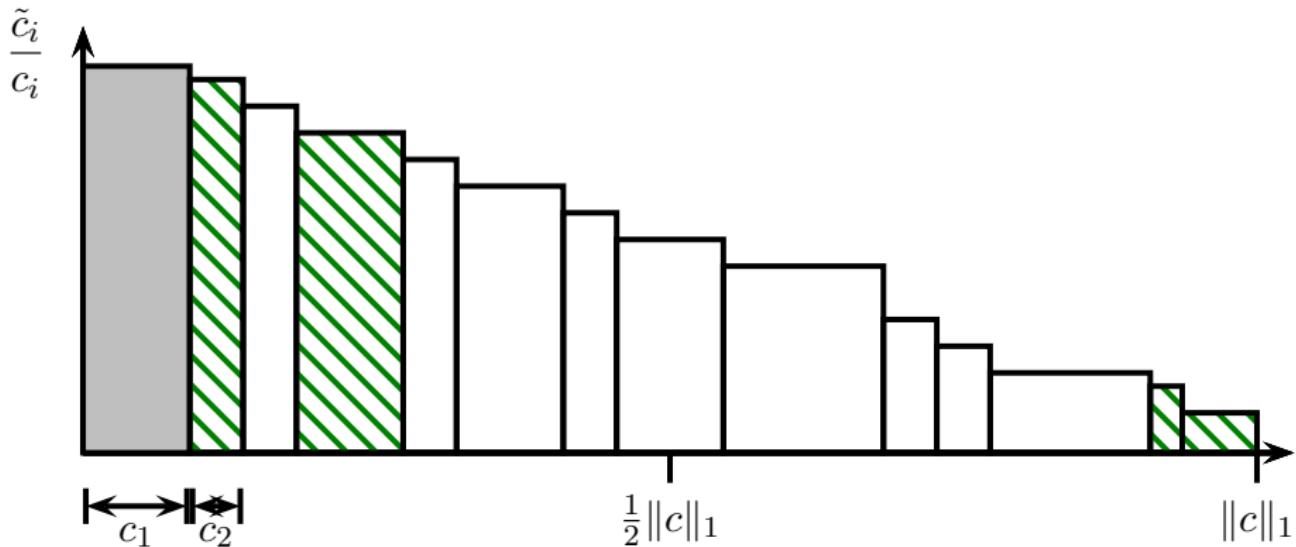


- ▶ Knapsack capacity:

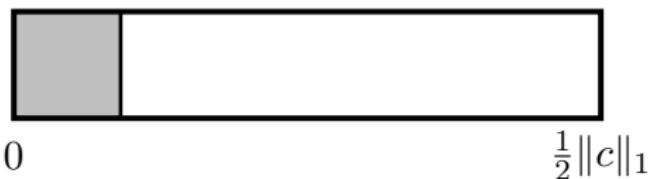


... now more realistic

- ▶ Trick: Let c contain numbers $2^0, 2^1, 2^2, \dots, \|c\|_\infty$ (3 copies)

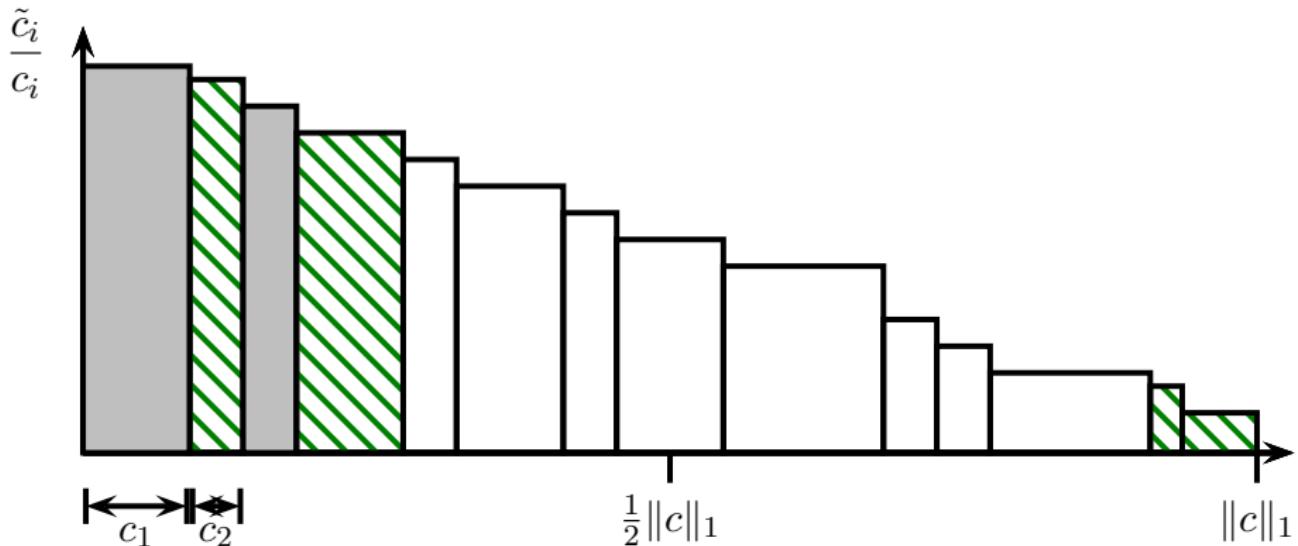


- ▶ Knapsack capacity:

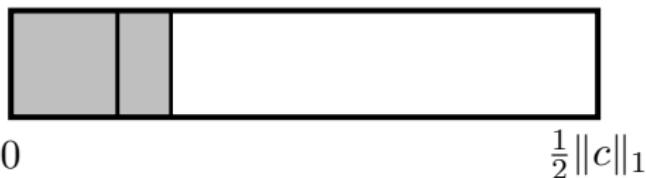


... now more realistic

- ▶ Trick: Let c contain numbers $2^0, 2^1, 2^2, \dots, \|c\|_\infty$ (3 copies)

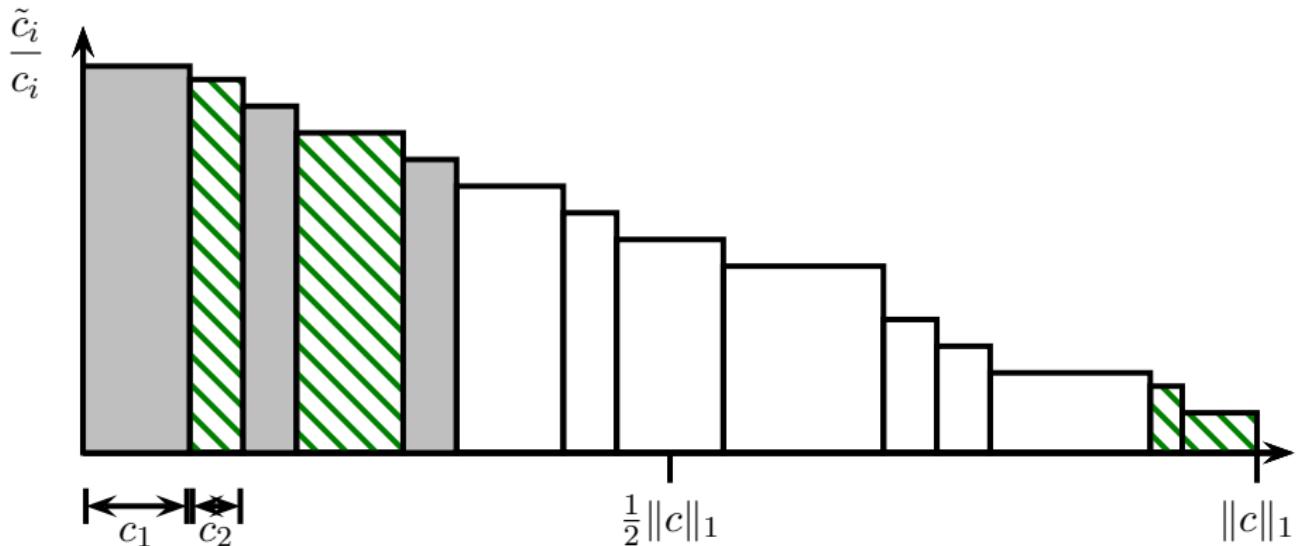


- ▶ Knapsack capacity:

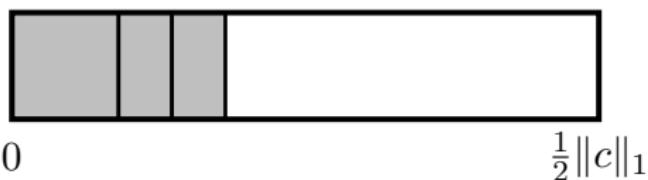


... now more realistic

- ▶ Trick: Let c contain numbers $2^0, 2^1, 2^2, \dots, \|c\|_\infty$ (3 copies)

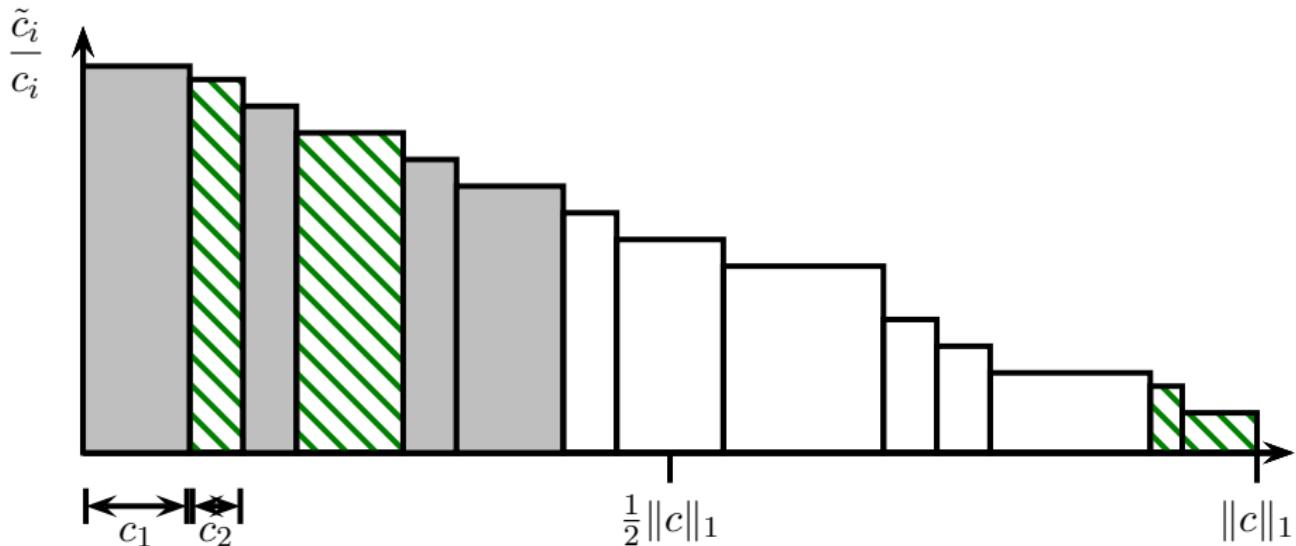


- ▶ Knapsack capacity:



... now more realistic

- ▶ Trick: Let c contain numbers $2^0, 2^1, 2^2, \dots, \|c\|_\infty$ (3 copies)

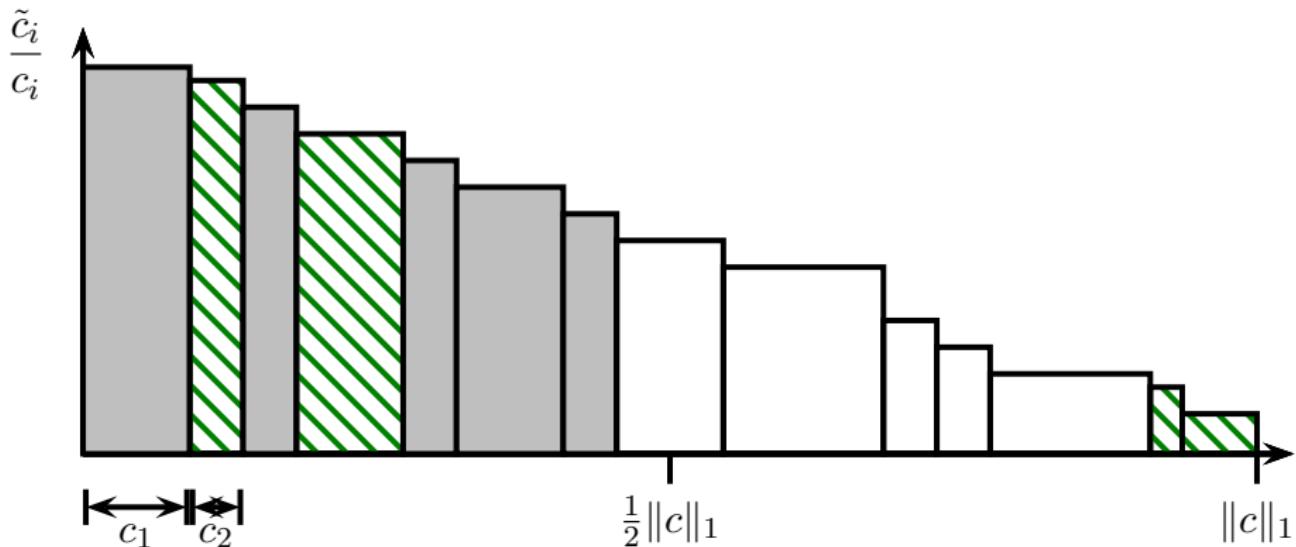


- ▶ Knapsack capacity:



... now more realistic

- ▶ Trick: Let c contain numbers $2^0, 2^1, 2^2, \dots, \|c\|_\infty$ (3 copies)

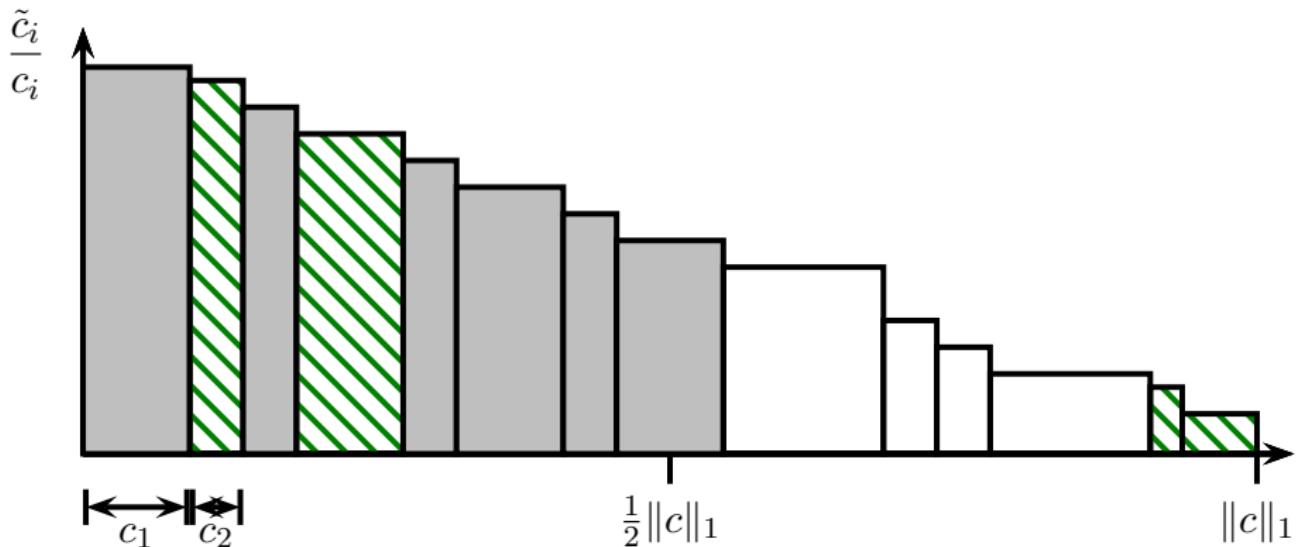


- ▶ Knapsack capacity:



... now more realistic

- ▶ Trick: Let c contain numbers $2^0, 2^1, 2^2, \dots, \|c\|_\infty$ (3 copies)

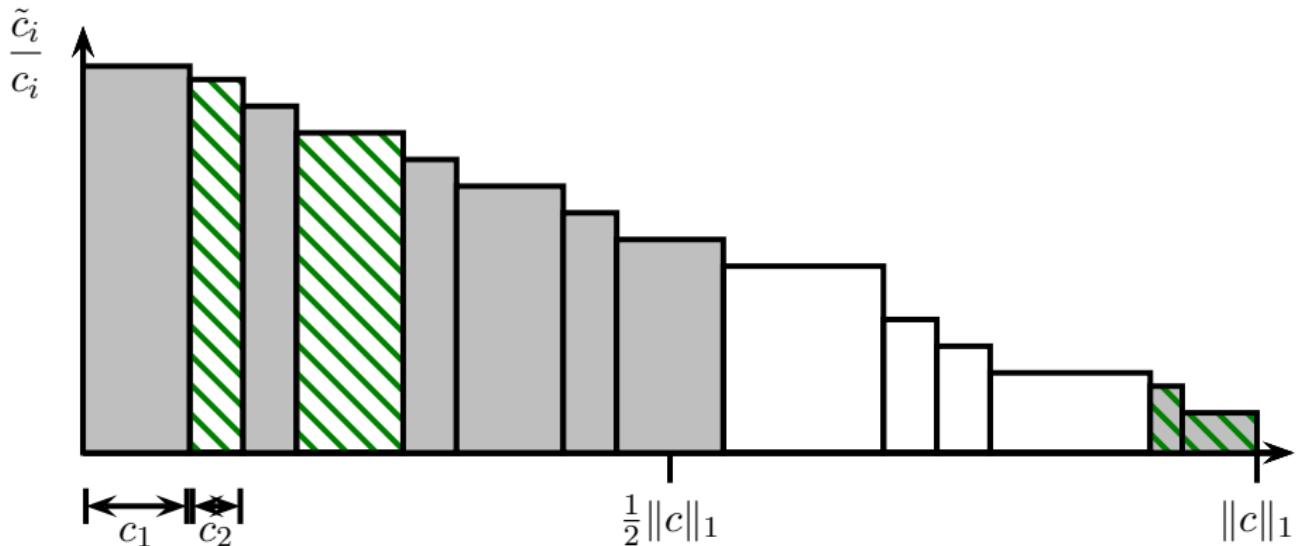


- ▶ Knapsack capacity:



... now more realistic

- ▶ Trick: Let c contain numbers $2^0, 2^1, 2^2, \dots, \|c\|_\infty$ (3 copies)

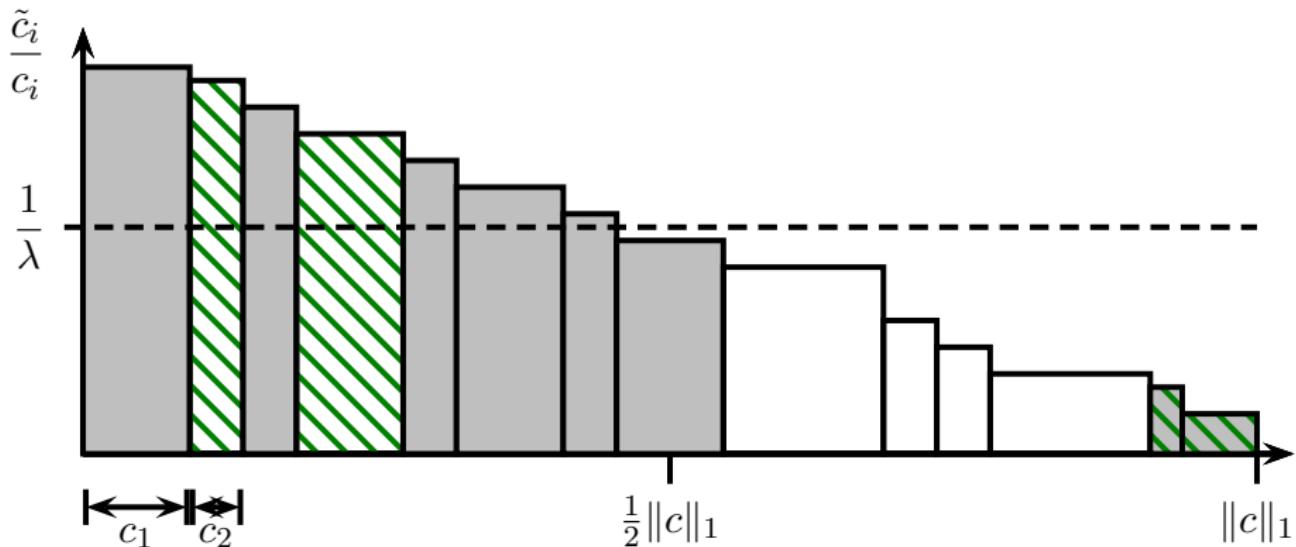


- ▶ Knapsack capacity:



... now more realistic

- ▶ Trick: Let c contain numbers $2^0, 2^1, 2^2, \dots, \|c\|_\infty$ (3 copies)



- ▶ Knapsack capacity:



Overview

critical vectors are good
Simultaneous Diophantine Approximations to c



critical vectors are long $\implies \Omega(n^2)$ rank

Overview

random vector has no short, good SDA



critical vectors are good
Simultaneous Diophantine Approximations to c



critical vectors are long $\implies \Omega(n^2)$ rank

Lemma

For $D := 2^{n/8}$, pick $\textcolor{red}{c}_i \in \{1, \dots, D\}$ at random.

Lemma

For $D := 2^{n/8}$, pick $\textcolor{red}{c}_i \in \{1, \dots, D\}$ **at random.** W.h.p.

$$\|\lambda \tilde{\mathbf{c}} - \mathbf{c}\|_1 \geq \varepsilon \|\mathbf{c}\|_1 \quad \forall \varepsilon \quad \forall \lambda > 0 \quad \forall \|\tilde{\mathbf{c}}\|_1 \leq o\left(\frac{n}{\varepsilon}\right)$$

Lemma

For $D := 2^{n/8}$, pick $\textcolor{red}{c}_i \in \{1, \dots, D\}$ at random. W.h.p.

$$\|\lambda \tilde{\mathbf{c}} - \mathbf{c}\|_1 \geq \varepsilon \|\mathbf{c}\|_1 \quad \forall \varepsilon \quad \forall \lambda > 0 \quad \forall \|\tilde{\mathbf{c}}\|_1 \leq o\left(\frac{n}{\varepsilon}\right)$$

- **Suffices:** For fixed λ, ε ,

$$(*) \quad \Pr \left[\exists \|\tilde{\mathbf{c}}\|_\infty \leq o\left(\frac{1}{\varepsilon}\right) : \|\lambda \tilde{\mathbf{c}} - \mathbf{c}\|_\infty \leq \varepsilon D \right] \leq o(1)^n$$

Lemma

For $D := 2^{n/8}$, pick $\textcolor{red}{c}_i \in \{1, \dots, D\}$ at random. W.h.p.

$$\|\lambda \tilde{\mathbf{c}} - \mathbf{c}\|_1 \geq \varepsilon \|\mathbf{c}\|_1 \quad \forall \varepsilon \quad \forall \lambda > 0 \quad \forall \|\tilde{\mathbf{c}}\|_1 \leq o\left(\frac{n}{\varepsilon}\right)$$

- **Suffices:** For fixed λ, ε ,

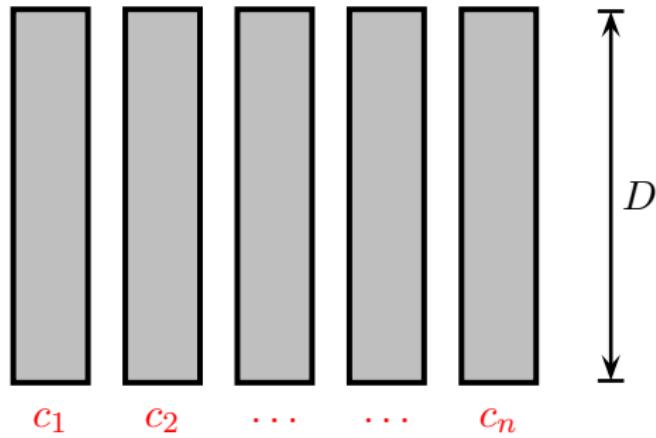
$$(*) \quad \Pr \left[\exists \|\tilde{\mathbf{c}}\|_\infty \leq o\left(\frac{1}{\varepsilon}\right) : \|\lambda \tilde{\mathbf{c}} - \mathbf{c}\|_\infty \leq \varepsilon D \right] \leq o(1)^n$$

- **Reason:** Number of λ 's and ε 's is $2^{O(n)}$; there must be a $\Omega(n)$ -size subset of indices satisfying $(*)$

Lemma

For $D := 2^{n/8}$, pick $c_i \in \{1, \dots, D\}$ at random. W.h.p.

$$\|\lambda \tilde{c} - c\|_1 \geq \varepsilon \|c\|_1 \quad \forall \varepsilon \quad \forall \lambda > 0 \quad \forall \|\tilde{c}\|_1 \leq o\left(\frac{n}{\varepsilon}\right)$$



► **Suffices:** For fixed λ, ε ,

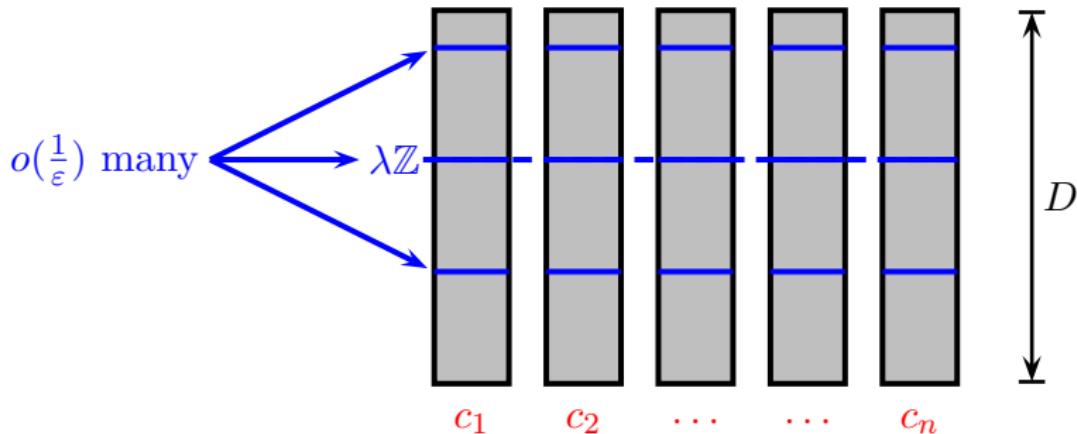
$$(*) \quad \Pr \left[\exists \|\tilde{c}\|_\infty \leq o\left(\frac{1}{\varepsilon}\right) : \|\lambda \tilde{c} - c\|_\infty \leq \varepsilon D \right] \leq o(1)^n$$

► **Reason:** Number of λ 's and ε 's is $2^{O(n)}$; there must be a $\Omega(n)$ -size subset of indices satisfying $(*)$

Lemma

For $D := 2^{n/8}$, pick $\textcolor{red}{c}_i \in \{1, \dots, D\}$ at random. W.h.p.

$$\|\lambda \tilde{\mathbf{c}} - \mathbf{c}\|_1 \geq \varepsilon \|\mathbf{c}\|_1 \quad \forall \varepsilon \ \forall \lambda > 0 \ \forall \|\tilde{\mathbf{c}}\|_1 \leq o\left(\frac{n}{\varepsilon}\right)$$



- **Suffices:** For fixed λ, ε ,

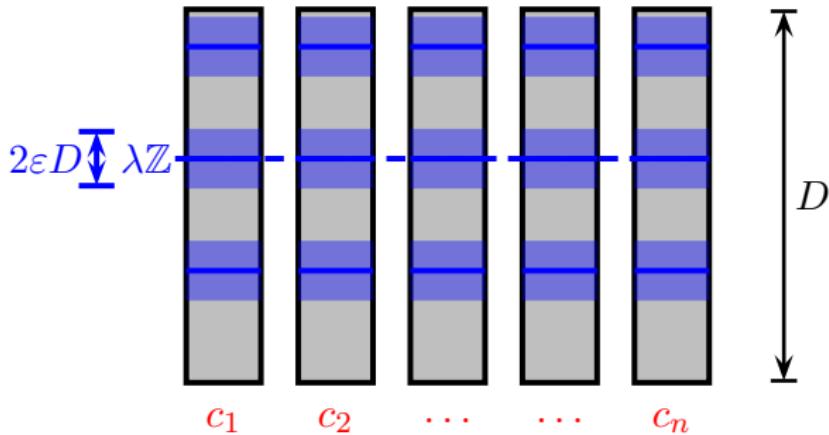
$$(*) \quad \Pr \left[\exists \|\tilde{\mathbf{c}}\|_\infty \leq o\left(\frac{1}{\varepsilon}\right) : \|\lambda \tilde{\mathbf{c}} - \mathbf{c}\|_\infty \leq \varepsilon D \right] \leq o(1)^n$$

- **Reason:** Number of λ 's and ε 's is $2^{O(n)}$; there must be a $\Omega(n)$ -size subset of indices satisfying $(*)$

Lemma

For $D := 2^{n/8}$, pick $c_i \in \{1, \dots, D\}$ at random. W.h.p.

$$\|\lambda \tilde{c} - c\|_1 \geq \varepsilon \|c\|_1 \quad \forall \varepsilon \quad \forall \lambda > 0 \quad \forall \|\tilde{c}\|_1 \leq o\left(\frac{n}{\varepsilon}\right)$$



► **Suffices:** For fixed λ, ε ,

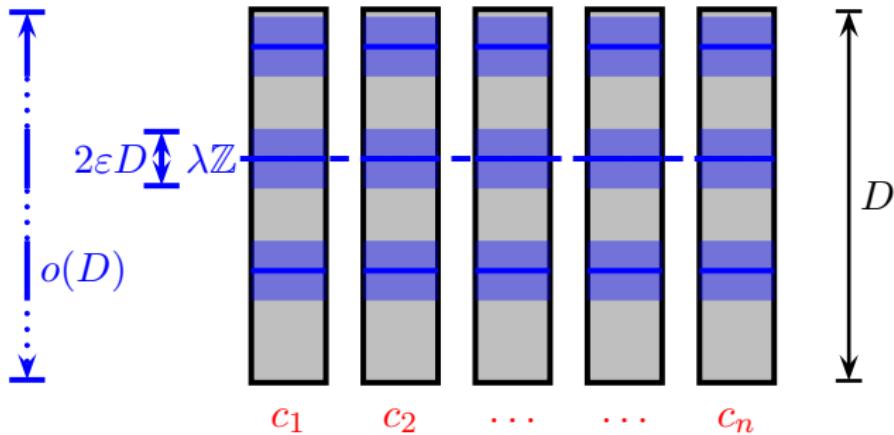
$$(*) \quad \Pr \left[\exists \|\tilde{c}\|_\infty \leq o\left(\frac{1}{\varepsilon}\right) : \|\lambda \tilde{c} - c\|_\infty \leq \varepsilon D \right] \leq o(1)^n$$

► **Reason:** Number of λ 's and ε 's is $2^{O(n)}$; there must be a $\Omega(n)$ -size subset of indices satisfying $(*)$

Lemma

For $D := 2^{n/8}$, pick $\textcolor{red}{c}_i \in \{1, \dots, D\}$ at random. W.h.p.

$$\|\lambda \tilde{\mathbf{c}} - \mathbf{c}\|_1 \geq \varepsilon \|\mathbf{c}\|_1 \quad \forall \varepsilon \ \forall \lambda > 0 \ \forall \|\tilde{\mathbf{c}}\|_1 \leq o\left(\frac{n}{\varepsilon}\right)$$



- **Suffices:** For fixed λ, ε ,

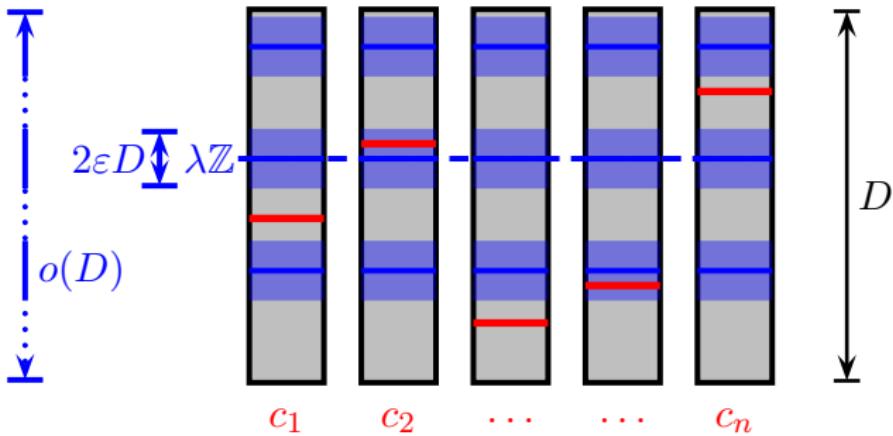
$$(*) \quad \Pr \left[\exists \|\tilde{\mathbf{c}}\|_\infty \leq o\left(\frac{1}{\varepsilon}\right) : \|\lambda \tilde{\mathbf{c}} - \mathbf{c}\|_\infty \leq \varepsilon D \right] \leq o(1)^n$$

- **Reason:** Number of λ 's and ε 's is $2^{O(n)}$; there must be a $\Omega(n)$ -size subset of indices satisfying $(*)$

Lemma

For $D := 2^{n/8}$, pick $\textcolor{red}{c}_i \in \{1, \dots, D\}$ at random. W.h.p.

$$\|\lambda \tilde{\mathbf{c}} - \mathbf{c}\|_1 \geq \varepsilon \|\mathbf{c}\|_1 \quad \forall \varepsilon \ \forall \lambda > 0 \ \forall \|\tilde{\mathbf{c}}\|_1 \leq o\left(\frac{n}{\varepsilon}\right)$$



- **Suffices:** For fixed λ, ε ,

$$(*) \quad \Pr \left[\exists \|\tilde{\mathbf{c}}\|_\infty \leq o\left(\frac{1}{\varepsilon}\right) : \|\lambda \tilde{\mathbf{c}} - \mathbf{c}\|_\infty \leq \varepsilon D \right] \leq o(1)^n$$

- **Reason:** Number of λ 's and ε 's is $2^{O(n)}$; there must be a $\Omega(n)$ -size subset of indices satisfying $(*)$

Overview

random vector has no short, good SDA



critical vectors are good
Simultaneous Diophantine Approximations to c



critical vectors are long $\implies \Omega(n^2)$ rank

The end

Thanks for your attention

Where is the bottleneck for $\omega(n^2)$ bound?

- ▶ **Problem 1:** *Our proof technique does not extent!*
 $\frac{n}{2}$ random numbers in $\{1, \dots, D\} + \frac{n}{2}$ “fill numbers”
cannot work for $D \gg 2^n$
- ▶ **Problem 2:** *Set of normal vectors with $c_i \geq 2^{\Omega(n \log n)}$ is extremely sparse!*
($2^{O(n^2)}$ potential normal vectors, but $2^{\Omega(n^2 \log n)}$ vectors with $n \log n$ bits per coefficient)
- ▶ **Problem 3:** *For coefficients $> 2^{\omega(n)}$, better SDAs exist!*
For $c \in [0, 1]^n$ and $N \in \mathbb{N}$. Find $Q \in \{1, \dots, N\}$ s.t.
minimize $\|c - \frac{\mathbb{Z}^n}{Q}\|_\infty$.
 - ▶ For $Q := N$, error $\leq \frac{1}{N}$
 - ▶ Dirichlet’s Theorem: error $\leq \frac{1}{Q \cdot N^{1/n}}$